

**Audit Status Report
As of January 30, 2014**

FY2014 Audit Plan

Italic Items - have been completed or are in progress

External Financial Audit Support:

<i>Year-end Cutoff</i>	<i>Cash</i>
<i>Procurement Card</i>	<i>Auxiliary Revenues</i>
<i>Payroll</i>	<i>Unexpended Plant Fund Additions</i>
<i>Journal Entries</i>	<i>Search for Unrecorded Liabilities</i>
<i>Cash Disbursements & Bank Transfers</i>	

Audits and Projects:

University of Alaska Anchorage:	Information Systems Reviews:
<i>Student</i>	OnBase Access Controls**
Department Review	Mobile Technology Security
Subcontract Monitoring	Records Management and Data
<i>Restricted Funds Monitoring*</i>	Disposal
(FY13)	Business Continuity
Departmental Review** - Mat-Su	Banner Access Controls** (FY13)
College Phase II (FY13)	Data Integrity (FY13)
University of Alaska Fairbanks:	Ongoing Audits:
Student	Follow-up Auditing
Department Review*	Continuous Controls Auditing
Athletics	
University of Alaska Southeast:	Special Requests*
<i>Sitka Campus Title III (FY13)</i>	ProCard – UAA, UAF, UAS
	Electronic Research Administration
Statewide:	Investigations*
Department Review	#1 – Confidential
Training	#2 – Confidential
	#3 – Confidential
Function and System Reviews:	#4 – Confidential
Budget	#5 – Confidential
Construction Project Management	
and Operations Planning	
Contract Authorization and	*Specific departments/areas to be
Administration	determined later
Risk Management	**Carried forward from FY13

1. FY2014 Audit Plan Progress and Department Staffing
 - a. Fully staffed with four full-time auditors and a part time student intern.
2. Audit Reports:
 - a. Preliminary reports issued January 15, 2014 with formal response due February 19, 2014:
 - UAA Mat-Su College Phase II
 - UAA Restricted Funds Budget and Expenditure Monitoring
 - Banner Access Controls
 - b. Preliminary reports issued January 16, 2014 with formal response due February 20, 2014:
 - UAA Disability Support Services
3. Special Requests Completed:
 - a. System-wide Procurement Card
 - b. Electronic Research Administration
4. Audits in Progress:
 - a. Sitka Campus Title III
 - b. Data Integrity – Student Enrollment Records
 - c. Construction Project Management and Operations Planning
5. Support and Consultation Activities
 - d. In progress:
 - i. University regulation and hotline for fraud, waste and abuse.
 - ii. Business continuity (Kuali Ready implementation).
 - iii. Assistance with risk management identification and risk planning processes.
 - iv. Internal control discussions with staff system wide (upon request).

**External Audit Status Report
As of January 30, 2014**

State Legislative Audit Activities

None

External Audit Reports & Activities

Work in Progress:

1. Sikuliaq Research Vessel (NSF)