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# Summary: Research and Sponsored Programs

The University of Alaska's research and sponsored programs advance knowledge while serving the diverse populations of both rural and urban Alaska. These programs are funded by federal, state, local and private sponsors and provide a variety of focus including social, economic, biological, geological, and fish and ocean science. Research activities are not limited to classrooms or labs but occur in a variety of places throughout the state of Alaska.

University of Alaska research revenues, including indirect cost recovery distribution, reached a total of \$235.0 million in FY23. The ratio of research revenue from designated, federal, and other sources (DF&O) to that from unrestricted general fund (UGF) provides a good measure of the return on state appropriated research funding. During FY23, each state dollar appropriated to UA research generated \$9.3 in revenue from other sources (Table 5.01).

During FY23, UAF was responsible for about 92 percent of all research revenue (\$200.2 million), generating 90 percent of that from designated, federal, and other sources. UAA brought in more than 7 percent (\$16.0 million) of FY23 research revenues, about 85 percent of which was funded by non-unrestricted general fund sources. The remaining research revenue was brought in by UAS, accounting for 0.6 percent (\$1.3 million) of the system total, with 93 percent coming from designated, federal, and other sources.

Research and academic units with the highest research revenues in FY23 were: UAF Geophysical Institute, \$82.4 million; UAF College of Fisheries and Ocean Sciences, \$45.7 million; UAF Institute of Arctic Biology, \$19.8 million; UAF Institute of Northern Engineering, \$13.7 million and the UAF International Arctic Research Center, \$10.9 million, (Table 5.02).

In FY23, universities had 2,197 total active grants with a maximum, multi-year funding commitment of up to \$1.4 billion, up about \$100 million from the FY22 level of \$1.3 billion (Table 5.03).

New grants awarded in FY23 accounted for \$198.0 million of the total commitment, equivalent to 14 percent of all funding commitments active in FY23. Just over half of this newly committed grant funding was secured through 253 new, competitive grants (Table 5.05)

The federal government provides the most research funding of any source, with 88 percent of FY23 direct research expenditures funded directly or passed through a state or local entity to the university. State of Alaska funding accounted for 4 percent of annual research expenditures in FY23, and the remaining 7 percent came from private, local, and other sources.

### Table 5.01 Revenues as Result of Research by University (\$000's), FY01 - FY23

	Unrestricted General Funds (UGF)	Designated, Federal and Other (DF&O) Funds	Total	Ratio DF&O:UGF
	(:	in thousands of \$)		
FY23				
UA Anchorage	2,297.1	13,621.9	15,919.0	5.9
UA Fairbanks	20,381.6	179,852.7	200,234.3	8.8
UA Southeast	99.2	1,223.9	1,323.1	12.3
Subtotal Revenues for Direct Research	22,777.9	194,698.5	217,476.4	8.5
Indirect Cost Recovery Distributed to Other Areas		17,509.7	17,509.7	
FY23 Total	22,777.9	212,208.1	234,986.0	9.3
FY22 Total	14,821.3	205,867.7	220,689.0	13.9
FY21 Total	18,303.3	173,821.3	192,124.6	9.5
FY20 Total	23,728.7	150,245.0	173,973.7	6.3
FY19 Total	24,076.7	146,065.8	170,142.5	6.1
FY18 Total	22,491.2	140,710.2	163,201.4	6.3
FY17 Total	23,841.8	147,938.1	171,780.0	6.2
FY16 Total	31,005.0	125,477.8	156,482.8	4.0
FY15 Total	28,050.3	116,295.7	144,346.0	4.1
FY14 Total	26,575.7	124,112.9	150,688.7	4.7
FY13 Total	27,332.5	129,721.0	157,053.5	4.7
FY12 Total	25,332.4	133,342.0	158,674.4	5.3
FY11 Total	24,706.0	137,852.7	162,558.7	5.6
FY10 Total	24,406.4	127,991.6	152,398.0	5.2
FY09 Total	22,844.7	129,166.6	151,961.2	5.7
FY08 Total	21,478.5	126,877.5	148,356.0	5.9
FY07 Total	22,843.3	132,301.1	155,144.4	5.8
FY06 Total	19,858.5	131,459.2	151,317.7	6.6
FY05 Total	18,720.3	126,328.8	145,049.0	6.7
FY04 Total	16,832.2	121,421.0	138,253.2	7.2
FY03 Total	16,618.1	116,464.5	133,082.6	7.0
FY02 Total	16,321.8	103,430.8	119,752.6	6.3
FY01 Total UNI	16,312.5	92,890.0	109,407.3	5.7
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A measure of the return on state appropriated research funding is the ratio of non-general fund research revenue to general fund research revenue. In FY22 each state dollar appropriated to UA research generated \$9.3 in revenue from other sources.

