



UNIVERSITY OF ALASKA

(A Component Unit of the State of Alaska)

Audit in Accordance with OMB A-133

Year Ended June 30, 2010

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Audit in Accordance with OMB A-133
June 30, 2010

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Report on Compliance With Requirements That Could Have A Direct and Material Effect on Each Major Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133

The Board of Regents
The University of Alaska:

Compliance

We have audited the University of Alaska's compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2010. The University of Alaska's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University of Alaska's management. Our responsibility is to express an opinion on the University of Alaska's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University of Alaska's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University of Alaska's compliance with those requirements.

In our opinion, the University of Alaska complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2010.

Internal Control over Compliance

Management of the University of Alaska is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University of Alaska's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control



over compliance. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2010, and have issued our report thereon dated November 11, 2010. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of management, the Board of Regents, others within the entity, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

December 15, 2010,
except as to the paragraph relating to the
schedule of expenditures of federal awards,
which is as of November 11, 2010

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
STUDENT FINANCIAL AID			
Department of Education			
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		\$ 22,565
Office of Student Financial Assistance Programs			
84.007	Federal Supplemental Educational Opportunity Grants		512,491
84.032	Federal Family Education Loans		67,997,997
84.033	Federal Work - Study Program		327,177
	ARRA - Federal Work - Study Program		257,269
84.063	ARRA - Federal Pell Grant Program		5,119,033
	Federal Pell Grant Program		12,687,117
84.268	Federal Direct Student Loans		67,500
84.376	National Science and Mathematics Access to Retain Talent Grants		415,984
Office of Postsecondary Education			
84.375	Academic Competitiveness Grants		67,085
TOTAL STUDENT FINANCIAL AID			<u>87,474,218</u>
RESEARCH AND DEVELOPMENT			
Department of Agriculture			
10.000	Miscellaneous		322,529
	Pass-through The Nature Conservancy	04-CA-11132543-189	55,124
	Pass-through The Nature Conservancy	73216-01	5,000
Agricultural Research Service			
10.001	Agricultural Research, Basic and Applied Research		1,586,971
Animal and Plant Health Inspection Service			
10.025	Plant and Animal Disease, Pest Control, and Animal Care		35,631
Cooperative State Research, Education, and Extension Service			
10.200	Grants for Agricultural Research, Special Research Grants		1,696,666
	Pass-through University of Hawaii	2008-38826-19179	9,344
	Pass-through University of Hawaii	2009-38826-19227	5,160
	Pass-through Utah State University	2006-38640-16743	26,511
10.202	Cooperative Forestry Research		790,737
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act		1,404,361
10.206	Grants for Agricultural Research - Competitive Research Grants		220,469
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		128,630
10.310	Agriculture and Food Research Initiative		45,172
10.500	Cooperative Extension Service		86,589
10.600	Foreign Market Development Cooperator Program		36,012
Farm Service Agency			
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		12,901
	Pass-through University of Hawaii, Hilo	2004-51200-02221	12,901
Forest Service			
10.652	Forestry Research		43,016
10.664	Cooperative Forestry Assistance		57,050

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
10.680	Forest Health Protection		23,960
Total Department of Agriculture			<u>6,591,833</u>
Department of Commerce			
11.000	Miscellaneous		90,804
	Pass-through North Pacific Research Board	F0401	357
Total Department of Commerce			<u>91,161</u>
National Oceanic and Atmospheric Administration			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)		119,986
	Pass-through State of Alaska	NOAA HA133F09SE4715	2,740
11.417	Sea Grant Support		358,711
	Pass-through Texas A&M University at Galveston	NOAA NA06OAR4170076	14,635
11.419	Coastal Zone Management Administration Awards		
	Pass-through State of Alaska	RSA ADN 45-09-0805	840
11.420	Coastal Zone Management Estuarine Research Reserves		43,694
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program		110,641
11.430	Undersea Research		798,923
11.431	Climate and Atmospheric Research		590,390
11.432	Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes		1,934,541
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program		
	Pass-through State of Alaska	NOAA NA07NMF4380228	62,263
11.439	Marine Mammal Data Program		317,519
11.460	Special Oceanic and Atmospheric Projects		729,150
11.463	Habitat Conservation		
	Pass-through National Fish & Wildlife Foundation	2006-0093-012	31,217
	Pass-through National Fish & Wildlife Foundation	2008-0066-008	40,826
11.467	Meteorologic and Hydrologic Modernization Development		143,922
	Pass-through University Corporation for Atmospheric Research	S08-68871	2,287
	Pass-through University Corporation for Atmospheric Research	S08-71435 MOD# M01	573
11.468	Applied Meteorological Research		
	Pass-through University of Mississippi	UM 09-09-024	215,297
11.472	Unallied Science Program		
	Pass-through North Pacific Research Board	B51	89,643
	Pass-through North Pacific Research Board	B52	32,279
	Pass-through North Pacific Research Board	B52	35,188
	Pass-through North Pacific Research Board	B53	73,130
	Pass-through North Pacific Research Board	B53	106
	Pass-through North Pacific Research Board	B67	54,652
	Pass-through North Pacific Research Board	B67	71,267
	Pass-through North Pacific Research Board	B67	247
	Pass-through North Pacific Research Board	B68	27,306
	Pass-through North Pacific Research Board	B75	55,491

