



UNIVERSITY
of ALASKA
Many Traditions One Alaska



UA Identity Theft Prevention Program— Records & Information Security Management

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Presented to: Board of Regents Audit Committee

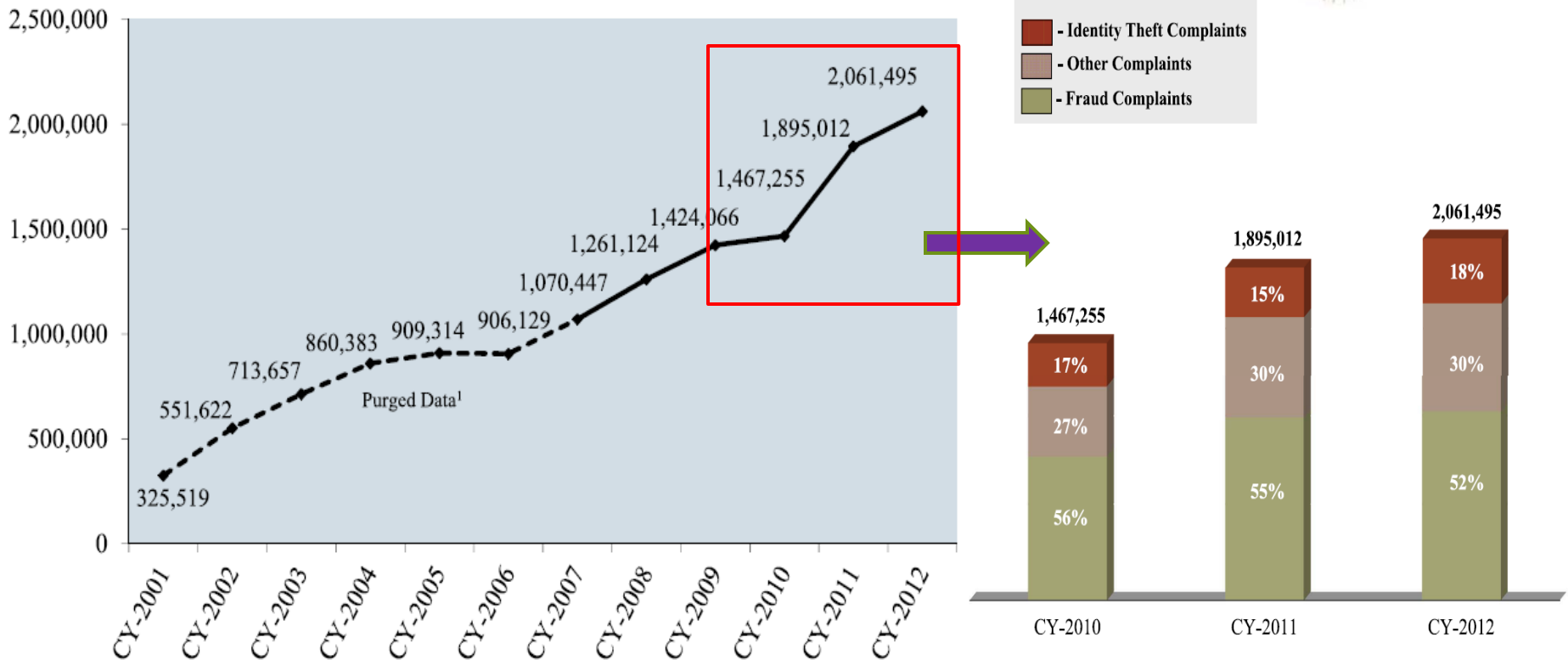
February 20-21, 2014

Identity Theft Complaints

Source: Federal Trade Commission, February 2013 -Consumer Sentinel Network



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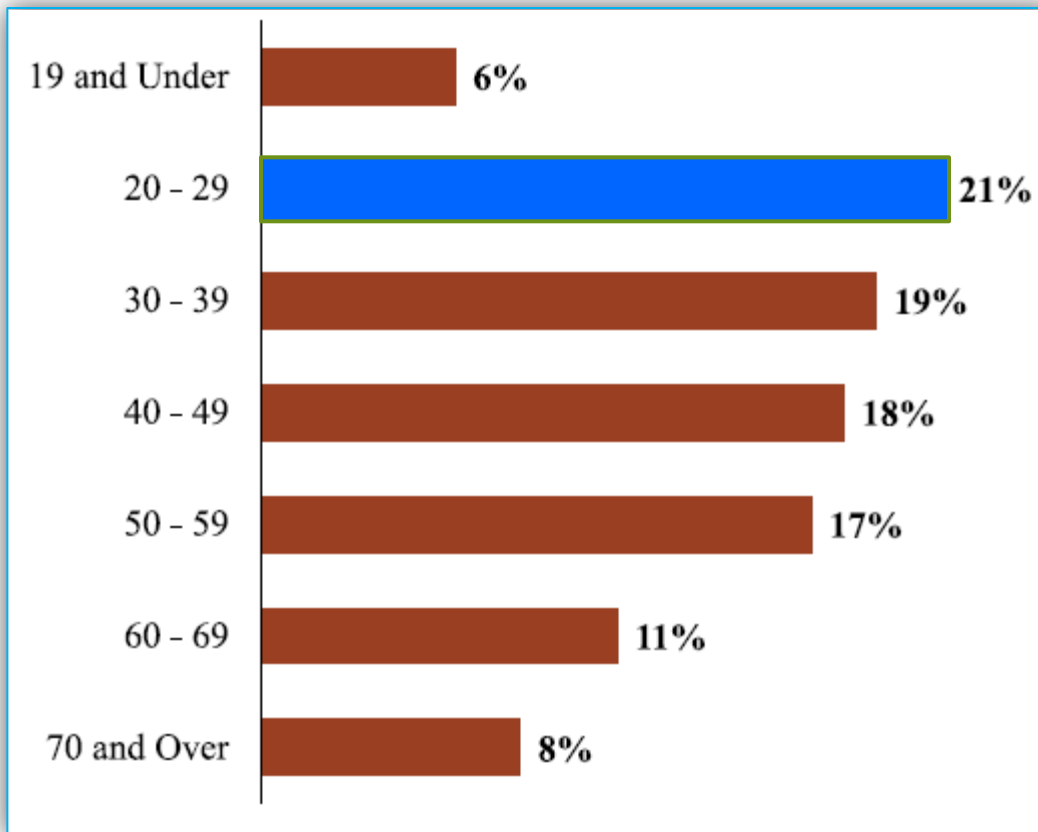


Maximum ID Theft Victims: Age 20-29

Source: Federal Trade Commission, February 2013 -Consumer Sentinel Network



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- Maximum number of victims belong to Age 20-29 years
- 70% of the victims are students or in early stages of careers
- Universities /Colleges have higher responsibility and need to be cognizant
- Records retention schedule and information governance in compliance with the regulations



Federal Trade Commission Fair & Accurate Credit Transaction Act RED Flag Rules

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- ❑ Congress enacted the FTC FACTA of 2003 to help combat identity theft
- ❑ The final rules and guidelines became effective in 2009
- ❑ Section 114 of FACTA contains the Red Flag Rules that required UA to develop & implement a written Identity Theft Prevention Program
- ❑ The Rule supplements existing legislation aimed at preventing identity theft
- ❑ Applies to institutions and creditors with covered accounts
- ❑ Picks on where data security leaves off

Overview of the Red Flags Rule

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- What Are Red Flags?