Note: Unrestricted General Funds (UGF) include General Fund, General Fund Match, and General Fund Mental Health Trust. Designated, Federal and Other Funds (DF&O) include UA receipts, intra-agency receipts, and indirect cost recoveries generated from research and redistributed to other areas. Source: Data Analysis and Institutional Research, www.alaska.edu/ir/

## Table 5.02 Revenues as Result of Research by Unit (\$000's), FY23

	Unrestricted General Funds ( (UGF)	)ther (DF&O) Funds	Total	Ratio DF&O:UGF
114 Angharaga	(in the	ousands of \$)	)	
UA Anchorage College of Health	440.4	2,091.7	2,532.1	4.7
Central Managed Projects	0	372.4	372.4	n/a
Chancellor		787.1	787.1	n/a
College of Arts and Sciences	288.4	2,502.4	2,790.8	8.7
College of Engineering	20011	988.6	988.6	n/a
Environment and Natural Resources Institute	293.6	3,091.4	3,385.1	10.5
Institute of Social and Economic Research	1,270.9	2,427.3	3,698.2	1.9
Office of the Provost	,	1,032.2	1,032.2	n/a
UAA Other	3.8	328.8	332.6	86.0
Total	2,297.1	13,621.9	15,919.0	5.9
114 Fairbanka				
UA Fairbanks AK Center for Energy & Power	2,376.6	7,542.3	9,918.9	3.2
Institute of Northern Engineering	2,698.9	10,988.0		4.1
College of Natural Science and Mathematics	2,098.9	1,633.0	1,860.4	7.2
Central Managed Projects	25.2	2,427.6	2,452.8	96.2
College of Fisheries and Ocean Sciences	2,522.8	43,157.3	•	17.1
Developmental Programs and Projects	1,366.3	3,339.9	4,706.2	2.4
Geophysical Institute	6,418.8	-	82,399.5	11.8
Institute of Arctic Biology	1,752.9		19,761.8	10.3
International Arctic Research Center	970.0		10,861.6	10.2
Institute of Agriculture, Natural Resources and Extension	1,722.5	5,414.1		3.1
VC Research	299.7	653.9	953.6	2.2
University of Alaska Museum of the North	0.5	622.0	622.5	1,244.0
UAF Other		193.4	193.4	n/a
Total	20,381.6	179,852.7	200,234.3	8.8
UA Southeast				
Office of the Provost	28.8	512.4	541.2	17.8
School of Arts & Sciences	55.5	598.8	654.3	10.8
UAS Other	14.9	112.7	127.6	7.6
Total	99.2	1,223.9	1,323.1	12.3
	22,777.9	194,698.5	-	8.5
Subtotal Revenues for Direct Research	·	,	·	
Indirect Cost Recovery Distributed to Other Areas		17,509.7	17,509.7	
FY23 Total	22,777.9	212,208.1	234,986.0	9.3



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Note: Unrestricted General Funds (UGF) include General Fund, General Fund Match, and General Fund Mental Health Trust. Designated, Federal and Other Funds (DF&O) include UA receipts, intra-agency receipts, and indirect cost recoveries generated from research and redistributed to other areas. Units with larger than +/-\$250,000 in total research revenue are displayed; units with smaller than +/-\$250,000 are included in "Other". Source: Data Analysis and Institutional Research, www.alaska.edu/ir/

