

**Audit Status Report
As of March 13, 2014**

FY2014 Audit Plan

Italic Items - have been completed or are in progress

External Financial Audit Support:

<p><i>Year-end Cutoff</i></p> <p><i>Procurement Card</i></p> <p><i>Payroll</i></p> <p><i>Journal Entries</i></p> <p><i>Cash Disbursements & Bank Transfers</i></p>	<p><i>Cash</i></p> <p><i>Auxiliary Revenues</i></p> <p><i>Unexpended Plant Fund Additions</i></p> <p><i>Search for Unrecorded Liabilities</i></p>
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Audits and Projects:

<p>University of Alaska Anchorage:</p> <p style="padding-left: 20px;"><i>Student</i></p> <p style="padding-left: 20px;"><i>Department Review</i></p> <p style="padding-left: 20px;"><i>Subcontract Monitoring</i></p> <p style="padding-left: 20px;"><i>Restricted Funds Monitoring*</i> (FY13)</p> <p style="padding-left: 20px;"><i>Departmental Review** - Mat-Su</i> <i>College Phase II (FY13)</i></p> <p>University of Alaska Fairbanks:</p> <p style="padding-left: 20px;"><i>Student</i></p> <p style="padding-left: 20px;"><i>Department Review*</i></p> <p style="padding-left: 20px;"><i>Athletics</i></p> <p>University of Alaska Southeast:</p> <p style="padding-left: 20px;"><i>Sitka Campus Title III (FY13)</i></p> <p>Statewide:</p> <p style="padding-left: 20px;"><i>Department Review</i></p> <p style="padding-left: 20px;"><i>Training</i></p> <p>Function and System Reviews:</p> <p style="padding-left: 20px;"><i>Budget</i></p> <p style="padding-left: 20px;"><i>Construction Project Management</i> <i>and Operations Planning</i></p> <p style="padding-left: 20px;"><i>Contract Authorization and</i> <i>Administration</i></p> <p style="padding-left: 20px;"><i>Risk Management</i></p> <p>Information Systems Reviews:</p>	<p><i>OnBase Access Controls**</i></p> <p><i>Mobile Technology Security</i></p> <p><i>Records Management and Data</i> <i>Disposal</i></p> <p><i>Business Continuity</i></p> <p><i>Banner Access Controls** (FY13)</i></p> <p><i>Data Integrity (FY13)</i></p> <p><i>Ongoing Audits:</i></p> <p style="padding-left: 20px;"><i>Follow-up Auditing</i></p> <p style="padding-left: 20px;"><i>Continuous Controls Auditing</i></p> <p><i>Special Requests*</i></p> <p style="padding-left: 20px;"><i>ProCard - UAA, UAF, UAS</i></p> <p style="padding-left: 20px;"><i>Electronic Research Administration</i></p> <p><i>Investigations*</i></p> <p style="padding-left: 20px;"><i>#1 - Confidential</i></p> <p style="padding-left: 20px;"><i>#2 - Confidential</i></p> <p style="padding-left: 20px;"><i>#3 - Confidential</i></p> <p style="padding-left: 20px;"><i>#4 - Confidential</i></p> <p style="padding-left: 20px;"><i>#5 - Confidential</i></p> <p style="padding-left: 20px;"><i>#6 - Confidential</i></p> <p><i>*Specific departments/areas to be</i> <i>determined later</i></p> <p><i>**Carried forward from FY13</i></p>
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1. FY2014 Audit Plan Progress and Department Staffing
 - a. Three full-time auditors and a part time student intern.
 - b. One vacancy for a full-time auditor.
2. Audit Reports:
 - a. Preliminary reports issued January 15, 2014 with formal response due March 19, 2014:
 - UAA Mat-Su College Phase II
 - UAA Restricted Funds Budget and Expenditure Monitoring
 - b. Preliminary reports issued January 16, 2014 with formal response due March 19, 2014:
 - UAA Disability Support Services
3. Audits in Progress:
 - a. Sitka Campus Title III
 - b. Data Integrity – Student Enrollment Records
 - c. Construction Project Management and Operations Planning
4. Support and Consultation Activities
 - d. In progress:
 - i. University regulation and hotline for fraud, waste and abuse.
 - ii. Development of the FY15 Annual Audit Plan.
 - iii. Business continuity (Kuali Ready implementation).
 - iv. Assistance with risk management identification and risk planning processes.
 - v. Internal control discussions with staff system wide (upon request).

**External Audit Status Report
As of March 13, 2014**

State Legislative Audit Activities

None

External Audit Reports & Activities

Work in Progress:

1. Sikuliaq Research Vessel (NSF)
2. FY14-FY16 Facilities and Administrative Rate Proposal (DCAA)