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through North Pacific Research Board	B77	194
	Pass-through North Pacific Research Board	B77	120,695
	Pass-through North Pacific Research Board	PROJECT #520	999
	Pass-through North Pacific Research Board	PROJECT #614	63,998
	Pass-through North Pacific Research Board	PROJECT #702	(19,740)
	Pass-through North Pacific Research Board	PROJECT #702	13,383
	Pass-through North Pacific Research Board	PROJECT #706	4,214
	Pass-through North Pacific Research Board	PROJECT #708	(369)
	Pass-through North Pacific Research Board	PROJECT #714	26,391
	Pass-through North Pacific Research Board	PROJECT #727	14,240
	Pass-through North Pacific Research Board	PROJECT #804	24
	Pass-through North Pacific Research Board	PROJECT #805	11,591
	Pass-through North Pacific Research Board	PROJECT #805	6,708
	Pass-through North Pacific Research Board	PROJECT #814	59,982
	Pass-through North Pacific Research Board	PROJECT #821	21,947
	Pass-through North Pacific Research Board	PROJECT #828	3,281
	Pass-through North Pacific Research Board	PROJECT #901	15,779
	Pass-through North Pacific Research Board	PROJECT #908-A	50,294
	Pass-through North Pacific Research Board	PROJECT #909	3,102
	Pass-through North Pacific Research Board	PROJECT #912	17,199
	Pass-through North Pacific Research Board	PROJECT #913	43,097
	Pass-through North Pacific Research Board	PROJECT #915	14,449
	Pass-through North Pacific Research Board	PROJECT #916	1,951
	Pass-through North Pacific Research Board	PROJECT #918	12,076
	Pass-through North Pacific Research Board	PROJECT #920	43,849
	Pass-through North Pacific Research Board	PROJECT #923	52,805
	Pass-through North Pacific Research Board	PROJECT #927	3,824
	Pass-through North Pacific Research Board	PROJECT #927	342
	Pass-through North Pacific Research Board	PROJECT #929	24,110
11.473	Coastal Services Center		
	Pass-through Alaska Ocean Observing System	H2211-00	24,797
	Pass-through Alaska Ocean Observing System	H2221-00	822,435
	Pass-through Alaska Sea Life Center	R2206-01	2,937
	Pass-through Prince William Sound Science Center	NOAA N08NS4730406	9,913
	Pass-through Seward Association for Advancement of Science	H2201-00	4,094
	Pass-through University of Maryland	NOAA NA07NOS4730140	71,707
11.481	Educational Partnership Program		
	Pass-through North Carolina Agricultural and Technical State University	AGREEMENT NO. 270040E	69,412
Total National Oceanic and Atmospheric Administration			7,573,174
Department of Defense			
12.000	Miscellaneous		2,664,624
Department of the Army			
Office of the Chief of Engineers			
12.114	Collaborative Research and Development		
	Pass-through University of Mississippi	ONR W9113M-06-C-0029	212,651
	ARRA - Collaborative Research and Development		
	Pass-through Tetra Tech, Inc.	100-SWW T24739	33,770

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of the Navy			
Office of the Chief of Naval Research			
12.300	Basic and Applied Scientific Research		1,116,171
	Pass-through Woods Hole Oceanographic Institution	N00014-08-1-0311	67,551
	Pass-through Woods Hole Oceanographic Institution	N00014-10-1-0315	3,434
	Pass-through Florida State University	N00014-05-1-0360	45,248
U.S. Army Medical Command			
12.420	Military Medical Research and Development		1,293,498
12.431	Basic Scientific Research		814,036
	Pass-through Mississippi State University	W56HZV-08-C-0236	460,147
Office of the Secretary of Defense			
12.630	Basic, Applied, and Advanced Research in Science and Engineering		200,796
Department of the Air Force			
Material Command			
12.800	Air Force Defense Research Sciences Program		422,986
Advanced Research Projects Agency			
12.910	Research and Technology Development		1,837,378
	Pass-through BAE Systems	HR0011-09-C-0099	148,724
Total Department of Defense			9,321,014
Department of the Interior			
15.000	Miscellaneous		1,255,707
	Pass-through Hoonah Indian Association	MOA DTD 2/1/2010	58,566
	Pass-through National Fish & Wildlife Foundation	2005-0011-036	1,315
	Pass-through Rutgers	M07PC13368	36,680
	Pass-through State of Alaska	EN 1195139	16,706
	Pass-through State of Alaska	RSA 1195126	2,587
	Pass-through State of Alaska	EN 1195128	9,015
15.900	Disposal of Surplus Wildlife		92,129
Bureau of Land Management			
15.224	Cultural Resource Management		39,548
15.225	Recreation Resource Management		175,265
15.230	Invasive and Noxious Plant Management		6,363
15.231	Fish, Wildlife and Plant Conservation Resource Management		33,925
	Pass-through National Fish & Wildlife Foundation	2009-0035-010	45,165
15.231	ARRA - Fish, Wildlife and Plant Conservation Resource Management		628
15.236	Environmental Quality and Protection Resource Management		96,833
Minerals Management Service			
15.421	Alaska Coastal Marine Institute		543,445
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP)		206,888
	Pass-through Continental Shelf Association, Inc.	MMS M08PC20056	51,831
	Pass-through University of Texas at Austin	MMS M09P00016	53,430
Fish and Wildlife Service			
15.608	Fish and Wildlife Management Assistance		25,583
15.630	Coastal Program		9,885