## Table 5.03 New Awards and Active Grants by University FY22 - FY23

			Other Sponsored					
			Organiz	ed Research	Act	ivities	7	otal
			#	Max Amt	#	Max Amt	#	Max Amt
			Grants	(\$000s)	Grants	(\$000s)	Grants	(\$000s)
UA Anchorage	FY22	New Awards	89.0	12,896.3	143.0	33,450.7	232.0	46,347.1
		Total Active Grants	253.0	73,782.2	383.0	137,513.0	636.0	211,295.3
		% New of Active	35.2	17.5	37.3	24.3	72.5	41.8
	FY23	New Awards	74.0	15,396.7	151.0	39,072.2	225.0	54,469.0
		Total Active Grants	251.0	82,687.1	411.0	153,793.5	662.0	236,480.6
		% New of Active	29.5	18.6	36.7	25.4	66.2	44.0
UA Fairbanks	FY22	New Awards	263.0	132,516.3	114.0	30,222.8	377.0	162,739.0
		Total Active Grants	945.0	827,565.4	531.0	221,029.3	1,476.0	1,048,594.7
		% New of Active	27.8	16.0	21.5	13.7	49.3	29.7
	FY23	New Awards	232.0	119,185.6	85.0	18,434.2	317.0	137,619.8
		Total Active Grants	973.0	938,380.1	478.0	225,157.0	1,451.0	1,163,537.1
		% New of Active	23.8	12.7	17.8	8.2	41.6	20.9
UA Southeast	FY22	New Awards	11.0	1,594.2	8.0	2,463.7	19.0	4,058.0
		Total Active Grants	31.0	5,247.4	26.0	12,549.0	57.0	17,796.4
		% New of Active	35.5	30.4	30.8	19.6	66.3	50.0
	FY23	New Awards	11.0	1,436.0	10.0	2,519.6	21.0	3,955.6
		Total Active Grants	38.0	6,093.8	42.0	16,695.9	80.0	22,789.7
		% New of Active	28.9	23.6	23.8	15.1	52.8	38.7
System Office	FY22	New Awards						
		Total Active Grants			4.0	1,057.3	4.0	1,057.3
		% New of Active						
	FY23	New Awards	1.0	2,000.0				
		Total Active Grants	1.0	2,000.0	3.0	1,048.9	4.0	3,048.9
		% New of Active	100.0	100.0				
UA System	FY22	New Awards	363.0	147,006.8	265.0	66,137.2	628.0	213,144.0
		Total Active Grants	1,229.0	906,595.0	944.0	372,148.7	2,173.0	1,278,743.7
		% New of Active	29.5	16.2	28.1	17.8	28.9	16.7
	FY23	New Awards	318.0	138,018.4	246.0	60,026.0	564.0	198,044.4
		Total Active Grants	1,263.0	1,029,160.9	934.0	396,695.4	2,197.0	1,425,856.3
		% New of Active	25.2	13.4	26.3	15.1	25.7	13.9



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Note: New grant awards are a subset of total active awards in a given year. Maximum grant amount is a multi-year monetary commitment to the project by the agency.

Source: Data Analysis and Institutional Research, www.alaska.edu/ir/

# Table 5.04 New Grant Awards by University and Topic, FY22-FY23

	UA Anc	horage	UA Fai	rbanks	UA Southeast	
-	# Grants	Max Amt (\$000s)	# Grants	Max Amt (\$000s)	# Grants	Max Amt (\$000s)
Organized Research, FY23 Agriculture and Food Sciences			3	1,989.9		
Cooperative Extension	1	10.0	2	1,486.0		
Engineering			3	914.5		
Science, Mathematics & Technology			12	2,022.9		
Unknown	73	15,386.7	212	112,772.4	11	1,436.0
Total	74	15,396.7	232	119,185.6	11	1,436.0
Other Sponsored Activities, FY23 Business, Management & Commerce Cooperative Extension Education Energy Other Disciplines Unknown Total	1 150 151	28.5 39,043.7 39,072.2	5 1 1 1 77 85	2,144.9 345.6 60.0 7.0 15,876.7 18,434.2	10 10	2,519.6 2,519.6
FY23 Total	225 U	54,469.0	E R <sup>317</sup>	137,619.8	21	3,955.6
FY22	0	f AI	ASE	<b>A</b>		1 504 0
Organized Research	89	12,896.3	263	132,516.3	11	1,594.2
Other Sponsored Activites		33,450.7	tions Onla	LVVVSRVV	8	2,463.7
FY22 Total	232	46,347.1	3//	162,739.0	19	4,058.0

Note: Theme codes identify topical area for each grant. Some grants have multiple themes. Maximum grant amount is a multi-year monetary commitment to the project by the agency.

