



UNIVERSITY  
*of* ALASKA

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*Many Traditions One Alaska*

## First Review of FY13 Operating Budget

Board of Regents  
September 22-23, 2011  
Juneau, Alaska

Prepared by Statewide Planning & Budget  
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**University of Alaska**  
**FY13 Proposed Operating Budget**  
**Introduction**

The operating budget discussion will provide Regents with an understanding of UA's current operating budget, UA's proposed FY13 operating budget priorities, the assumptions underlying the FY13 request, and the impact of requested priority programs on key performance goals. Administration is seeking Board of Regents' feedback on key priorities and anticipates the Board will have questions in areas requiring further clarification. There will be a discussion regarding the "Heads Up" meeting with the Governor's Office of Management and Budget (OMB), which is scheduled to occur on September 21, 2011. A copy of the "Heads Up" meeting memo from OMB is provided on page 16.

Current Operating Budget Context

In FY12, \$1.6 million was directed to the Board's priority program requests for: student success initiatives (\$392.4); high demand jobs in health (\$511.1); enhancing competitive research (\$250.0); and continued funding for UAA's Integrated Science building positions, and UAF's summer bridge programs (\$464.2 funded one-time in FY11). Page 48 provides a listing of FY12 program investments.

In the last ten years, the University of Alaska has recognized the need for priority program growth and through external revenue, internal efficiencies, and reallocations; the Board of Regents has distributed funding towards priority programs each year. Fueled significantly by external revenue sources, program investments have proven themselves.

FY13 Operating Budget Request and Assumptions

The FY13 Proposed Operating Budget will include the necessary resources to cover adjusted base increases (i.e., contractual and fixed cost increases) plus focused state funding directed toward educational outputs.

The recommended FY13 program priorities include for consideration and discussion \$6.3 million of the \$8 million submitted by the MAUs as their highest priority programs. The FY13 budget request includes a base funding request for the UAA Honors College and the UAF Honors Program that received one-time funding in FY12 and funding for focused program growth in the areas of new initiatives to improve graduation rates; investment in high demand jobs; and enhancing competitive research. The complete list of submitted MAU highest priority programs begin on page 24.

- **FY12 One-time Funded Priority Programs to Baseline**  
The requested funding will provide base funds for one-time funded programs for the UAA Honors College and the UAF Honors Program.
- **New Initiatives to Improve Graduation Rates**  
These requests support UA's responsibility during "the 3 critical years" with an emphasis on advising students for performance success.

- Investment in High Demand Jobs  
Funding investments in Engineering, Health/Bio-Medical, Teacher Education, and Workforce Development continue to be a priority for UA. Continued investment in these areas will keep up with the State's need for trained professional providers.
- Enhance Competitive Research  
These requests support UA's efforts to create economic value from UA intellectual property commercialization and focus research where UA competes in Alaska especially well.

The adjusted based requirements include employee compensation increases and non-personnel-related increases. The cost increases are based on the following expectations:

Compensation increases are based on negotiated contracts with unionized employees. The non-unionized staff compensation increase will be discussed with the Board. The benefit rate portion of the request is still being reviewed

- Employer defined contributions for healthcare are expected to remain the same
- Retirement rates are expected to remain the same
- Additional non-discretionary fixed cost increases include:
  - Utilities (based on an approximate 7% increase in FY13 plus full funding in FY12 via the fuel trigger mechanism and supplemental funding if necessary)
  - Facilities Maintenance and Repair (M&R) Requirement
  - Contractual, commodity, travel, and compliance mandates
  - New facility operating costs for the UAF Alaska Center for Energy and Power (ACEP) High Bay Test Facility, the UAF Arctic Health Research Greenhouse, and the UAF Sustainable Village.

Additional steps necessary prior to approval of UA's FY13 Operating Budget, scheduled for the November 2, 2011 Board of Regents' meeting, include:

- Incorporating Board of Regents input
- Incorporating MAU performance targets
- Integrating and aligning the operating and capital budget requests
- Developing and refining request amounts and narrative descriptions
- Developing presentation format consistent with focus/theme

**University of Alaska**  
**FY13 Proposed Operating Budget Request**  
**(in thousands)**

	State	Receipt	Total
<b>FY12 Operating Budget</b>	<b>351,721.1</b>	<b>537,380.1</b>	<b>889,101.2</b>
<b>Reverse FY12 one-time items</b>			
UAA Honors College	(100.0)	(15.0)	(115.0)
UAF Honors Program	(100.0)		(100.0)
Mental Health Trust Authority Authorized Receipts		(1,481.5)	(1,481.5)
FY12 Reversals	(200.0)	(1,496.5)	(1,696.5)
<b>FY12 Operating Budget less Reversals</b>	<b>351,521.1</b>	<b>535,883.6</b>	<b>887,404.7</b>
<b>Adjusted Base Requirements</b>			
Compensation Increases (placeholder)	6,000.0	6,000.0	12,000.0
Utility Cost Increases	1,000.0	1,000.0	2,000.0
Facilities Maintenance & Repair Requirement	1,000.0	1,000.0	2,000.0
Non-Personal Services Fixed Cost Increases	265.0	4,185.0	4,450.0
Compliance Mandates	100.0	100.0	200.0
New Facility Operating and Maintenance Costs	434.0	180.0	614.0
<b>Subtotal - Adjusted Base Requirement</b>	<b>8,799.0</b>	<b>12,465.0</b>	<b>21,264.0</b>
	2.5%	2.3%	2.4%
<b>High Priority Program Sustainment</b>			
FY12 One-time Funded Priority Programs to Baseline	200.0	15.0	215.0
New Initiatives to Improve Graduation Rates	1,463.2	872.5	2,335.7
Investment in High Demand Jobs	3,079.1	1,542.8	4,621.9
<i>Engineering</i>	400.0	832.8	1,232.8
<i>Health/Bio-Medical</i>	1,239.9	543.1	1,783.0
<i>Teacher Education</i>	340.1	22.9	363.0
<i>Workforce Development</i>	1,099.1	144.0	1,243.1
Enhancing Competitive Research	1,545.0	1,611.7	3,156.7
<b>Subtotal-High Priority Program Sustainment</b>	<b>6,287.3</b>	<b>4,042.0</b>	<b>10,329.3</b>
	1.8%	0.8%	1.2%
<b>Budget Adjustments</b>			
Transfer Alaska Air National Guard Scholarship Program	-	-	-
From: UA Anchorage Campus	(328.5)		(328.5)
To: UA Fairbanks Campus	328.5		328.5
FY13 TVEP Funding	406.5		406.5
Capital Improvement Project Receipts (CIP)		910.0	910.0
UA Intra Agency Receipts		1,200.0	1,200.0
<b>Subtotal-Budget Adjustments</b>	<b>406.5</b>	<b>2,110.0</b>	<b>2,516.5</b>
<b>FY13 Increment</b>	<b>15,492.8</b>	<b>18,617.0</b>	<b>34,109.8</b>
<b>FY13 Operating Budget</b>	<b>367,013.9</b>	<b>554,500.6</b>	<b>921,514.5</b>
% Chg. FY12-FY13 Operating Budget	4.3%	3.2%	3.6%