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
15.634	State Wildlife Grants		
	Pass-through State of Alaska	AR 42636	(3,179)
	Pass-through State of Alaska	RS 1195159	(5,231)
	Pass-through State of Alaska	ADN 1105104	29,328
	Pass-through State of Alaska	RS 1105114	74,285
15.642	Challenge Cost Share		19,635
15.650	Research Grants (Generic)		123,141
15.655	Migratory Bird Monitoring, Assessment and Conservation		35,979
U.S. Geological Survey			
15.805	Assistance to State Water Resources Research Institutes		94,608
15.807	Earthquake Hazards Reduction Program		682,230
15.808	U.S. Geological Survey - Research and Data Collection		928,617
	Pass-through America View, Inc.	AVO8-AK01	29,183
	ARRA - U.S. Geological Survey - Research and Data Collection		4,843
15.810	National Cooperative Geologic Mapping Program		1,778
15.811	Gap Analysis Program		198,600
15.812	Cooperative Research Units Program		1,349,045
15.816	Minerals Resource External Research Program		20,465
15.818	ARRA - Volcano Hazards Program Research and Monitoring		682,504
Total Department of the Interior			<u>7,027,325</u>
Department of Justice			
16.000	Miscellaneous		
	Pass-through Center for Problem Oriented Policing	AGREEMENT DTD 11/29/06	7,200
Office of Juvenile Justice And Delinquency Prevention			
16.541	Part E - Developing, Testing and Demonstrating Promising New Programs		
	Pass-through State of Alaska	EN 0604891	13,630
16.544	Youth Gang Prevention	EN 0604875	29,995
Bureau of Justice Statistics			
16.550	State Justice Statistics Program for Statistical Analysis Centers		47,588
National Institute of Justice			
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants		61,201
Bureau of Justice Assistance			
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program		
	Pass-through State of Alaska	EN 0693059	(155)
	Pass-through State of Alaska	EN 0604856	28,924
16.588	Violence Against Women Formula Grants		
	Pass-through State of Alaska	EN 1200239	38,696
Bureau of Justice Statistics			
16.734	Special Data Collections and Statistical Studies		
	Pass-through Justice Research & Statistics Association	SUBAWARD #AK23-2006-001	13,615
Total Department of Justice			<u>240,694</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Transportation			
20.000	Miscellaneous		
	Pass-through State of Alaska	T2-07-11, RES-07-006, 77001	25,675
20.701	University Transportation Centers Program		2,711,469
20.762	Research Grants		
	Pass-through University of Hawaii	DTOS 5907-G0055	11,473
Federal Aviation Administration			
20.109	Air Transportation Centers of Excellence		303,280
	Pass-through Embry-Riddle Aeronautical University		
		15199-UAAPO142716/01-C-ERAU-IM	4,177
	Pass-through Venture Ad Astra LLC	AGREEMENT DTD 10/14/09	884
Federal Highway Administration			
20.200	Highway Research and Development Program		
	Pass-through Northern Economics, Inc.	CONTRACT DTD 07/20/09	68,471
Total Department of Transportation			<u>3,125,429</u>
Department of the Treasury			
21.000	Miscellaneous		<u>34,852</u>
General Services Administration			
39.009	Federal Citizen Information Center		<u>11,654,443</u>
National Aeronautics and Space Administration			
43.000	Miscellaneous		13,179,483
	Pass-through The John Hopkins University	NNA09DB31A	142,216
	Pass-through Montclair State University	NN08ZDA001N	17,640
	Pass-through SETI Institute	06CA84A-08-002	1,981
	Pass-through SETI Institute	PO 08-SC-1019	18,448
	Pass-through University of California, Berkeley	NAS5-02099	3,064
	Pass-through University of Maryland	NN06AF85G	58,327
	Pass-through University of New Hampshire	NNX08ZN74G	105,820
	Pass-through University of Pittsburgh	NNX08AJ91G	37,570
	Pass-through University of Washington	NNX06AC10G	(1,240)
	Pass-through University of Washington	NNX08AD19G	34,520
	Pass-through Space Research Association	NNX07AJ79G	17,713
43.001	Aerospace Education Services Program		383,516
	Pass-through The Aerospace Corporation	NNH07ZDA001N-HGI	3,121
	Pass-through University of Maryland	NNM07AA99C	70,254
	ARRA - Aerospace Education Services Program		80,687
43.002	Technology Transfer		
	Pass-through University of Colorado	NNX09A104G	6,166
Total National Aeronautics and Space Administration			<u>14,159,286</u>
National Science Foundation			
47.000	Miscellaneous		53,820
	ARRA - Miscellaneous		40,555
47.041	Engineering Grants		202,175
	Pass-through Georgia Institute of Technology	RA0693-G1	16,265
47.049	Mathematical and Physical Sciences		305,940
	Pass-through Stanford University	30891-A	57,588