Source: Data Analysis and Institutional Research, www.alaska.edu/ir/

## Table 5.05 New Awards by University and Proposal Type FY22-FY23

	-		Other Spor	nsored			
	Organized Research Activities				Total FY New Awar		
	# Grants	Max Amt (\$000s)	# Grants	Max Amt (\$000s)	# Grants	Max Amt (\$000s)	
UA Anchorage							
Competitive	45	8,842.8	35	9,838.8	80	18,681.6	
Non-Competitive	28	6,528.0	111	29,015.0	139	35,542.9	
Other	1	26.0	5	218.5	6	244.5	
Total 2023	74	15,396.7	151	39,072.2	225	54,469.0	
Total 2022	89	12,896.3	143	33,450.7	232	46,347.1	
UA Fairbanks							
Competitive	134	65,605.3	28	11,603.6	162	77,208.9	
Non-Competitive	82	51,643.0	43	6,036.0	125	57,679.0	
Other	16	1,937.4	14	794.6	30	2,731.9	
Total 2023	232	119,185.6	85	18,434.2	317	137,619.8	
Total 2022	263	132,516.3	114	30,222.8	377	162,739.0	
UA Southeast							
Competitive	4	504.6	7	2,468.1	11	2,972.8	
Non-Competitive	5	836.1			5	836.1	
Other	2	95.3	3	51.5	5	146.8	
Total 2023	11	1,436.0	10	2,519.6	21	3,955.6	
Total 2022	11	1,594.2	8	2,463.7	19	4,058.0	
UA Statewide							
Competitive							
Non-Competitive	1	2,000.0					
Other							
Total 2023	1	2,000.0					
Total 2022			$\frown$				
UA System							
Competitive	183	74,952.7	D 0 <sup>70</sup>	23,910.6	253	98,863.2	
Non-Competitive	116	61,007.0	E K 5 1 154	35,050.9	270	96,057.9	
Other	19	of 2,058.7	AS K22	A 1,064.6	41	3,123.2	
Total 2023			ions One <b>246</b> as	sk 60,026.0	564	198,044.4	
Total 2022	363	147,006.8	265	66,137.2	628	213,144.0	

Note: Proposal types are identified by the university applying for the grant. Maximum grant amount is a multi-year monetary commitment to the project by the granting agency.

Source: Data Analysis and Institutional Research, www.alaska.edu/ir/

# Table 5.06 Direct Research Expenditures (\$000's) by University and Receiving Channel, Five Year Trend

	FY19	FY20	FY21	FY22	FY23		
UA Anchorage	(in thousands of \$)						
Federal/Direct	11,254.7	13,785.4	13,062.5	12,540.7	10,437.9		
Federal/Through State	1,528.9	1,258.3	1,190.2	1,517.6	572.1		
Federal/Through Other	1,828.6	1,215.4	849.1	1,222.4	1,673.6		
Federal Total	14,612.1	16,259.0	15,101.8	15,280.7	12,683.5		
State/Direct	878.3	1,084.7	778.2	653.1	1,531.8		
Private, Local and Other/Direct	2,232.7	2,308.3	1,705.2	1,696.2	1,838.8		
UA Anchorage Total	17,723.1	19,652.0	17,585.2	17,630.0	16,054.2		
UA Fairbanks							
Federal/Direct	83,948.9	89,653.9	114,314.1	140,882.9	143,111.5		
Federal/Through State	954.7	1,157.0	936.5	1,217.8	1,135.9		
Federal/Through Other	10,327.8	10,357.5	12,123.1	11,562.9	12,083.6		
Federal Total	95,231.5	101,168.3	127,373.8	153,663.6	156,331.0		
State/Direct	1,616.8	1,357.7	854.5	523.9	6,598.9		
Private, Local and Other/Direct	8,037.1	8,350.1	7,964.0	8,186.8	12,378.7		
UA Fairbanks Total	104,885.4	110,876.2	136,192.3	162,392.6	175,290.2		
UA Southeast							
Federal/Direct	1,177.2	1,074.3	1,036.1	1,140.0	1,218.9		
Federal/Through State	23.6	-0.2	0.0	0.0	0.0		
Federal/Through Other	34.7	24.3	9.5	252.5	244.2		
Federal Total	1,235.5	1,098.5	1,045.6	1,392.5	1,463.1		
State/Direct	30.3	6.5	0.0	0.0	105.8		
Private, Local and Other/Direct	72.8	83.4	95.4	135.5	153.4		
UA Southeast Total	1,338.6	1,188.4	1,141.0	1,528.0	1,722.2		
UA System							
Federal/Direct	96,380.8	104,513.6	128,412.8	154,563.6	154,768.2		
Federal/Through State	2,507.2	2,415.1	2,126.8	2,735.5	1,708.0		
Federal/Through Other	12,191.1	11,597.1	12,981.7	13,037.7	14,001.4		
Federal Total	111,079.0	118,525.8	143,521.2	170,336.8	170,477.7		
State/Direct	2,525.5	2,448.9	1,632.7	1,176.9	8,236.5		
Private, Local and Other/Direct	10,342.5	10,741.9	9,764.6	10,018.5	14,370.8		
UA System Total	123,947.1	131,716.6	154,918.5	181,550.6	193,066.6		



