



UNIVERSITY
of ALASKA

Many Traditions One Alaska

6-Year Capital Improvement Plan
FY11-FY16

Reference #4

Board of Regents
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Fairbanks

Prepared by Statewide Planning & Budget
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Table of Contents

Introduction.....1

Capital Budget Request Process (Flow Chart).....3

Campus Master Plan Goals4

FY11 Proposed Capital Budget Request Summary5

Anticipated Capital Improvement Projects FY11-FY206

Capital Budget Development Calendar7

University of Alaska
Proposed 6-year Capital Improvement Plan
FY11-FY16
Introduction

In accordance with Regents' policy 05.12.032, this report presents the 6-year capital plan for the University of Alaska.

...the MAU 6-year capital plan proposals, which are developed based upon approved strategic, academic and other planning assumptions will be consolidated into a systemwide 6-year capital plan in accordance with guidelines approved by the board and procedures established by the chief finance officer. The 6-year capital plans are reviewed and updated each year as part of the capital budget submission process. Year one of the approved systemwide 6-year capital plan, exclusive of any operating leases and other property or facilities funded from current operating funds, shall become the university's capital budget request for the next capital appropriation cycle P05.12.032(A), (B).

The scope of the 6-year capital plan was extended to include a 10-year period in order to display additional information that is congruent with the 10-year fiscal plan submitted to the State of Alaska. The goal of the Board of Regents' University of Alaska FY11-FY16 Capital Plan is to ensure that the necessary facilities, equipment, and infrastructure are in place for the continued growth, refinement, and improvement of the University, as prescribed in the UA Strategic Plan. A 10-year capital plan that implements the academic, research, and support facility needs of the University provides the Board, President, executive staff, and university community with a clear understanding of the needed resources for capital projects and consideration of the annual operating costs associated with those projects. The 10-year capital plan, which is based on the assumption of full funding by the State, will balance the required capital improvements with realistic expectations of UA's ability to systematically implement those improvements. Annual evaluation of the 10-year capital plan will be necessary to address infrastructure needs such as Renewal and Renovation (R&R), new construction and planning, and particularly to evaluate the changing needs of each campus. Non-general fund opportunities will be determined as projects cycle into the current budget request year, since a perspective from the current economic environment will provide the most accurate projection of need, cost, and funding opportunities.

The flowchart on page 3 outlines the capital budget request process from issuance of the Board-approved guideline through the signature of the capital appropriations bill by the governor. A capital-planning calendar that includes key dates throughout the year is on page 7.

In June 2009, the Board of Regents approved the distribution of the FY10 capital appropriations. The capital budget request guidelines for the FY11-FY16 capital budget process were approved in April 2009. In accordance with the Board-approved guidelines and their campus master plans, the MAUs submitted capital budget requests to the Statewide Budget Department July 27, 2009 for consideration in the FY11-FY16 systemwide request. The Campus Master Plan is a planning document separate from, but in support of, the Campus Academic Plan. Each campus master plan is premised on existing physical resources and current, as well as anticipated needs, and is developed through a collaborative or consultative process including the community, faculty, students, and others. Goal statements from the MAU Campus Master Plans are included on page 4.