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
47.050	Geosciences		7,053,208
	Pass-through SRI International	59-001127	530,131
	Pass-through University of Washington	892069	11,560
	Pass-through WGBH Educational Foundation	2008000779A	23,540
	Pass-through Woods Hole Oceanographic Institution	A100638	19,506
47.070	Computer and Information Science and Engineering		295,251
	Pass-through Carnegie Mellon University	IIS 0534217	12,494
47.074	Biological Sciences		2,968,048
	Pass-through University of Washington	592485	6,806
	Pass-through University of California, Berkeley	DEB 0926162	24,910
	ARRA - Biological Sciences		823
47.075	Social, Behavioral, and Economic Sciences		414,654
47.076	Education and Human Resources		4,300,623
47.078	Polar Programs		13,837,874
	Pass-through Aleut International Association	ARC 0634079	(304)
	Pass-through San Diego State University	OPP 0421588 NSF	7,999
	Pass-through University of Maryland	ARC 0732667	13,397
	Pass-through Woods Hole Oceanographic Institution	ARC 0731928	(2,388)
	ARRA - Polar Programs		49,543
	Pass-through Oklahoma State University	AA531200-S1	45,342
47.079	International Science and Engineering (OISE)		312,257
47.082	ARRA - Trans-NSF Recovery Act Research Support		4,820,771
	Pass-through BBN Technologies Corporation	CNS 0940805 NSF	42,567
	Pass-through University of Colorado	ARC 0755725	110,218
	Pass-through University of Washington	ARC 0908262	1,095
	Pass-through University of Washington	CMMI 0936408 NSF	6,035
Total National Science Foundation			<u>35,582,303</u>
Small Business Administration			
59.050	Prime Technical Assistance		<u>130,561</u>
Environmental Protection Agency			
66.000	Miscellaneous		
	Pass-through Environmental Conservation	EN 1890502	55
	Pass-through Environmental Conservation	EN 1800522	89,857
	Pass-through Kenai Watershed Forum	KWF-UAF-001	37,649
	Pass-through Municipality of Anchorage	EPA Grant X-97087801-0	169,359
	Pass-through NatureServe	EPW-07-080 (WA2-11 / WA2-14)	7,134
	Pass-through UNGA Tribal Council	AWARD DTD 05/25/2007	(1,448)
Office of Water			
66.419	Water Pollution Control State, Interstate, and Tribal Program Support		
	Pass-through Environment Conservation	EN 1800502	55,618
	Pass-through State of Alaska	EN 1880505 AMEND 3	34,693
Office of the Administrator			
66.605	Performance Partnership Grants		
	Pass-through State of Alaska	EN 1890501	(129)
Total Environmental Protection Agency			<u>392,788</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Energy			
81.000	Miscellaneous		182,536
	Pass-through Battelle Pacific Northwest Lab	DE-AC06-76RL01830	58,525
	Pass-through Battelle Pacific Northwest Lab	DE-AC05-76RL01830	34,342
	Pass-through Inland Northwest Research Alliance	DE-FG02-05ER64132	66,903
81.036	Inventions and Innovations		
	Pass-through University of California Berkeley	DE-AC02-05CH11231	20,730
81.049	Office of Science Financial Assistance Program		124,268
81.087	ARRA - Renewable Energy Research and Development		81,553
	Pass-through Ocean Renewable Power Company	AGREEMENT DTD 6/10/2010	1,325
	Pass-through University of Washington	UAF 2785	10,975
81.089	Fossil Energy Research and Development		1,054,403
	Pass-through Sandia National Laboratories	643168	67,610
	Pass-through Sandia National Laboratories	746154	28,729
81.113	Defense Nuclear Nonproliferation Research		
	Pass-through University of Mississippi	CTBTO2003-0005	44
81.128	ARRA - Energy Efficiency and Conservation Block Grant Program		
	Pass-through Alaska Housing Finance Corporation	400114 /EEC-10-ISR-1	32,639
81.133	ARRA - Geologic Sequestration Training and Research Grant Program		139
Total Department of Energy			<u>1,764,721</u>
Department of Education			
84.000	Miscellaneous		
	Pass-through Anchorage School District	AGREEMENT AMENDED 05/09	64,248
	Pass-through Anchorage School District	PR/PO 9P13709 AMEND 1	37,452
	Pass-through Alaska Humanities Forum	PR/PO 9P11071 AMEND 1	2,354
Institute of Education Sciences			
84.324	Research in Special Education		
	Pass-through University of Hawaii	PO Z834829, 658889, Z880577	17,266
Office of Elementary and Secondary Education			
84.330	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)		
	Pass-through Anchorage School District	AGREEMENT DTD 1/20/09	(6)
84.356	Alaska Native Educational Programs		
	Pass-through Association of Alaska School Boards	S356K060003	104
	Pass-through Alaska Pacific University	AGREEMENT DTD 4/21/08	2,084
Office of Vocational and Adult Education			
84.048	Career and Technical Education, Basic Grants to States		
Total Department of Education			<u>123,502</u>
Denali Commission			
90.100	Denali Commission Program		<u>465,672</u>
U.S. Election Assistance Commission			
90.401	Help America Vote Act Requirements Payments		
	Pass-through State of Alaska	EN 0194035	<u>22,758</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Health and Human Services			
93.000	Miscellaneous		61,262
	Pass-through Mount Sinai School of Medicine	NIH BAANIHNIAIDDMID 07-20	875,593
	Pass-through South East Alaska Regional Health Consortium	1U17RH08544-01-00	66,914
	Pass-through UCLA School of Public Health	NIH HHSN266200700009C	(84,598)
Administration on Aging			
93.048	Special Programs for the Aging - Title IV - and Title II - Discretionary Projects		228,651
Administration for Children and Families			
93.605	Family Connection Grants		
	Pass-through OnTrack, Inc.	AGREEMENT DTD 01/19/10	2,282
Health Resources and Services Administration			
93.110	Maternal and Child Health Federal Consolidated Programs		
	Pass-through University of Arizona Tucson	T04MC0034	2,488
Substance Abuse and Mental Health Services Administration			
93.243	Substance Abuse and Mental Health Services - Projects of Regional and National Significance		
	Pass-through State of Alaska	EN 0604883	112,552
Health Resources and Services Administration			
93.300	National Center for Health Workforce Analysis		31,803
93.822	Health Careers Opportunity Program		
	Pass-through University of Washington	5 D18 HP02864-007	438
Centers for Disease Control and Prevention			
93.262	Occupational Safety and Health Program		67,799
93.283	Centers for Disease Control and Prevention, Investigations and Technical Assistance		8,674
National Institutes of Health			
93.113	Environmental Health		111,950
93.242	Mental Health Research Grants		1,494,076
93.273	Alcohol Research Programs		(3,108)
93.279	Drug Abuse and Addiction Research Programs		509,791
	Pass-through Pacific Institute for Research and Evaluation, Louisville Center	0049.02.01 R01 DA015966-04A2	32,112
93.307	Minority Health and Health Disparities Research		603,811
93.389	National Center for Research Resources		5,252,259
93.701	ARRA - Trans-NIH Recovery Act Research Support		1,774,640
	Pass-through Alaska Native Tribal Health Consortium	3U01MS048069-04S2	5,670
	Pass-through President & Fellows of Harvard College	1U24RR029825-01	140,780
93.837	Cardiovascular Diseases Research		56,075
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research		693,687
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders		337,014
93.855	Allergy, Immunology and Transplantation Research		
	Pass-through J.Craig Venture Institute	IGSP AGREEMENT 12-2-09	15,597