**University of Alaska**  
**FY13 Operating Budget Adjusted Base Detail**  
**(in thousands)**

<b>Compensation by Employee Group (placeholder-pending MAU review)</b>	<b>State Approp.</b>	<b>Receipt Authority</b>	<b>Total</b>
Univ. of AK Federation of Teachers (UAFT)			-
Local 6070			-
United Academics Faculty (UNAC)			-
FireFighters Association (FFA)			-
UA Adjuncts (UNAD)			-
UA Staff			-
<b>Subtotal - Compensation</b>	<b>6,000.0</b>	<b>6,000.0</b>	<b>12,000.0</b>
<b>Additional Operating Cost Increases</b>			
<b>Utility Cost Increases</b>	<b>1,000.0</b>	<b>1,000.0</b>	<b>2,000.0</b>
<b>Facilities Maintenance &amp; Repair</b>	<b>1,000.0</b>	<b>1,000.0</b>	<b>2,000.0</b>
<b>Non-Personal Services Fixed Cost</b>	<b>265.0</b>	<b>4,185.0</b>	<b>4,450.0</b>
UAF VoIP (Dept. phones)		185.0	185.0
UAF Community and Technical College Parking Garage Lease	90.0		90.0
UAF Pipeline Training Center Lease	175.0		175.0
Other Fixed Cost Increases		4,000.0	4,000.0
<b>Compliance Mandates</b>	<b>100.0</b>	<b>100.0</b>	<b>200.0</b>
UAF IT Licenses, Software, & Compliance	100.0	100.0	200.0
<b>New Facility Operating &amp; Maintenance Costs</b>	<b>434.0</b>	<b>180.0</b>	<b>614.0</b>
UAF Alaska Center for Energy and Power High Bay Test Facility	160.0	40.0	200.0
UAF Arctic Health Research Greenhouse	274.0		274.0
UAF Sustainable Village		140.0	140.0
<b>Subtotal - Additional Operating Cost Increases</b>	<b>2,799.0</b>	<b>6,465.0</b>	<b>9,264.0</b>
<b>Total Adjusted Base Requirements</b>	<b>8,799.0</b>	<b>12,465.0</b>	<b>21,264.0</b>

University of Alaska  
FY13 High Priority Program Requests by Category

MAU/Campus/Program Title	State Approp.	Receipt Authority	Total
<b>FY12 One-time Funded Priority Programs to Baseline</b>			
UAA ANC Honors College	100.0	15.0	115.0
UAF FBK Honors Program	100.0		100.0
FY12 One-time Funded Priority Programs to Baseline Total	200.0	15.0	215.0
<b>New Initiatives to Improve Graduation Rates</b>			
UAA ANC Advising Students for Performance Success	354.9	190.0	544.9
UAA ANC ANSEP Staff	271.0		271.0
UAF FBK Advising Students for Performance Success	600.0	494.8	1,094.8
UAF FBK Development/Alumni Support for Increased Giving in Support of Student Success	150.0	150.0	300.0
UAS JUN Advising Students for Performance Success	87.3	37.7	125.0
New Initiatives to Improve Graduation Rates Total	1,463.2	872.5	2,335.7
<b>Investment in High Demand Jobs</b>			
<b>Engineering</b>			
UAF FBK Support for Increased Engineering Enrollment and Graduation	400.0	832.8	1,232.8
Engineering Sub-total	400.0	832.8	1,232.8
<b>Health/Bio-Med</b>			
UAA ANC Graduate Nursing Faculty - Family Nurse Practitioner	389.9	40.0	429.9
UAA ANC INBRE Cellular Developmental Biologist	100.0	20.0	120.0
UAA ANC Physical Therapy Careers	350.0	40.0	390.0
UAF FBK Veterinary Program	400.0	443.1	843.1
Health/Bio-Med Sub-total	1,239.9	543.1	1,783.0
<b>Teacher Education</b>			
UAA ANC ISER-Alaska Education Policy Research	250.0		250.0
UAS JUN Elementary Education Faculty with a Literacy Focus	90.1	22.9	113.0
Teacher Education Sub-total	340.1	22.9	363.0
<b>Workforce Development</b>			
UAA KPC Process Technology for Resource Development	375.0	94.0	469.0
UAF CRCD Early Childhood Program Support	144.0		144.0
UAS SIT Alaska Training/Technical Assistance Center Director/Career Trainer	145.1	50.0	195.1
UAS KET Assistant Professor of Fisheries Technology	85.0		85.0
SPS SW Tech Prep Secondary to Post-secondary Bridge Program	350.0		350.0
Workforce Development Sub-total	1,099.1	144.0	1,243.1
Investment in High Demand Jobs Total	3,079.1	1,542.8	4,621.9
<b>Enhancing Competitive Research</b>			
Commercialization of University Intellectual Property for Business			
UAF FOR Development	210.0	140.0	350.0
UAF FBK Indigenous Studies PhD and Alaska Native Knowledge Network	250.0	46.6	296.6
UAF FOR High Performance Computing for Research	500.0	226.4	726.4
UAF FOR Preservation of Alaska's Art and Culture	285.0	178.9	463.9
UAF FOR Resilience and Climate Adaptation Program (RAP) in Graduate Studies	300.0	472.6	772.6
UAF FBK Sikuliaq On-shore Staff Support		547.2	547.2
Enhancing Competitive Research Total	1,545.0	1,611.7	3,156.7
FY13 High Priority Program Sustainment	6,287.3	4,042.0	10,329.3