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Note: Direct research expenditures are defined as the amount of grant-funded operating and capital research expenditures, including both research expenditures as well as ICR from restricted research grants spent on research and administrative support. Source: Data Analysis and Institutional Research, www.alaska.edu/ir/

## Table 5.07 Direct Research Expenditures in Alaska Related Areas by University, Five Year Trend

	2019	2020	2021	2022	2023
		(in	Thousands of	\$)	
UA Anchorage Chancellor College of Arts and Sciences College of Engineering	2,645.7 606.5 1,921.8	1,765.7 2,408.1 641.6 909.2	1,238.5 1,625.4 898.5 952.6	937.8 1,390.8 855.7 1,442.0	685.3 1,491.3 591.3 1,227.4
College of Health Environment and Natural Resources Institute Institute of Social and Economic Research Office of the Provost UAA Other	3,445.2 2,271.4 1,736.5 197.1 718.7	3,100.3 1,638.7 131.5 493.3 476.5	2,768.8 1,505.8 39.6 357.5 450.4	2,831.9 1,437.9 190.1 70.8 405.5	2,844.3 1,754.7 222.5 102.0 1,344.5
Total	13,542.8	11,564.8	9,837.1	9,562.6	10,263.3
UA Fairbanks AK Center for Energy & Power Institute of Northern Engineering College of Natural Science and Mathematics College of Fisheries and Ocean Sciences Developmental Programs and Projects Geophysical Institute Institute of Arctic Biology International Arctic Research Center Institute of Agriculture, Natural Resources and Extension University of Alaska Museum of the North UAF Other Total	718.7 8,843.1 898.9 21,320.0 722.9 25,389.1 11,372.8 9,728.5 1,340.6 265.2 1,007.1 81,607.0	476.5 8,591.0 808.0 20,508.9 2,645.0 29,252.1 9,460.6 8,031.6 1,346.6 276.7 559.0 81,955.9	450.4 8,679.9 760.6 23,566.7 2,657.5 29,671.0 7,737.0 7,910.8 2,047.6 278.4 333.4 84,093.2	405.5 8,684.2 356.6 22,976.5 3,194.0 34,616.4 8,041.4 13,250.6 2,365.0 505.3 426.2 94,821.7	1,344.5 9,449.8 624.3 26,636.3 3,227.8 45,675.6 9,985.7 10,022.1 1,911.3 629.2 491.2 109,997.8
UA Southeast UAS Other Total <i>Of A</i> <i>Many Tra</i>	L A580.3 580.3 ditions One	K 531.6 531.6 Alaska	449.9 449.9	465.7 465.7	420.2 420.2
Total Alaska Related Expenditures	95,730.1	94,052.3	94,380.2	104,850.0	120,681.2
Percent of All Research Ependitures	77.2%	71.4%	60.9%	57.8%	62.5%

Note: Direct research expenditures in Alaska related research areas represent research expenditures including indirect cost recovery (ICR), and do not reflect the max multi-year revenue amount. Direct research expenditures are defined as the amount of grant-funded operating and capital research expenditures, including both research expenditures as well as ICR from restricted research grants spent on research and administrative support. Areas of research related to Alaska are identified by the grant.

Source: Data Analysis and Institutional Research, www.alaska.edu/ir/