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Centers For Medicare And Medicaid Services			
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations Pass-through State of Alaska	EN 0693037	1,361
Total Department of Health and Human Services			<u>12,399,573</u>
Department of Homeland Security			
97.061	Centers for Homeland Security Pass-through University of Hawaii	Z884255	549,044
TOTAL RESEARCH AND DEVELOPMENT			<u>111,250,133</u>
OTHER PROGRAMS			
Department of Agriculture			
10.000	Miscellaneous		39,289
	Pass-through State of Arizona	E9H27706	17,202
	Pass-through State of Nevada	USDA 7NV700NV7	48,928
Cooperative State Research, Education, and Extension Service			
10.200	Grants for Agricultural Research, Special Research Grants		373,147
	Pass-through Utah State University	2006-38640-16743	19,763
10.206	Grants for Agricultural Research - Competitive Research Grants		277,980
10.225	Community Food Projects		50,305
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		1,421,865
10.303	Integrated Programs		
	Pass-through University of California, Davis	2007-51120-03885	25,952
	Pass-through University of Idaho	2008-51130-04734	73,305
10.304	Homeland Security - Agricultural Pass-through University of California, Davis	2007-37620-18230	39,793
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		82,126
10.500	Cooperative Extension Service		2,083,320
	Pass-through Kansas State University	2007-48661-03868	148,469
	Pass-through Kansas State University	2006-48659-03721	31,967
	Pass-through University of Wyoming	2007-47001-03798	4,302
	Pass-through University of Wyoming	2006-47001-03417	1,584
	Pass-through University of Wyoming	UTSTUNV 46466AK	10,723
	Pass-through University of Wyoming	UTSTUNV 46467AK	2,305
	Pass-through Washington State University	2005-48605-03347	367,058
Food and Nutrition Service			
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children		
	Pass-through Oklahoma State Department of Health	3409010318 REV 2	14,972
	Pass-through Oklahoma State Department of Health	3409014142	2,219
	Pass-through State of Alaska	EN 0604852	39,298
	Pass-through State of Alaska	EN 0604866	9,941
	Pass-through State of Alaska	EN 0604851	60,967
	Pass-through State of Alaska	EN 0604853	12,029
10.578	WIC Grants to States (WGS) Pass-through State of Hawaii - Department of Health, Honolulu	USDA 7HI700HI7	7,747

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Forest Service			
10.652	Forestry Research		176,728
10.664	Cooperative Forestry Assistance		118,124
Rural Development			
10.769	Rural Business Enterprise Grants		4,994
10.771	Rural Cooperative Development Grants		71,963
Total Department of Agriculture			<u>5,638,365</u>
Department of Commerce			
11.000	Miscellaneous		1,840
	Pass-through Consortium for Oceanographic Research & Education	NA07SEC4690001	(64)
Economic Development Administration			
11.303	Economic Development - Technical Assistance		134,975
National Oceanic and Atmospheric Administration			
11.407	Interjurisdictional Fisheries Act of 1986		19,859
11.417	Sea Grant Support		2,121,087
11.419	Coastal Zone Management Administration Awards		
	Pass-through State of Alaska	AR 35201 GR 34282	999
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program		
	Pass-through State of Alaska	EN 1185968	221,693
11.472	Unallied Science Program		45,300
	Pass-through North Pacific Research Board	NA17FL2556	(2,839)
	Pass-through North Pacific Research Board	NPRB AGREEMENT 826	110,680
Total Department of Commerce			<u>2,653,530</u>
Department of Defense			
12.000	Miscellaneous		16,003
Defense Logistics Agency			
12.002	Procurement Technical Assistance For Business Firms		418,206
U.S. Army Material Command			
12.431	Basic Scientific Research		
	Pass-through Academy of Applied Science (AAS)	W911NF-04-1226	1,391
	Pass-through Academy of Applied Science (AAS)	SBGRNT; 08-48,49,50 DATE 8.7.8	388
Department of the Air Force			
Office of Material Command			
12.800	Air Force Defense Research Sciences Program		532
Total Department of Defense			<u>436,520</u>
Department of Housing and Urban Development			
14.000	Miscellaneous		
	Pass-through Alaska Housing Finance Corporation	ADN 459104	(9)
	Pass-through Alaska Housing Finance Corporation	ADN 400019	127,758
Office of Policy Development and Research			
14.515	Alaska Native / Native Hawaiian Institutions Assisting Communities		1,158,744
Total Department of Housing and Urban Development			<u>1,286,493</u>
Department of the Interior			
15.000	Miscellaneous		230,884
	Pass-through Qawalangin Tribe	2007-02 CHECK 11054	32,638

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
15.900	Disposal of Surplus Wildlife		19,648
Bureau of Indian Affairs			
15.114	Indian Education - Higher Education Grant Program		8,481
Bureau of Land Management			
15.224	Cultural Resource Management		1,042,447
Fish and Wildlife Service			
15.608	Fish and Wildlife Management Assistance		(280)
	Pass-through National Fish & Wildlife Foundation	2007-0140-000	52,540
15.630	Coastal Program		30,884
15.642	Challenge Cost Share		37,270
U.S. Geological Survey			
15.807	Earthquake Hazards Reduction Program		83,268
15.808	US Geological Survey, Research and Data Collection	G09AC00496 - ARRA	49,682
	ARRA - US Geological Survey, Research and Data Collection		158,698
Total Department of the Interior			<u>1,746,160</u>
Department of Justice			
16.000	Miscellaneous		43,137
Office on Violence Against Women			
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities		
	Pass-through State of Alaska	EN 0693070	13,115
	Pass-through State of Alaska	EN 0604879	148,748
Total Department of Justice			<u>205,000</u>
Department of Labor			
Employment Training Administration			
17.261	WIA Pilots, Demonstrations, and Research Projects		119,273
17.266	Work Incentive Grants		
	Pass-through State of Alaska	EN 0705080	45,358
17.268	H-1B Job Training Grants		119,881
17.269	Community Based Job Training Grants		364,911
Mine Safety and Health Administration			
17.600	Mine Health and Safety Grants		84,541
Office of Disability Employment Policy			
17.720	Disability Employment Policy Development		
	Pass-through State of Alaska	EN 0693072	12,584
	Pass-through State of Alaska	EN 0604877	219,222
Total Department of Labor			<u>965,770</u>
Department of State			
19.000	Miscellaneous		<u>50,721</u>
Department of Transportation			
Federal Aviation Administration			
20.108	Aviation Research Grants		(9,700)
20.109	Air Transportation Centers of Excellence		
	Pass-through Embry-Riddle Aeronautical University	DTF ACT-02-D-00037	29,420