\* program descriptions begin on page 26

**University of Alaska  
FY13 Operating Budget Request Items**

**Adjusted Base Requirements**

(GF: \$8,799.0, NGF: \$12,465.0, Total: \$21,264.0)

The requested state funding supports UA's adjusted base increment requirements. UA's adjusted base requirements include employee compensation increases and non-personnel related increases. Adjusted base cost increases are estimated at \$21.3 million with \$8.8 million required from state funding and \$12.5 million available from increases from university revenue sources such as tuition, federal and university receipts.

**Compensation Increases (placeholder-pending MAU review)**

(GF: \$6,000.0, NGF: \$6,000.0, Total: \$12,000.0)

UA's compensation increase is based on negotiated contracts with unionized employees. Compensation increases will be discussed with the Board for non-unionized staff.

Employer defined contributions for health care are expected to remain the same as FY12. FY13 retirement rates are also expected to be the same as FY12; for PERS, 22.00%; TRS, 12.56%; and ORP1, 12.56%.

**Utility Cost Increases**

(GF: \$1,000.0, NGF: \$1,000.0, Total: \$2,000.0)

This request covers the projected FY13 utility and fuel oil cost increases, estimated at a 7% increase over FY12. FY12 increases are expected to be offset through a utility fuel trigger mechanism and if necessary, a request for supplemental funding will be submitted.

**Facilities Maintenance & Repair Requirement**

(GF: \$1,000.0, NGF: \$1,000.0, Total: \$2,000.0)

UA's annual maintenance and repair is calculated at a minimum 1.5% of current building value, plus a component that accrues directly with building age. Each MAU annually dedicates a portion of its operating budget to facilities maintenance, often referred to as M&R. As the deferred maintenance and renewal/repurposing backlog continues to grow, the amount of funding necessary to maintain buildings increases, and more M&R has to be used unprogrammatically to take care of unforeseen deferred maintenance needs.

**Non-Personal Services Fixed Cost Increases**

(GF: \$265.0, NGF: \$4,185.0, Total: \$4,450.0)

- UAF VoIP (Dept. phones)  
UAF is upgrading to a new VoIP telephone system that will require departments to pay increased equipment and other charges.
- UAF Community and Technical College parking garage lease  
Provides parking for the UAF Community and Technical College facility in downtown Fairbanks.
- UAF Pipeline Training Center Lease  
Leased space will meet essential instructional and program needs for the Process Technology, Instrumentation, and Safety/Health/Environmental Awareness programs.



## **FY13 Operating Budget Request Items (continued)**

- **Other Fixed Cost Increases**  
To minimize fixed cost increases, the UA continues to look for administrative improvements and efficiencies. Processes continue to be reviewed for streamlining, outsourcing and business process automation. The requested funds will be used toward the remaining non-discretionary cost increases estimated at a 2.8% increase over FY11 unrestricted expenditures, excluding personal services, utilities, and maintenance and repairs.

### **Compliance Mandates**

(GF: \$100.0, NGF: \$100.0, Total: \$200.0)

- **UAF IT Licenses, Software, & Compliance**  
The Office of Information Technology (OIT) manages several widely used or campus site licensed academic and administrative software applications. This currently is an optimal way to leverage software licensing for multiple groups at the lowest cost across the campus for commonly used applications. This prevents departments from spending additional funds to purchase and maintain duplicate versions of these software licenses and therefore facilitates a cost containment strategy at UAF. To continue support for these shared software tools, OIT will require an ongoing increment or base adjustment for the annual renewal fixed licensing costs. This family of products allows students and faculty to collaborate, use instructional software for statistics instruction, distribute documents, provide tools for drawing, create electronic artwork, publications and graphics, edit images and video, for web page design and maintenance across the campus, and for computer virus scanning and proactive maintenance.

### **New Facility Operating and Maintenance Costs**

(GF: \$434.0, NGF: \$180.0, Total: \$614.0)

- **UAF Alaska Center for Energy and Power (ACEP) High Bay Test Facility**  
UAF's Energy Technology Facility phase 1A is the ACEP High Bay Test Module. This 5,300 square foot facility is scheduled for completion in November 2011. This request covers the initial operating and maintenance costs associated with the facility.
- **UAF Arctic Health Research Greenhouse**  
The UAF West Ridge replacement greenhouse is scheduled for completion in December 2011. This request covers the additional operating and maintenance costs associated with this 10,000 square foot facility.
- **UAF Sustainable Village**  
This request is for receipt authority to receive anticipated fees generated from students residing at the planned Sustainable Village community. This project is a research demonstration partnership between the University of Alaska Fairbanks and the Cold Climate Housing Research Center to develop highly energy efficient and affordable housing. This is the first of up to 5 phases with each future phase incorporating improvements based on the experiences from earlier phases. Projected receipts are based on the planned construction of four (4), four (4) bedroom units accommodating a total of sixteen (16) students, with each student contributing monthly rents of approximately \$700. The receipts are expected to cover the costs of construction, regular maintenance and upkeep.

