



U N I V E R S I T Y
o f A L A S K A

Many Traditions One Alaska

Preliminary Performance Evaluation

Reference 1

Board of Regents
September 23-24, 2010
Juneau, Alaska

Prepared by Statewide Planning & Institutional Research
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University of Alaska
Preliminary Performance Evaluation
September 2010

This document provides an overview of recent performance and future expectations for key measures. The information presented here will be updated to reflect President Gamble's final FY12 budget recommendations to the Board of Regents presented at the November 2, 2010 meeting. Initial UA performance evaluations were submitted to the Governor's Office of Management and Budget. Later refinements will be made to reflect the Board of Regents' approved FY12 budget request and the Governor's proposed FY12 budget.

UA's performance evaluation process, in place since FY04, is the mechanism used to communicate, drive and measure resource alignment and progress toward meeting the Board of Regents' strategic goals. Performance impacts are a key consideration in the development of budget request and distribution recommendations, and the long-range fiscal and performance plan. The university currently utilizes six common, system wide performance measures, referred to as "End Results" by the State of Alaska, including: high demand job area program awards, student retention, student credit hour enrollment, non-credit instruction, grant-funded research expenditures, and university generated revenue. This set of measures will be reviewed and revised in the near future to encompass additional areas of interest.

As part of the annual budget request cycle, each MAU submits an in-depth assessment of recent performance, in the context of UA and MAU mission, strategies, and established expectations. In addition, each MAU proposes and/or updates the targets and goals for the upcoming five-year period. The president and each chancellor agree on appropriate targets and goals for each MAU. Throughout the year MAUs monitor the impact of implemented strategies and operating condition changes on performance and adjust strategies as needed to meet, or understand the difference between results and targets.

Each MAU controls the distribution of its performance funding pool, to be used in support of performance-related strategies. One percent of general funds is the expected funding pool size, although annual circumstances dictate the amount chosen by the MAU for internal reallocation. These performance funds are allocated to appropriate strategic investments and reported as part of the overall performance and accountability process.

Recent performance trends as well as future targets and goals for UA's common, system wide performance measures are provided in the table starting on page 2, followed by a brief discussion of each measure.

Performance Measure Highlights

A discussion of FY10 performance for each of the six common, system wide End Result measures follows. For more detail and historical activity on each End Result measure and supporting Strategy performance measures, see: <http://www.alaska.edu/swbir/performance>.

High Demand Job Area Program Degree, Certificate and Endorsement Awards

UA experienced a near 11 percent increase (260 awards) in HDJA program awards from FY09 to FY10. The target for FY11 awards is based on investments that have already been made in HDJA programs as well as the number of majors currently enrolled. Maintenance of, and increases beyond this level of HDJA degrees awarded, will require continued and consistent investment in these program areas. The university must average a 5 percent annual increase to achieve the existing goal of 3,334 HDJA awards by FY15. Additional context related to recent performance, as well as updated targets and goals will be available in November 2010.

Recent performance in this area demonstrates a relative plateau, due in part to some programs reaching capacity. Another factor impacting this measure is the additional time required for many students to successfully complete preparatory math or other coursework in addition to the standard course requirements of each academic program. To maintain the expected level of performance, it will be necessary to continue focusing resources over time.

Undergraduate Retention

The University of Alaska undergraduate retention rate reached an all time high at 68.1 percent in FY10, an increase of 1.3 percent from the FY09 performance level and exceeded the FY10 target of 68.0 percent.

UA must increase undergraduate retention by an average of half a percent each year to meet the existing FY15 performance goal. Additional context related to recent performance, as well as updated targets and goals will be available in November 2010.

Across the nation and in Alaska, the issue of college and career readiness has become a focal point for higher education. The job landscape has changed such that individuals must be able to succeed at some form of post-secondary education in order to succeed and advance economically.

Student Credit Hours

FY10 student credit hours (SCH) delivered by the University of Alaska totaled 603,000 and was well above the target level set for FY10 of 574,000 SCH delivered. Additional context related to recent performance, as well as updated targets and goals will be available in November 2010.