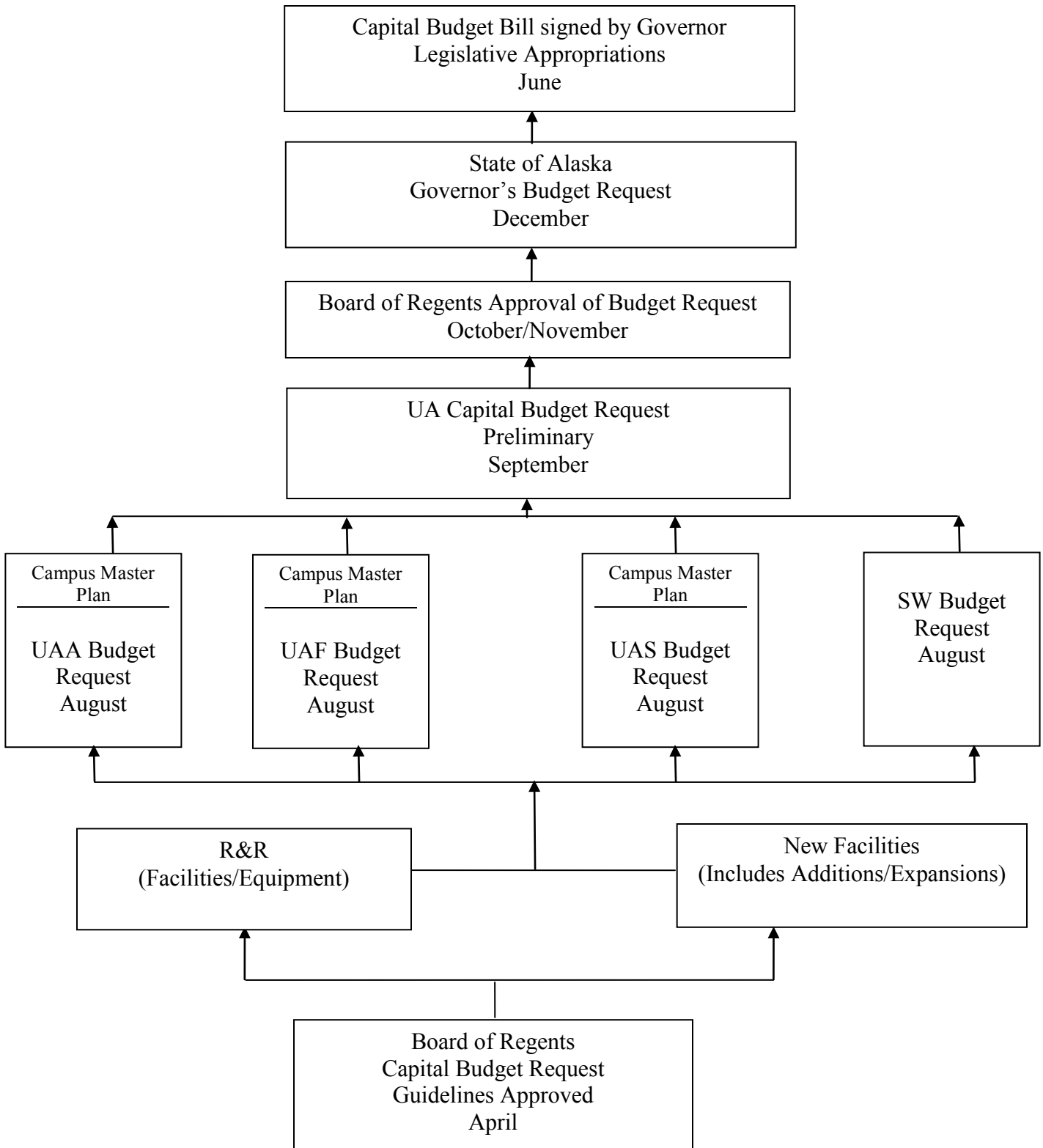
As the 10-year capital plan demonstrates, renewal and renovation (R&R) will continue to be the highest priority until the backlog of deferred projects is reduced to a reasonable level. UA requires a minimum annual facility and equipment investment of \$50 million to stay even and an additional investment of \$50 million each year to address the growing backlog of projects on the deferred maintenance and renewal backlog list.

The 10-year capital plan incorporates each MAU's highest priority renewal and renovation (R&R) projects, with the annual requirement continuing to be the highest funding priority. The R&R Annual Requirement, coupled with the Reducing Deferred Maintenance & Renewal Backlog, if funded would provide \$100 million allowing each MAU to address their most critical projects. New Construction, Planning and Design for Future New Construction, Community Campus Feasibility Study, Community Campus Construction, and Receipt Authority components make up the balance of the long-range plan and annual budget request. Projects and budget themes are prioritized under the new construction and Planning and Design for Construction components. Projects in the current budget request year have been selected and are listed by project title, whereas out-year projects are generalized and included in one of the theme categories. These themes support the UA Strategic Plan and include academic, student life, research, and infrastructure priorities.