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Federal Highway Administration			
20.200	Highway Research and Development Program		
	Pass-through University of Idaho	DTF H6108-G-00009	18,477
20.215	Highway Training and Education		238,483
Total Department of Transportation			<u>276,680</u>
Office of Personnel Management			
27.011	Intergovernmental Personnel Act (IPA) Mobility Program		<u>(11,104)</u>
National Aeronautics and Space Administration			
43.000	Miscellaneous		32,082
	Pass-through Alaska Challenger Center for Space Science Technology Inc.	NNX10AD89G	38,930
	Pass-through George Mason University	NNG04GE61A	(203)
43.001	Aerospace Education Services Program		197,983
	Pass-through Bigelow Labs	KM061-0910011011TR	48,000
Total National Aeronautics and Space Administration			<u>316,792</u>
National Endowment for the Arts			
45.025	Promotion of the Arts - Partnership Agreements		
	Pass-through State of Alaska	FY10CAD0040	1,427
	Pass-through State of Alaska	FY10CAD0041	3,000
Total National Endowment for the Arts			<u>4,427</u>
National Endowment for the Humanities			
45.149	Promotion of the Humanities - Division of Preservation and Access		29,310
45.169	Promotion of the Humanities - Office of Digital Humanities		8,593
Total National Endowment for the Humanities			<u>37,903</u>
Institute of Museum and Library Services			
45.310	Grants to States		
	Pass-through State of Alaska	LS-00-09-0002-09	213,256
	Pass-through State of Alaska	RSA 0590656	(353)
Total Institute of Museum and Library Services			<u>212,903</u>
National Science Foundation			
47.041	Engineering Grants		138,471
47.050	Geosciences		776,785
47.070	Computer and Information Science and Engineering		207,947
47.074	Biological Sciences		278,916
47.076	Education and Human Resources		1,270,838
47.078	Polar Programs		71,084
47.079	International Science and Engineering (OISE)		16,729
47.081	Office of Experimental Program to Stimulate Competitive Research		41,382
47.082	ARRA - Trans-NSF Recovery Act Research Support		132,612
Total National Science Foundation			<u>2,934,764</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Small Business Administration			
59.009	Procurement Assistance to Small Businesses		(113,620)
59.037	Small Business Development Centers		<u>777,607</u>
Total Small Business Administration			<u>663,987</u>
Environmental Protection Agency			
Office of the Chief Financial Officer			
66.202	Congressionally Mandated Projects		<u>305,436</u>
Department of Energy			
81.000	Miscellaneous		
	Pass-through Inland Northwest Research Alliance	DE-FG07-02ID14277	10
81.089	Fossil Energy Research and Development		<u>325,140</u>
Total Department of Energy			<u>325,150</u>
Department of Education			
84.000	Miscellaneous		
	Pass-through National Writing Project Corporation	CONTRACT 92-AK01	11,193
	Pass-through National Writing Project Corporation	CONTRACT 09-AK02	115,919
	Pass-through Program Income	PNWPC001	36,338
Office of Vocational and Adult Education			
84.002	Adult Education - Basic Grants to States		
	Pass-through State of Alaska	EN 0700441	117,336
	Pass-through State of Alaska	EN 0705601	271,605
	Pass-through State of Alaska	EN 0705603	74,916
	Pass-through State of Alaska	EN 0705604	32,086
	Pass-through State of Alaska	EN 0705605	25,999
84.048	Career and Technical Education - Basic Grants to States		
	Pass-through State of Alaska	EL 09.163.01/EL09.163.02	(1)
	Pass-through State of Alaska	EN 0500380	92,038
	Pass-through State of Alaska	EN 0500377	11,360
	Pass-through State of Alaska	EN 0500376	65,675
84.243	Tech-Prep Education		
	Pass-through State of Alaska	EN 0500151	287,568
	Pass-through State of Alaska	EN 0500150	3,340
	Pass-through State of Alaska	EN 0580593	(125)
Office of Postsecondary Education			
84.031	Higher Education - Institutional Aid		10,011,353
84.116	Fund for the Improvement of Postsecondary Education		1,130,894
84.333	Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities		46,820
Office of Special Education and Rehabilitative Services			
84.323	Special Education - State Personnel Development		
	Pass-through State of Alaska	ADN 0590591	3,607
	Pass-through State of Alaska	ADN 0500138	369,322
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities		93,394