- **Definition**

- Activity that could indicate a risk of identity theft

- **Purpose**

- Identify, Detect, Prevent & Mitigation and Respond

- **Responsibilities**

- Protect against unauthorized access of personal identifiable information

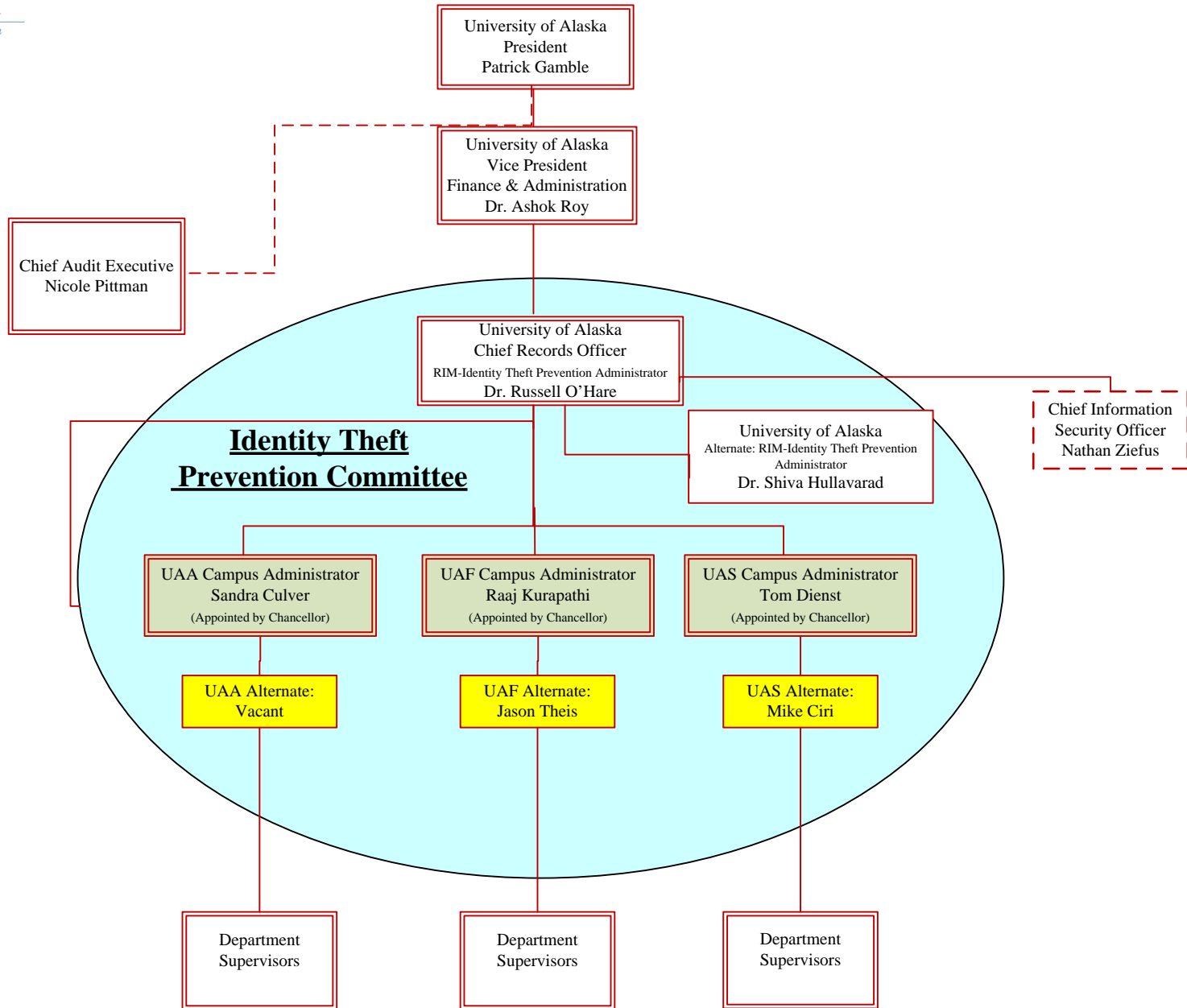


UA Identity Theft Prevention Program

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- ❑ Program approved June 5, 2009 by the Board of Regents
- ❑ Consistent with the Board of Regents Policy P.05.02.90 on financial fraud, waste and abuse
- ❑ Developed pursuant to the Federal Trade Commission's (FTC) "Red Flags" Rule, which implements Section 114 of the Fair and Accurate Credit Transactions Act.
- ❑ Adds to compliance regulations from Dept. of Education
- ❑ Distinct from data security requirements
- ❑ Alaska State- Statute 45-48: Personal Information Protection Act

Identity Theft Prevention Committee





Red Flags & Current Security Protocol

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- ❑ UA maintains protocols and procedures to address privacy of student and employee records & information, applying state and federal privacy laws
- ❑ Data security also plays an essential role in keeping people's information protected
- ❑ Red Flag Rule picks up where data security leaves off, by working to prevent identity theft by ensuring institution has appropriate practices and protocol to identify risks, procedures to address those risks and prevent future risks.