The University of Alaska is forecasting continued growth on this metric primarily resulting from the current economic situation driving more individuals to post-secondary education. UA will be challenged to meet any additional long-term increases in demand. Projected growth is also in anticipation of positive results stemming from UA's strategic investments in student recruitment, student

success, workforce development and the historical addition of new academic programs. Achieving this growth may be a challenge due to external conditions, such as an anticipated decrease in the number of recent Alaska high school graduates over time and the need for increased operating efficiencies.

Non-Credit Instructional Unit Production

UA delivered nearly 16,049 non-credit instructional units (NCUs) in FY10. Additional context related to FY10 performance, as well as future targets and goals for this measure will be available in November 2010.

Grant Funded Research Expenditures

University restricted research expenditures totaled \$126.9 million in FY10, which was a 9.2 percent (\$10.7 million) increase from the FY09 performance level and surpassed the target level set for FY10 of \$118.3 million. To achieve the current FY15 research focus goal of \$140.8 million, UA would have to increase grant-funded research expenditures by an average annual rate of roughly 4 percent. Additional context related to recent performance, as well as updated targets and goals will be available in November 2010. These figures do not consider the impact of ARRA funding, which is considered to be a short-term funding source.

University Generated Revenue

In FY10 the University of Alaska generated \$405 million from non-GF sources, representing a 6 percent (\$23 million) increase from the FY09 level of \$382 million. This performance exceeded the established target set for FY10 of \$403 million. Additional context related to recent performance, as well as updated targets and goals will be available in November 2010.

Growth in university generated revenue is expected to be moderate due to modest increases in tuition revenue mitigated by the current financial market crisis, a more competitive federal funding environment, as well as challenges with other major external, temporary funding sources.

Table 1. University of Alaska Performance Results, Targets and Goals, FY06 - FY15

Note: The FY11 - FY15 targets and goals listed will be updated based the President's proposed FY12 Budget request to the UA Board of Regents with the assumption of flat funding for UA's proposed FY12 operating and capital budget requests.

	FY06	FY07	FY08	FY09	FY10	FY10	FY11	FY12	FY13	FY14	FY15
High Demand Job Graduates	Actuals	Actuals	Actuals	Actuals	Actuals	Target	Target	Goals	Goals	Goals	Goals
UAA	1,358	1,558	1,549	1,574	1,661	1,632	1,714	1,800	1,890	1,985	2,084
UAF	727	741	731	652	775	775	790	885	915	945	960
UAS	198	206	259	237	287	272	284	295	308	315	290
<i>Health</i>	<i>677</i>	<i>732</i>	<i>772</i>	<i>710</i>	<i>816</i>	<i>830</i>	<i>872</i>	<i>915</i>	<i>961</i>	<i>1,002</i>	<i>1,029</i>
<i>Baccalaureate Engineering</i>	<i>85</i>	<i>72</i>	<i>81</i>	<i>94</i>	<i>148</i>	<i>110</i>	<i>125</i>	<i>150</i>	<i>180</i>	<i>200</i>	<i>200</i>
<i>Engineering/ Construction</i>	<i>212</i>	<i>201</i>	<i>228</i>	<i>232</i>	<i>274</i>	<i>298</i>	<i>330</i>	<i>360</i>	<i>365</i>	<i>380</i>	<i>390</i>
High Demand Job Graduates	2,283	2,505	2,539	2,463	2,723	2,679	2,788	2,980	3,113	3,245	3,334
Percent Change from Prior Year	9.2%	9.7%	1.4%	-3.0%	10.6%		4.1%	6.9%	4.5%	4.2%	2.7%

Note: To provide valid comparison trends, historical information is adjusted to reflect the programs currently classified as High Demand.