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Office of Innovation and Improvement			
84.215	Fund for the Improvement of Education		127,452
84.336	Teacher Quality Partnership Grants		1,490,507
Office of Elementary and Secondary Education			
84.299	Indian Education - Special Programs for Indian Children		247,587
84.356	Alaska Native Educational Programs		4,736,804
	Pass-through Doyon Foundation	MOA DTD 11/30/06	512
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 10/01/09	7,127
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 11/06/09	7,752
84.363	School Leadership		
	Pass-through Alaska Staff Development Network (ASDN)	409-RAPPS	14,375
84.366	Mathematics and Science Partnerships		
	Pass-through State of Alaska	RSA 0500796	287,893
84.367	Improving Teacher Quality State Grants		
	Pass-through State of Alaska	HE 09.159.02	811
Office of Educational Research and Improvement			
84.305	Education Research, Development and Dissemination		411,161
Total Department of Education			<u>20,132,618</u>
Denali Commission			
90.100	Denali Commission Program		573,042
	Pass-through Alaska Public Broadcasting, Inc.	AGREEMENT 174-05	39,494
	Pass-through State of Alaska	760017	1
Total Denali Commission			<u>612,537</u>
Department of Health and Human Services			
93.000	Miscellaneous		
	Pass-through Center for Public Service Communications	AGREEMENT 2008-06 ADMEN 2	38,423
	Pass-through Iowa State University	1RC2DA028879-01	3,026
93.013	Ambassadors for Change		
	Pass-through State of Alaska	EN 0604882	33,205
Administration on Aging			
93.043	Special Programs for the Aging - Title III, Part D - Disease Prevention and Health Promotion Services		
	Pass-through State of Alaska	EN 0693076	1,177
Health Resources and Services Administration			
93.110	Maternal and Child Health Federal Consolidated Programs		
	Pass-through Children's Hospital Los Angeles	5 H98MC08579-02-00 HRSA	48,194
	Pass-through State of Alaska	EN 0604876	80,926
	Pass-through State of Alaska	EN 0604894	6,647
93.178	Nursing Workforce Diversity		9,288
93.358	Advanced Education Nursing Traineeships		28,944
93.417	ARRA - Nursing Workforce Diversity		207,921
93.824	Basic / Core Area Health Education Centers		1,141,561
93.888	Specially Selected Health Projects		444,367
93.969	Geriatric Education Centers		391,609

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
National Institutes of Health			
93.279	Drug Abuse and Addiction Research Programs Pass-through Terra Nova Learning Systems	1R44DA022907-01	30
93.389	National Center for Research Resources		223,054
93.702	ARRA - National Center for Research Resources, Recovery Act Construction Support		45,837
Centers for Disease Control and Prevention			
93.283	Centers for Disease Control and Prevention - Investigations and Technical Assistance		332,236
Administration for Children and Families			
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service Pass-through University of Kansas	CONTRACT FY2009-034	431,478 12,567
93.658	Foster Care - Title IV-E Pass-through State of Alaska Pass-through State of Alaska	EN 0604850 EN 0693065	206,078 341
Centers for Medicare and Medicaid Services			
93.768	Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities Pass-through State of Alaska Pass-through State of Alaska Pass-through State of Alaska	EN 0603091 EN 0683064 EN 0693073	201,040 128 (3,668)
Substance Abuse and Mental Health Services Administration			
93.959	Block Grants for Prevention and Treatment of Substance Abuse Pass-through State of Alaska Pass-through University of Washington	602-09-009 EN 0660357	(399) 1,803
Total Department of Health and Human Services			<u>3,885,813</u>
Corporation for National and Community Service			
94.005	Learn and Serve America - Higher Education Pass-through Community College National Center for Community Engagement	06LHHAZ001	10,808
94.013	Volunteers in Service to America		3,868
94.013	ARRA - Volunteers in Service to America		5,000
Total Corporation for National and Community Service			<u>19,676</u>
Social Security Administration			
96.008	Social Security - Work Incentives Planning and Assistance Program		<u>93,462</u>
Agency for International Development			
98.012	USAID Development Partnerships for University Cooperation and Development Pass-through International Research Exchange Board	FY08-AR03-U-ALASKA-01- MOD 3	<u>74,515</u>
TOTAL OTHER PROGRAMS			<u>42,868,118</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
PUBLIC WORKS AND ECONOMIC DEVELOPMENT			
Department of Commerce			
Economic Development Administration			
11.307	Economic Adjustment Assistance		<u>17,400</u>
WORKFORCE INVESTMENT ACT (WIA)			
Department of Labor			
Employment Training Administration			
17.259	WIA Youth Activities		
	Pass-through State of Alaska	EN 0706117	109,067
	Pass-through State of Alaska	EN 0706161	224,949
	Pass-through State of Alaska	EN 0796111	(162)
	ARRA - WIA Youth Activities		
	Pass-through State of Alaska	EN 0706119	310,000
17.260	WIA Dislocated Workers		
	Pass-through State of Alaska	EN 0796100	68
	Pass-through State of Alaska	EN 0706113, 0700012, 0700531	<u>208,797</u>
TOTAL WORKFORCE INVESTMENT ACT (WIA)			<u><u>852,719</u></u>
HIGHWAY PLANNING AND CONSTRUCTION			
Department of Transportation			
Federal Highway Administration			
20.205	Highway Planning and Construction		14,475
	Pass-through State of Alaska	EN 2573008	<u>25,010</u>
TOTAL HIGHWAY PLANNING AND CONSTRUCTION			<u><u>39,485</u></u>
SPECIAL EDUCATION			
Department of Education			
Office of Special Education and Rehabilitative Services			
84.027	Special Education - Grants to States		
	Pass-through State of Alaska	EN 0500136	15,026
	Pass-through State of Alaska	EN 0500180	101,266
	Pass-through State of Alaska	EN 0500181	<u>8,830</u>
TOTAL SPECIAL EDUCATION			<u><u>125,122</u></u>
TRIO			
Department of Education			
Office of Postsecondary Education			
84.042	TRIO - Student Support Services		771,620
84.044	TRIO - Talent Search		926,948
84.047	TRIO - Upward Bound		1,240,883
84.066	TRIO - Educational Opportunity Centers		<u>668,961</u>
TOTAL TRIO			<u><u>3,608,412</u></u>
TANF			
Administration for Children and Families			
93.558	Temporary Assistance for Needy Families		
	Pass-through State of Alaska	604-10-009	<u>40,000</u>
CSBG			
Administration for Children and Families			
93.710	ARRA - Community Services Block Grant		<u>4,712</u>