Identity Theft Prevention & Red Flag Rule Implementation at UA

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- Identity Theft Prevention Committee annually reviews the program & training
- Individuals working with Covered Accounts receive annual training
- Will maintain an awareness of changes in identity theft, detection and prevention methods
- ID Theft Prevention training available via UAOnline/Skillsoft

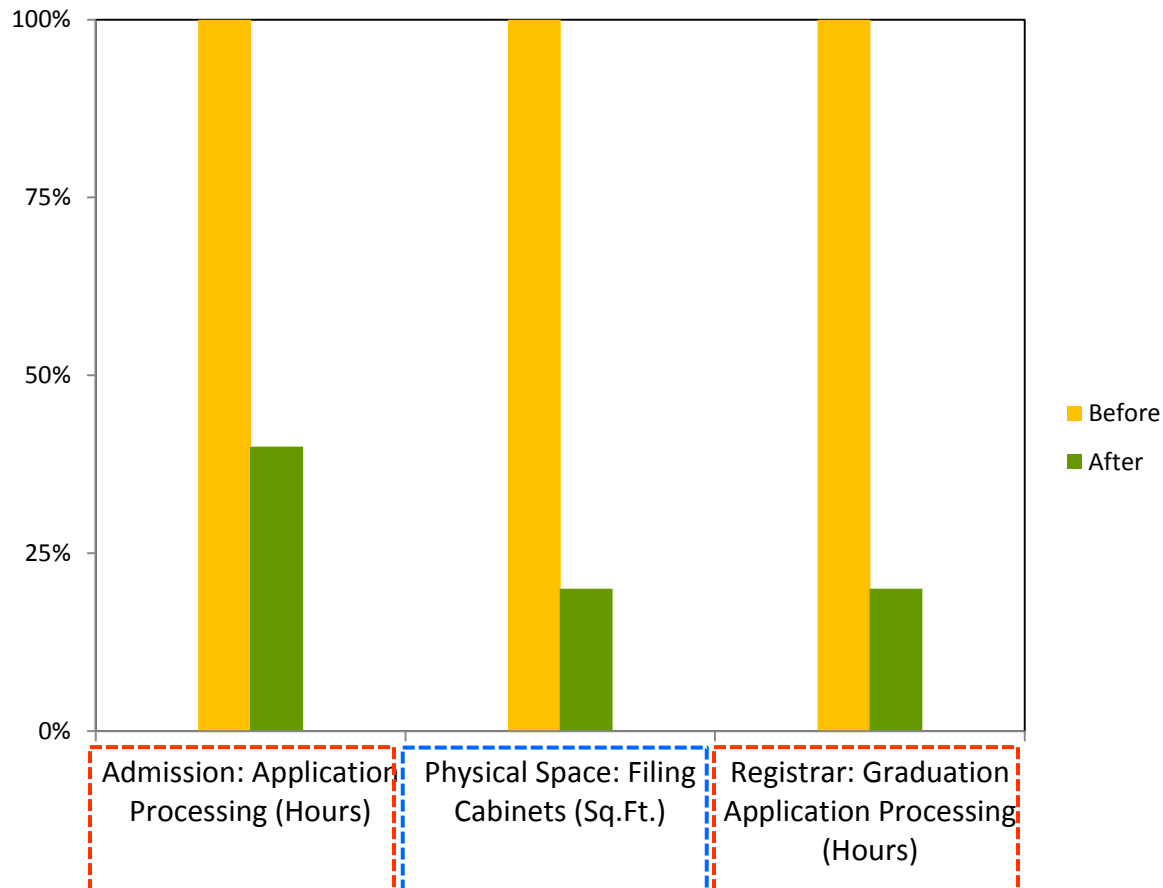
2013 Red Flag UA Identity Theft Prevention Program Report

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- In 2013, no instances of suspicious activities of covered accounts or incidents of identity theft
- The campuses' report the program procedures have strengthened the protection of the university's customer information
- There are no recommendations for modifying the program at this time

OnBase Integration – Process Efficiency across University of Alaska

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60% - Reduction in time to process Admission applications
 80% - Reduction in Physical Space/Filing cabinet
 80% - Reduction in time to process Graduation applications