Similar to the planning approach for MAU project development, the funding requested in FY11 for Community Campus Feasibility Studies will enable sufficient investigation for construction before projects for those campuses are included in a capital request year. This advance planning will allow the MAUs and community campuses to develop data that ensures implementation of the campus academic and master plans, supports projects, and creates a more reliable project cost estimate.

The inclusion of a project in the 10-year plan does not guarantee a request for project funding. Given the changing nature of the economy and political climate over a 10-year horizon, capital needs and priorities will change. An annual review is necessary to evaluate the changing needs and opportunities for each campus.

University of Alaska Capital Budget Request Process



Campus Master Plan Goals



The following goals are consistent with the strategic plan, but are framed to provide strategic direction for the campus master planning process. They are applicable to both the main UAA campus and the community campuses and include:

- Make UAA a model for northern university campuses
- Accommodate and integrate substantial growth
- Build quality facilities appropriate to the university that meet exacting demand and reflect State need
- Celebrate the natural setting of each campus
- Build community with neighbors



As the nation's arctic university, UAF is defined by its location in the circumpolar north, Alaska's diverse cultures, rich history, unique geography, and environment. UAF's campus master plan supports the following vision of its strategic plan:

- Spearhead integrated research, emphasizing UAF's complex high latitude physical, biological, and social systems
- Link research discoveries with teaching, service, and community engagement
- UAF is Alaska's research university, focusing on international research and emphasizing upper-division and graduate education
- Create a collegial environment that supports the institutional mission of UAF
- Envisioning an attractive and well-ordered campus that takes advantage of its unique northern location and the extraordinary resources of its natural and community setting
- Provide excellent educational services at the point of need for Alaska Native and rural populations



The strategic plan seeks to operationalize the UAS mission and gives life to the core values within the context of the region and state. The university community has identified the following goals:

- Be the leading liberal arts institution in Alaska
- Be the preferred provider of teacher education programs
- Be the premier in-state campus for marine and environmental science programs
- Be the statewide service center for business education
- Be the acknowledged leader for health occupations education in Southeast Alaska
- Be the primary provider of information technology education in the region
- Be the first choice of vocational and continuing education for regional citizens and industry

University of Alaska
FY11 Proposed Capital Budget
(in thousands)

	State Approp.	Receipt Auth.	Total
Maintaining Existing Facilities R&R Annual Requirement	50,000.0		50,000.0
<i>UA-Anchorage Priority R&R</i>	<i>11,087.6</i>		<i>11,087.6</i>
<i>UAA-Community Campus Priority R&R</i>	<i>2,463.4</i>		<i>2,463.4</i>
<i>UA-Fairbanks and TVC Priority R&R</i>	<i>30,640.4</i>		<i>30,640.4</i>
<i>UAF-Community Campuses Priority R&R</i>	<i>1,158.3</i>		<i>1,158.3</i>
<i>UAS-Juneau Priority R&R</i>	<i>2,233.4</i>		<i>2,233.4</i>
<i>UAS-Community Campuses Priority R&R</i>	<i>1,396.5</i>		<i>1,396.5</i>
<i>UA-Statewide Priority R&R</i>	<i>1,020.4</i>		<i>1,020.4</i>
UAF Life Sciences Classroom & Lab Facility	87,975.0	20,625.0	108,600.0
Planning & Design for Construction	10,000.0		10,000.0
<i>UA Engineering Facilities (Administered by Statewide)</i>			
Feasibility Study for Community Campuses	1,400.0		1,400.0
Reducing Deferred Maintenance & Renewal Backlog	50,000.0		50,000.0
University Receipt Authority		15,000.0	15,000.0
Total FY11 Proposed Capital Budget	199,375.0	35,625.0	235,000.0

University of Alaska
FY11-FY20 Anticipated Capital Improvement Projects
(in thousands)