## **FY13 Operating Budget Request Items (continued)**

### High Priority Program Sustainment

(GF: \$6,287.3, NGF: \$4,042.0, Total: \$10,329.3)

The FY13 system program priorities include \$6.3 million of the MAU's highest priority programs. Funding requests are focused in the strategic areas of New Initiatives to Improve Graduation Rates; Investment in High Demand Jobs; and Enhancing Competitive Research programs.

- **FY12 One-time Funded Priority Programs to Baseline**  
(GF: \$200.0, NGF: \$15.0, Total: \$215.0)  
This funding seeks to transfer FY12 one-time honor's program funding received at UAA and UAF to base funding.
- **New Initiatives to Improve Graduation Rates**  
(GF: \$1,463.2, NGF: \$872.5, Total: \$2,335.7)  
These requests support UA's responsibility during "the 3 critical years", including UA's part in the joint effort for insuring college readiness and student success during the key decision and high attrition years. Specific focus placed on improving retention and timely completion by removing obstacles and relying on performance facts and data for decision making.
- **Investment in High Demand Jobs in Engineering, Health/Bio-Medical, Teacher Education, and Workforce Development**  
(GF: \$3,079.1, NGF: \$1,542.8, Total: \$4,621.9)  
Funding investments in Engineering, Health/Bio-Medical, Teacher Education, and Workforce Development continue to be a priority for UA. Continued investment in these areas will keep up with the State's need for trained professional providers.
- **Enhancing Competitive Research**  
(GF: \$1,545.0, NGF: \$1,611.7, Total: \$3,156.7)  
These requests support UA's efforts to create economic value from UA intellectual property commercialization and focus research where UA competes in Alaska especially well.

### FY13 Budget Adjustments

(GF: \$406.5, NGF: \$2,110.0, Total: \$2,516.5)

These adjustments include requests outside of the University of Alaska's normal budgeting process; additional receipt authority requests; and program transfers.

- **Transfer Alaska Air National Guard Scholarship Program**  
(GF: \$0.0, NGF: \$0.0, Total: \$0.0)  
The Alaska National Guard/University of Alaska Tuition Scholarship Program (TSP) provides funding for continuing undergraduate education courses taken at UA campuses by members of the Alaska Army and Air National Guard (AKNG) and Alaska Naval Militia (ANM). The program is available at all campuses, and was administered by the UAA Financial Aid Office for all. The program will now be administered by the UAF Financial Aid office and this request transfers \$328.5 of general funds from the Anchorage Campus to the Fairbanks Campus for the Alaska Air National Guard Scholarship program.

## **FY13 Operating Budget Request Items (continued)**

- **Technical Vocational Education Program**  
(TVEP: \$406.5, NGF: \$0.0, Total: \$406.5)  
This funding, commonly referred to as workforce development, is focused on priority workforce development areas established by the Alaska Workforce Investment Board (AWIB). This amount is the projected increase for UA in FY13.
- **UA Receipt Authority**  
(GF: \$0.0, NGF: \$2,110.0, Total: \$2,110.0)  
FY13 revenue projections indicate that UA requires additional budget authority to cover expenditures in the areas of capital improvement project receipts and UA intra-agency receipts. A brief description of the activities for each authority type follows.

Capital Improvement Project Receipts (CIP): UA has received an increase in capital appropriation funding over the last several years. Capital Improvement Project Receipts (CIP) is generated by charge-backs to capital improvement projects for personal services administrative costs. Additional CIP authority is necessary to record personal services expenditures related to capital projects.

UA Intra-Agency Receipts include all internal charges for services provided by central service departments to other university departments. This includes services such as physical plant work orders, printing and computer repairs, and certain administrative functions such as risk management and labor relations.