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2010

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
MEDICAID			
Department of Health and Human Services			
Centers for Medicare and Medicaid Services			
93.778	Medical Assistance Program		
	Pass-through State of Alaska	EN 0693093	<u>373</u>
GRAND TOTAL			<u>\$ 246,280,692</u>

UNIVERSITY OF ALASKA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2010

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of the University of Alaska. The University of Alaska is a constitutionally created corporation and a component unit of the State of Alaska. Federal financial assistance received directly from federal agencies as well as federal financial assistance passed through other government agencies is included on the schedule.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using the economic resources measurement focus and accrual basis of accounting, which is described in note 1 to the University of Alaska's financial statements.

(3) Subrecipients

The University of Alaska passed through \$ 6,041,741 of federal awards to subrecipients during the year ended June 30, 2010. Expenditures incurred by the subrecipients are listed below by federal program. These amounts are included in the Schedule of Expenditures of Federal Awards:

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Agriculture		
Agricultural Research Basic and Applied Research	10.001	\$ 621,182
Grants for Agricultural Research, Special Research Grants	10.200	100,115
Alaska Native Serving and Native Hawaiian Serving Institutions		
Education Grants	10.228	8,208
Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers	10.443	10,000
Cooperative Extension Service	10.500	86,902
		<u>826,407</u>
Department of Commerce		
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400	47,689
Sea Grant Support	11.417	148,691
Undersea Research	11.430	104,460
National Oceanic and Atmospheric Administration (NOAA)		
Cooperative Institutes	11.432	55,696
		<u>356,536</u>
Department of Defense		
Basic and Applied Scientific Research	12.300	439,532
Military Medical Research and Development	12.420	31,573
Basic Scientific Research	12.431	228,460
Basic, Applied, and Advanced Research in Science and Engineering	12.630	17,041
		<u>716,606</u>
Department of Housing and Urban Development		
Alaska Native / Native Hawaiian Institutions Assisting Communities	14.515	192,013
Department of the Interior		
Miscellaneous	15.000	11,585
Assistance to State Water Resources Research Institutes	15.805	27,650
		<u>39,235</u>
Bureau of Justice Assistance		
Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities	16.529	51,179
Violence Against Women Formula Grants	16.588	46,116
		<u>97,295</u>

UNIVERSITY OF ALASKA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2010

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Labor		
Community Based Job Training Grants	17.269	75,275
Disability Employment Policy Development	17.720	90,169
		<u>165,444</u>
Department of Transportation		
University Transportation Centers Program	20.701	<u>161,694</u>
General Services Administration		
Federal Citizen Information Center	39.009	<u>225,680</u>
National Aeronautics and Space Administration		
Miscellaneous	43.000	<u>324,739</u>
National Science Foundation		
Miscellaneous	47.000	20
Engineering Grants	47.041	205,698
Geosciences	47.050	153,763
Computer and Information Science and Engineering	47.070	7,577
Biological Sciences	47.074	170,336
Education and Human Resources	47.076	23,052
Polar Programs	47.078	195,568
		<u>756,014</u>
Department of Energy		
Fossil Energy Research and Development	81.089	<u>40,590</u>
Department of Education		
Miscellaneous	84.000	10,320
TRIO - Upward Bound	84.047	21,516
Tech-Prep Education	84.243	876
Teacher Quality Enhancement Grants	84.336	184,790
Alaska Native Educational Programs	84.356	250,129
Mathematics and Science Partnerships	84.366	28,835
		<u>496,466</u>
Denali Commission		
Denali Commission Program	90.100	<u>93,884</u>
Department of Health and Human Services		
Mental Health Research Grants	93.242	152,249
Minority Health and Health Disparities Research	93.307	7,399
ARRA - Trans-NIH Recovery Act Research Support	93.701	58,604
Area Health Education Centers Infrastructure Development Awards	93.824	984,940
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	158,766
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	110,868
		<u>1,472,826</u>
Department of Homeland Security		
Centers for Homeland Security	97.061	<u>76,312</u>
Total Federal Expenditures - Subrecipients		<u><u>\$ 6,041,741</u></u>

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Schedule of Findings and Questioned Costs
Year Ended June 30, 2010

(1) Summary of Auditors' Results

Financial Statements

Type of auditor's report issued

unqualified

Internal control over financial reporting:

- Material weakness(es) identified? _____ yes X no
- Significant deficiencies identified that are not considered to be material weakness(es)? _____ yes X none reported
- Noncompliance material to financial statements noted? _____ yes X no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? _____ yes X no
- Significant deficiencies identified that are not considered to be material weakness(es)? _____ yes X none reported

Type of auditor's report issued on compliance for major programs

unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

_____ yes X no

CFDA

<u>Number(s)</u>	<u>Name of Federal Program or Cluster</u>
Various	Research and Development Cluster
Various	TRIO Cluster
Various	Workforce Investment Act Cluster

Dollar threshold used to distinguish between type A and type B programs:

\$ 3,000,000

Auditee qualified as low-risk auditee?

 X yes _____ no

(1) There were no findings relating to the financial statements reported in accordance with *Government Auditing Standards*

(2) There were no findings relating to Federal Awards



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**Report on Internal Control over
Financial Reporting and on Compliance and Other Matters
Based on an Audit of Financial Statements Performed in
Accordance with *Government Auditing Standards***

The Board of Regents
The University of Alaska:

We have audited the financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2010, and have issued our report thereon dated November 11, 2010. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the University of Alaska's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University of Alaska's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over financial reporting.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.



Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University of Alaska's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, the Board of Regents, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

November 11, 2010