	FY11			FY12	FY13	FY14	FY15- FY16	FY17- FY20
	State Approp.	Receipt Auth.	Total					
R&R, Annual Requirement								
Facilities	50,000.0		50,000.0	50,000.0	50,000.0	50,000.0	100,000.0	200,000.0
Equipment				5,000.0	5,000.0	5,000.0	10,000.0	20,000.0
New Construction								
UAF Life Sciences Classroom & Lab Facility	87,975.0	20,625.0	108,600.0					
UA Engineering Facilities, UAF Energy				130,600.0				
Health Sciences Building Phase II & Parking					97,970.0			
Student Life						TBD		
Academic Priorities							TBD	
Research								TBD
Planning and Design for Construction								
UA Engineering	10,000.0		10,000.0					
Health Sciences Building Phase II & Parking				5,000.0				
Student Life					TBD			
Academic Priorities						TBD		
Research							TBD	
Infrastructure (Utilities, Physical Plant, & Transport)								TBD
High Demand Academic Program Priorities								TBD
Community Campus Feasibility Study	1,400.0		1,400.0					
Community Campus Construction				TBD	TBD	TBD	TBD	TBD
Reducing Deferred Maintenance & Renewal Backlog	50,000.0		50,000.0	50,000.0	50,000.0	50,000.0	100,000.0	200,000.0
Receipt Authority		15,000.0	15,000.0	15,000.0	10,000.0	15,000.0	25,000.0	50,000.0

University of Alaska Budget Development Calendar
FY11 Capital Budget Request
FY11-FY20 Capital Plan

Due Date	Category	Responsible
June	<ul style="list-style-type: none"> ▪ Board Approval <ul style="list-style-type: none"> ○ Capital Distribution Plan 	BOR
August 1	<ul style="list-style-type: none"> ▪ MAU Capital Budget Request <ul style="list-style-type: none"> ○ Capital Project Requests ○ Deferred Maintenance Lists ○ List of Expected Leased Properties ○ List of Projects with Potential Debt Financing Needs 	MAU MAU MAU SWPB/MAU
August (early)	<ul style="list-style-type: none"> ▪ Face to Face Budget Meeting <ul style="list-style-type: none"> ○ MAUs discuss budget request priorities 	MAU/SWPB
August (mid)	<ul style="list-style-type: none"> ▪ Facilities Council Review of Capital Projects ▪ Systemwide Academic Council <ul style="list-style-type: none"> ○ Program Priorities ○ Review of Capital Projects ▪ Business Council Review of Capital Projects 	FC SAC BC
August (late)	<ul style="list-style-type: none"> ▪ Capital Project Request Listing for Board Agenda 	SWPB
September (late)/ October(early)	<ul style="list-style-type: none"> ▪ Submit Deferred Maintenance List to OMB 	SWPB
September (mid)	<ul style="list-style-type: none"> ▪ Board of Regents Meeting <ul style="list-style-type: none"> ○ Capital Budget Request first review 	BOR
October	<ul style="list-style-type: none"> ▪ Deferred Renewal Book 	SWPB
October	<ul style="list-style-type: none"> ▪ Yellowbook Released 	SWPB
October (late)	<ul style="list-style-type: none"> ▪ Brief summaries for all proposed capital projects submitted in ABS 	SWPB
October (late) to November (early)	<ul style="list-style-type: none"> ▪ Board Approval <ul style="list-style-type: none"> ○ Capital Budget Request ○ Six-Year Capital Improvement Plan 	SWPB
November (mid)	<ul style="list-style-type: none"> ▪ Capital Budget Request to OMB 	SWPB
December (mid)	<ul style="list-style-type: none"> ▪ Governor Releases Capital Budget 	SWPB
December	<ul style="list-style-type: none"> ▪ Redbook Released 	SWPB
January (mid)	<ul style="list-style-type: none"> ▪ Request sent to MAUs for Capital Project Status Report and review of lapsing appropriations 	MAU
January (late)	<ul style="list-style-type: none"> ▪ Capital Project Status Reports entered into ABS 	SWPB
April (mid)	<ul style="list-style-type: none"> ▪ Request to Legislative Finance Division for extension of lapsing appropriations ▪ Capital Budget Request Guideline ▪ Six-Year Capital Improvement Plan Guidelines 	SWPB