**University of Alaska Revenue Summary**  
**Budgeted Authority and Actual Revenue by Source FY11-FY13**

	Budgeted Values				Actual Values				
	FY11 Authorized	FY12 Authorized	FY13 Proposal	% Change FY11-FY12	FY11 Actual	FY12 Projection	FY13 Projection	% Change FY12-FY13	Net Change FY12-FY13
<b>State Appropriations</b>									
General Fund	329,979.1	337,685.4	352,971.7		329,979.1	337,685.4	352,971.7		15,286.3
General Fund-One-Time <sup>(1)</sup>	3,619.2	3,610.0	3,410.0		3,619.2	3,610.0	3,410.0		(200.0)
General Fund Match	4,777.3	4,777.3	4,777.3		4,777.3	4,777.3	4,777.3		-
Technical Vocational Ed.	4,873.9	5,042.6	5,449.1		4,873.9	5,042.6	5,449.1		406.5
Mental Health Trust	605.8	605.8	605.8		605.8	605.8	605.8		-
<b>State Appr. Subtotal</b>	<b>343,855.3</b>	<b>351,721.1</b>	<b>367,213.9</b>	<b>4.4%</b>	<b>343,855.3</b>	<b>351,721.1</b>	<b>367,213.9</b>	<b>4.4%</b>	<b>15,492.8</b>
<b>Receipt Authority</b>									
Interest Income	4,695.2	4,240.3	4,250.3	0.2%	241.7	613.0	623.0	1.6%	10.0
Auxiliary Receipts	48,355.4	43,634.0	44,534.0	2.1%	32,961.4	42,181.3	43,081.3	2.1%	900.0
Student Tuition/Fees (net)	116,278.5	131,100.2	140,500.2	7.2%	116,110.4	124,376.3	133,776.3	7.6%	9,400.0
Indirect Cost Recovery <sup>(3)</sup>	35,243.3	34,833.0	35,733.0	2.6%	33,736.8	34,395.6	35,295.6	2.6%	900.0
University Receipts	95,747.3	100,284.6	102,581.6	2.3%	78,582.4	80,457.0	82,754.0	2.9%	2,297.0
<b>University Rcpts. Subtotal</b>	<b>300,319.7</b>	<b>314,092.1</b>	<b>327,599.1</b>	<b>4.3%</b>	<b>261,632.7</b>	<b>282,023.2</b>	<b>295,530.2</b>	<b>4.8%</b>	<b>13,507.0</b>
Federal Receipts	139,168.7	137,953.7	140,953.7	2.2%	134,076.4	134,474.0	137,474.0	2.2%	3,000.0
State Inter Agency Rcpts	16,551.1	16,201.1	16,201.1		12,524.2	12,707.5	12,707.5		-
MHTAAR	1,378.3	1,481.5	1,481.5		1,378.3	1,481.5	1,481.5		-
CIP Receipts <sup>(3)</sup>	9,860.7	9,530.7	10,440.7	9.5%	9,191.2	9,527.4	10,437.4	9.6%	910.0
UA Intra Agency Receipts	59,251.6	58,121.0	59,321.0	2.1%	54,889.3	56,262.1	57,462.1	2.1%	1,200.0
<b>Rcpt. Authority Subtotal</b>	<b>526,530.1</b>	<b>537,380.1</b>	<b>555,997.1</b>	<b>3.5%</b>	<b>473,692.1</b>	<b>496,475.7</b>	<b>515,092.7</b>	<b>3.7%</b>	<b>18,617.0</b>
<b>Revenue Total</b>	<b>870,385.4</b>	<b>889,101.2</b>	<b>923,211.0</b>	<b>3.8%</b>	<b>817,547.4</b>	<b>848,196.8</b>	<b>882,306.6</b>	<b>4.0%</b>	<b>34,109.8</b>
<i>Other Appr. <sup>(2)</sup></i>	<i>2.0</i>	<i>2.0</i>	<i>2.0</i>		<i>0.8</i>	<i>2.0</i>	<i>2.0</i>		
<i>Total w/ Other Appr.</i>	<i>870,387.4</i>	<i>889,103.2</i>	<i>923,213.0</i>	<i>3.8%</i>	<i>817,548.2</i>	<i>848,198.8</i>	<i>882,308.6</i>		

1. One-time Items Include: FY11 \$3,080.0 for Utility Cost Increases, \$314.2 UA Anchorage Fixed Costs, \$225.0 UAF Summer Science and Math Camps; FY12 \$3,410 for Utility Cost Increases, \$100.0 for UAA's Honors College, \$100.0 for UAF's Honors Program; and FY13 \$3,410.0 estimate for Utility Cost Increases (base and/or fuel trigger mechanism funding).

2. License plate revenue

## Change in State Funding by Source FY04-FY12 (in thousands)

	<b>FY04</b>	<b>FY05</b>	<b>FY06</b>	<b>FY07</b>	<b>FY08</b>	<b>FY09</b>	<b>FY10</b>	<b>FY11</b>	<b>FY12</b>
General Fund <sup>(1)</sup>	\$209,736.9	\$225,287.9	\$244,743.7	\$277,311.9	\$289,416.1	\$307,600.4	\$322,054.9	\$333,598.3	\$341,295.4
General Fund Match	2,777.3	2,777.3	2,777.3	4,777.3	4,777.3	4,777.3	4,777.3	4,777.3	4,777.3
Mental Health Trust	200.8	200.8	200.8	200.8	200.8	295.8	300.8	605.8	605.8
TVEP	2,868.9	2,868.9	2,822.6	2,882.0	3,134.3	4,723.6	4,723.6	4,873.9	5,042.6
Business License Revenue <sup>(2)</sup>						550.0			
<b>Total</b>	<b>\$215,583.9</b>	<b>\$231,134.9</b>	<b>\$250,544.4</b>	<b>\$285,172.0</b>	<b>\$297,528.5</b>	<b>\$317,947.1</b>	<b>\$331,856.6</b>	<b>\$343,855.3</b>	<b>\$351,721.1</b>
Annual % Change	2.2%	7.2%	8.4%	13.8%	4.3%	6.9%	4.4%	3.6%	2.3%
Annual Change	4,585.0	15,551.0	19,409.5	34,627.6	12,356.5	20,418.6	13,909.5	11,998.7	7,865.8
One-time items			2,355.6	2,640.0	4,957.9	5,074.4	4,730.0	3,619.2	3,610.0
Pass-through funds							2,200.0	2,200.0	2,200.0