	FY06	FY07	FY08	FY09	FY10	FY10	FY11	FY12	FY13	FY14	FY15
FTFT Undergraduate Retention	Actuals	Actuals	Actuals	Actuals	Actuals	Target	Target	Goals	Goals	Goals	Goals
UAA	64.4%	67.6%	66.7%	68.7%	70.0%	68.0%	68.0%	68.0%	68.0%	68.0%	68.1%
UAF	63.4%	65.7%	63.9%	66.0%	66.7%	67.0%	68.0%	69.0%	70.0%	71.0%	71.0%
UAS	66.0%	57.5%	51.8%	53.7%	57.5%	55.0%	57.0%	59.0%	61.0%	63.0%	63.0%
<i>Baccalaureate</i>	<i>69.7%</i>	<i>73.0%</i>	<i>71.6%</i>	<i>73.6%</i>	<i>76.1%</i>	<i>74.0%</i>	<i>75.6%</i>	<i>76.7%</i>	<i>77.8%</i>	<i>77.8%</i>	<i>77.8%</i>
<i>Baccalaureate Scholars</i>	<i>79.2%</i>	<i>79.6%</i>	<i>83.0%</i>	<i>85.2%</i>	<i>83.6%</i>	<i>87.0%</i>	<i>87.0%</i>	<i>87.2%</i>	<i>87.5%</i>	<i>87.9%</i>	<i>88.0%</i>
Retention	64.0%	66.1%	64.6%	67.2%	68.1%	68.0%	68.0%	68.5%	69.0%	69.5%	70.0%
Percent Change from Prior Year	-1.7%	3.3%	-2.3%	4.0%	1.3%		-0.1%	0.7%	0.7%	0.7%	0.7%

Note: FY11 retention data will be available after the Fall 2010 opening extract on September 23, 2010

	FY06	FY07	FY08	FY09	FY10	FY10	FY11	FY12	FY13	FY14	FY15
SCH Attempted (Thousands)	Actuals	Actuals	Actuals	Actuals	Actuals	Target	Target	Goals	Goals	Goals	Goals
UAA	336	339	340	344	365	347	349	352	354	358	359
UAF	169	171	172	174	184	178	179	181	183	185	186
UAS	52	49	47	49	54	49	51	52	54	56	56
SCH Attempted	558	559	559	566	603	574	579	585	591	598	601
Percent Change from Prior Year	0.3%	0.2%	0.0%	1.3%	6.5%	1.3%	0.8%	1.1%	1.0%	1.2%	0.5%

Note: Figures include year-long courses.

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	FY09	FY10	FY10	FY11	FY12	FY13	FY14	FY15
Non-Credit Instructional Activity	Actuals	Actuals	Target	Target	Goals	Goals	Goals	Goals
UAA	6,537	10,197						
UAF	2,732	4,246	4,246	3,300	3,500	3,500	3,500	3,500
UAS	1,604	1,606	1,606	1,800	1,840	1,880	1,920	1,960
Non-Credit Instructional Activity	10,873	16,049	15,352	15,100	15,840	15,880	15,920	15,960
Percent Change from Prior Year		47.6%		-1.6%	4.9%	0.3%	0.3%	0.3%

Note: FY09 was the first year MAUs reported non-credit instructional activity, and additional data entry refinements are in progress.

Research Expenditures (Million \$)	FY06	FY07	FY08	FY09	FY10	FY10	FY11	FY12	FY13	FY14	FY15
	Actuals	Actuals	Actuals	Actuals	Actuals	Target	Target	Goals	Goals	Goals	Goals
UAA	13.7	10.3	8.8	8.1	11.3	7.8	7.9	8.0	8.1	8.2	8.2
UAF	113.9	112.6	108.0	106.5	114.1	109.0	112.0	115.0	119.0	125.0	131.0
UAS	0.8	1.2	2.1	1.5	1.5	1.5	1.5	1.6	1.6	1.6	1.6
Research Expenditures	128.4	124.1	118.9	116.2	126.9	118.3	121.4	124.6	128.7	134.8	140.8
Percent Change from Prior Year	4.7%	-3.3%	-4.2%	-2.3%	9.2%		2.7%	2.6%	3.3%	4.0%	4.0%

University Generated Revenue (Million \$)	FY06	FY07	FY08	FY09	FY10	FY10	FY11	FY12	FY13	FY14	FY15
	Actuals	Actuals	Actuals	Actuals	Actuals	Target	Target	Goals	Goals	Goals	Goals
UAA	118	122	127	132	142	139	145	150	155	161	167
UAF	204	210	211	213	223	220	227	235	245	256	266
UAS	20	19	20	19	20	21	22	23	24	25	26
SW	22	28	21	18	19	23	24	26	27	28	28
University Generated Revenue	364	380	379	382	405	403	417	434	451	470	488
Percent Change from Prior Year	7.9%	4.4%	-0.1%	0.8%	6.0%		3.5%	3.9%	4.1%	4.3%	3.6%