1. Includes one-time items and pass-through funds.

2. The \$550.0 funded with Business License Revenue in FY09 was moved to General Funds in FY10.

**FY09-FY12 Operating Budget Trend by MAU/Campus (in thousands)**

MAU/Campus	FY09 Actual			FY10 Actual			FY11 Actual			FY11 BOR Authorized			FY12 BOR Authorized		
	State Appr.	Rcpt. Auth.	Total Funds	State Appr.	Rcpt. Auth.	Total Funds	State Appr.	Rcpt. Auth.	Total Funds	State Appr.	Rcpt. Auth.	Total Funds	State Appr.	Rcpt. Auth.	Total Funds
<b>Systemwide Components Summary</b>															
Reduct's & Addt's										(328.0)	28,213.3	27,885.3	1,653.7	23,696.5	25,350.2
Total SW BRA										(328.0)	28,213.3	27,885.3	1,653.7	23,696.5	25,350.2
<b>Statewide Programs &amp; Services</b>															
Statewide Services	14,139.3	19,097.5	33,236.8	14,489.5	17,739.2	32,228.7	15,425.5	19,065.6	34,491.1	15,242.8	21,237.5	36,480.3	15,558.8	21,084.1	36,642.9
Office Info. Tech.	10,364.1	6,496.1	16,860.2	10,476.6	6,524.1	17,000.7	11,111.2	7,781.8	18,893.0	11,111.2	8,690.2	19,801.4	11,247.9	9,049.6	20,297.5
System Ed./Outrch	1,808.6	6,559.2	8,367.8	3,070.6	5,978.8	9,049.4	2,933.3	5,650.2	8,583.5	2,919.1	7,949.5	10,868.6	2,960.0	7,989.1	10,949.1
<b>Total SPS</b>	<b>26,312.0</b>	<b>32,152.8</b>	<b>58,464.8</b>	<b>28,036.7</b>	<b>30,242.1</b>	<b>58,278.8</b>	<b>29,470.0</b>	<b>32,497.6</b>	<b>61,967.6</b>	<b>29,273.1</b>	<b>37,877.2</b>	<b>67,150.3</b>	<b>29,766.7</b>	<b>38,122.8</b>	<b>67,889.5</b>
<b>University of Alaska Anchorage</b>															
Anchorage	97,026.2	127,890.1	224,916.3	103,066.7	131,619.5	234,686.2	107,161.1	143,022.6	250,183.7	106,696.6	140,456.7	247,153.3	110,155.9	148,637.1	258,793.0
Sm. Bus. Dev Ctr	550.0		550.0	807.2	71.3	878.5	807.2	1,824.3	2,631.5	807.2	1,834.0	2,641.2	807.2	1,834.0	2,641.2
Kenai Peninsula	7,394.5	5,787.7	13,182.2	6,775.3	5,831.1	12,606.4	6,990.3	6,723.5	13,713.8	6,775.7	5,175.1	11,950.8	6,903.4	5,290.1	12,193.5
Kodiak	2,718.3	886.2	3,604.5	2,830.8	802.7	3,633.5	2,843.1	1,217.2	4,060.3	2,802.8	1,551.2	4,354.0	2,861.2	1,581.5	4,442.7
Mat-Su	4,307.6	3,480.7	7,788.3	4,502.0	4,128.0	8,630.0	4,745.9	4,739.1	9,485.0	4,557.5	4,603.8	9,161.3	4,670.6	4,694.6	9,365.2
Prince Wm Snd	3,118.4	2,883.8	6,002.2	3,236.9	2,879.9	6,116.8	3,400.4	3,159.2	6,559.6	3,342.6	3,678.3	7,020.9	3,426.3	3,749.1	7,175.4
<b>Total UAA</b>	<b>115,115.0</b>	<b>140,928.5</b>	<b>256,043.5</b>	<b>121,218.9</b>	<b>145,332.5</b>	<b>266,551.4</b>	<b>125,948.0</b>	<b>160,685.9</b>	<b>286,633.9</b>	<b>124,982.4</b>	<b>157,299.1</b>	<b>282,281.5</b>	<b>128,824.6</b>	<b>165,786.4</b>	<b>294,611.0</b>
<b>University of Alaska Fairbanks</b>															
Fairbanks	106,055.2	113,145.5	219,200.7	107,779.4	108,356.1	216,135.5	112,017.5	116,292.9	228,310.4	111,700.2	129,411.3	241,111.5	114,076.5	133,242.5	247,319.0
Fbks Org. Res.	20,772.1	110,310.9	131,083.0	22,580.1	105,966.5	128,546.6	22,722.3	114,857.7	137,580.0	21,357.8	115,553.5	136,911.3	22,122.8	117,008.9	139,131.7
Coop. Ext. (CES)	3,778.5	3,640.1	7,418.6	4,308.4	3,815.2	8,123.6	4,644.2	3,757.4	8,401.6	4,644.2	5,848.8	10,493.0	4,756.8	5,974.2	10,731.0
Bristol Bay	1,302.5	2,277.1	3,579.6	1,372.1	2,405.8	3,777.9	1,432.3	2,854.8	4,287.1	1,406.6	2,244.3	3,650.9	1,451.2	2,274.8	3,726.0
Chukchi	882.8	1,422.9	2,305.7	1,004.9	1,434.6	2,439.5	1,050.1	848.5	1,898.6	972.1	1,276.3	2,248.4	999.9	1,293.1	2,293.0
Interior-Aleut.	1,826.2	3,006.6	4,832.8	1,977.8	3,269.4	5,247.2	1,926.4	4,160.1	6,086.5	1,919.0	3,355.7	5,274.7	1,963.8	3,391.2	5,355.0
Kuskokwim	3,111.3	3,017.6	6,128.9	2,895.5	3,040.0	5,935.5	3,273.4	3,119.9	6,393.3	3,224.8	3,261.1	6,485.9	3,304.2	3,316.8	6,621.0
Northwest	1,696.8	1,203.2	2,900.0	1,818.8	1,039.5	2,858.3	2,037.8	952.1	2,989.9	1,773.6	1,122.5	2,896.1	1,810.6	1,146.2	2,956.8
Rural&Com. Dev.	4,894.3	7,046.2	11,940.5	5,593.0	7,151.9	12,744.9	5,399.0	5,591.0	10,990.0	5,743.9	7,772.7	13,516.6	6,092.6	7,880.0	13,972.6
UAF CTC	5,405.6	5,805.9	11,211.5	5,885.6	5,564.1	11,449.7	6,089.5	6,138.4	12,227.9	6,100.9	6,150.2	12,251.1	6,235.6	6,539.3	12,774.9
<b>Total UAF</b>	<b>149,725.3</b>	<b>250,876.0</b>	<b>400,601.3</b>	<b>155,215.6</b>	<b>242,043.1</b>	<b>397,258.7</b>	<b>160,592.5</b>	<b>258,572.8</b>	<b>419,165.3</b>	<b>158,843.1</b>	<b>275,996.4</b>	<b>434,839.5</b>	<b>162,814.0</b>	<b>282,067.0</b>	<b>444,881.0</b>
<b>University of Alaska Southeast</b>															
Juneau	21,097.7	14,657.7	35,755.4	21,536.3	15,681.9	37,218.2	21,963.5	16,962.8	38,926.3	22,146.1	20,709.3	42,855.4	22,618.8	21,097.9	43,716.7
Ketchikan	2,767.0	1,574.9	4,341.9	2,755.2	1,594.4	4,349.6	2,736.6	1,517.5	4,254.1	2,791.0	2,206.8	4,997.8	2,794.4	2,242.1	5,036.5
Sitka	2,930.1	3,364.0	6,294.1	3,093.9	3,409.5	6,503.4	3,144.7	3,455.5	6,600.2	3,067.6	4,228.0	7,295.6	3,248.9	4,367.4	7,616.3
<b>Total UAS</b>	<b>26,794.8</b>	<b>19,596.6</b>	<b>46,391.4</b>	<b>27,385.4</b>	<b>20,685.8</b>	<b>48,071.2</b>	<b>27,844.8</b>	<b>21,935.8</b>	<b>49,780.6</b>	<b>28,004.7</b>	<b>27,144.1</b>	<b>55,148.8</b>	<b>28,662.1</b>	<b>27,707.4</b>	<b>56,369.5</b>
<b>Total University</b>	<b>317,947.1</b>	<b>443,553.9</b>	<b>761,501.0</b>	<b>331,856.6</b>	<b>438,303.5</b>	<b>770,160.1</b>	<b>343,855.3</b>	<b>473,692.1</b>	<b>817,547.4</b>	<b>340,775.3</b>	<b>526,530.1</b>	<b>867,305.4</b>	<b>351,721.1</b>	<b>537,380.1</b>	<b>889,101.2</b>
Other Approp. <sup>(1)</sup>	1.8		1.8	0.8		0.8	0.8		0.8	3,082.0		3,082.0	3,412.0		3,412.0

1. Other Appropriations Include: FY09 \$1.8 License Plate Revenue; FY10 \$8 License Plate Revenue; FY11 Actual \$.8, Authorized \$2.0 License Plate Revenue, and \$3,080.0 one-time Utility Increase funding; and FY12 \$2.0 License Plate Revenue, and \$3,410.0 estimated one-time Utility Increase funding.

## UNIVERSITY OF ALASKA

### FY13 OPERATING BUDGET DEVELOPMENT GUIDELINES

#### INTRODUCTION

With limited program funding approved by the Governor or Legislature in FY12, much of the planning for FY13 will be a continuation of the work done last year.

The prospects for FY13 appear just as chilly for the coming year – despite the current spike in oil prices and the accompanying revenue. The Governor has already signaled a continuation of his strategy to “hold-the-line” on budget requests for the university and state agencies, and the Legislature has signaled intentions to slow the growth of all state operating expenditures. The additional income expected to the state in FY12 as a result of higher than expected oil prices will be treated as windfall revenue going primarily to savings, capital and one-time expenditures.

Given the austere financial and political climate expected in FY13, the University will capitalize on what President Gamble has called a “strategic pause”, during which time the focus will be to:

- Limit growth and new programs
- Improve student access to e-learning
- Concentrate on what is already in the pipeline
- Focus on cost containment for needed growth in high demand program areas including:
  - Engineering
  - Teacher education
  - Health/biomedical
  - Research – applied and basic research with strong focus on Alaska issues
- Generate savings through administrative and programmatic restructuring and realignment

#### PROGRAM PRIORITIES

Educational output priorities for the University will not change significantly.

- Enhance college readiness and student success with continued emphasis on student enrollment, retention, and timely completion
- Prepare Alaskans for the State’s high-demand jobs
- Enhance competitive research and create commercial value from UA intellectual property

As usual we will continue our efforts to align with public service, conduct outreach, increase development, and pursue engagement efforts, including international opportunities.

#### FIXED COSTS

Fixed Costs/Administrative Requests will be developed using system wide standards. Information Technology (IT) and business process improvement initiatives will be vetted through the Information Technology Executive Council (ITEC), System-wide Administrative Leadership Team (SALT) and Business Council (BC) respectively. As part of the fixed cost review process, each MAU will submit an anticipated all-in cost estimate and funding plan for

any new facilities that have been listed in the capital budget, as well as those facilities funded through the 2011 General Obligation Bond.

### PERFORMANCE FUNDING POOL

Each MAU will control the distribution of its FY13 performance funding pool, to be used in support of performance-related strategies. One percent of general funds are the expected funding pool size, although annual circumstances will dictate the exact amount chosen by the MAU for internal reallocation. In the FY13 budget and planning process, MAU performance evaluation and reporting requirements are based on the State of Alaska's requirements.

### BUDGET ASSUMPTIONS

The budget will be developed using the following assumptions for FY13:

- Enrollment will increase slightly
- Externally funded research activity will be flat to slightly up
- Indirect Costs Recovery (ICR) will increase slightly
- Tuition rate of 7% (100-400 levels) and 3% (graduate level)
- Modest compensation increases for staff and faculty
- FY13 PERS and TRS retirement system employer contribution rates will remain at the FY12 levels (12.56% TRS, and 22.00% PERS)
- Healthcare costs will continue to increase

### FY13 BUDGET TIMELINE

Below are key dates in the FY13 budget development process. BOR identifies dates for which the Board of Regents will be involved.

#### June

- BOR - FY12 Operating and Capital Budget Acceptance
- BOR - FY12 Operating and Capital Budget Distribution Plans Approval
- BOR - FY12 Natural Resources Fund Budget Allocation Approval

#### July

- Initial meeting with the Governor's Office of Management and Budget (OMB) and Legislative Finance Division to discuss FY13 program themes, fixed costs and capital budget needs

#### August

- FY13 MAU Operating Budget Requests submitted to Statewide Budget Office including: extraordinary fixed cost increases, new facility operating costs, priority program descriptions, expected non-state funding source(s), revenue estimates by source, and savings claims
- FY13 MAU Capital Budget Requests submitted to Statewide Budget Office including: capital budget requests and deferred maintenance lists
- List of expected leased properties and any projects needing potential debt financing
- FY13 budget meeting of the University of Alaska leadership to present and review MAU budget request priorities (to include a presentation by each Chancellor on the expected outcomes in FY12 and a general discussion of their 3-5 year planning horizon)
- FY13 MAU Performance Assessments submitted to Statewide Planning and Budget.



September

- BOR - First Review of FY13 Operating, Capital Budget, and Capital Improvement Plan
- Formal budget meeting with Governor's Office of Management and Budget (OMB)

October

- MAU Fall Financial Review including MAU Performance Assessment

November

- BOR - FY13 Operating and Capital Budget Request Approval
- BOR - FY13 Capital Improvement Plan Approval
- Submit Board of Regents' FY13 Budget to the Governor's Office of Management and Budget (OMB)

MEMORANDUM

STATE OF ALASKA  
Office of the Governor  
Office of Management and Budget

To: Pat Gamble, University of Alaska  
Ted Leonard, AIDEA  
Sara Fisher-Goad, Alaska Energy Authority  
Ray Riutta, AK Seafood Marketing Institute  
Dale Nash, AK Aerospace Corporation  
Mike Burns, AK Permanent Fund Corp.  
Jeff Jessee, Alaska Mental Health Trust  
Dan Fauske, AK Housing Finance Corp.  
Diane Barrans, ACPE

Date: July 28, 2011

From:  Karen J. Rehfeld  
Director

Subject: FY2013 Preliminary Budget  
Discussions

As you know, Governor Parnell is committed to maintaining budget discipline, holding the line on new positions and programs, and limiting the growth of the operating budget. Spending less and saving more for the future, while investing in infrastructure to grow Alaska's economy will continue to be our administration's focus as we develop the FY2013 budget.

The following information is provided to assist you in preparing for the upcoming budget discussions. Your help in bringing forward proposals for efficiencies and savings in the budget is appreciated. Any proposed legislation with budget impacts should also be discussed during these preliminary meetings.

**Department Preparation**

Operating

- Agencies are asked to prepare the following scenarios for discussion during the Heads Up Meetings. For each scenario, be prepared to talk about the impact on your core services and priority programs. They are:
  - **Hold the Line Budget** – OMB will allocate funding for statewide priorities, including salary increases, retirement system unfunded liability, Medicaid, and the Domestic Violence and Sexual Assault Initiative. There will be NO other state funded increases in agency budgets. No new positions will be added.
  - **Baseline** – Please be prepared to discuss increases in the baseline budget that would not be funded in the Hold the Line scenario and the impact on priority programs and services. What increases would be necessary in the baseline budget to maintain the current level of services to Alaskans?
  - **New Initiatives** – given the Governor's instructions to minimize growth in the agency operating budget, what increases or new initiatives do you believe are essential in order to address priority programs and services?
    - To advocate for your requested increase, please provide the following information:
      - What do you currently have in capacity and how well are we doing?
      - Why do you need the change in the budget?
      - What results for Alaskans do we expect if we fund the change?
      - What other agencies may be impacted and how has your agency coordinated with others?

### Capital

- Our goal is to prepare a responsible capital budget, including Year 3 of the deferred maintenance package. While we have not set a spending target for capital, keep in mind the overall spending plan and the effort to spend less and save more for the future. Please come prepared to discuss:
  - Projects that leverage other funds (GF Match)
  - A reasonable listing of projects in priority order. Do not bring the whole universe!
- Deferred Maintenance
  - The FY 2013 Deferred Maintenance (DM) package will focus primarily on building repair and renovation with an emphasis on fire, life, safety, and protection of structure. Construction of new facilities should not be included in the DM request. We do not intend to create new State positions with DM funds.

### **Heads Up Meetings**

The individual agency meetings to discuss your preliminary FY2013 budget recommendations will follow the cabinet meetings and will be scheduled between September 6 -23. The “Heads Up” Meetings will originate in the Juneau Governor’s Office Conference Room and will include the Chief of Staff as his schedule allows, the Deputy Chief of Staff, the Legislative Director, the appropriate Governor’s Office Special Assistants, OMB Analysts, and anyone you choose to bring from your agency. Video-teleconferencing from the Anchorage and Fairbanks Governor’s office will also be available.

**Attached is the assigned date and time of your Heads Up Meeting. If this date will not work for you, there are three unassigned time slots available. If you are still unable to work with the possible dates, please contact another department to switch times. Please confirm your availability with Lynn Castle at 465-4660 no later than August 5.**

Additional meetings may be scheduled to help finalize the FY2013 budget recommendations. I appreciate the time it takes to prepare for these meetings. It is very helpful to have the discussion on various budget items and issues to be as fully developed as possible. It is not necessary to bring written documents to this initial meeting nor is it necessary for each department to discuss statewide personal services cost increases.

Using the performance framework to describe the budget is a powerful tool for the administration to demonstrate to the public where funds are being invested and what service levels Alaskans receive as a result. And, the performance framework will also give the public an understanding of what is behind the numbers in the FY2013 budget request.

Memorandum, FY2013 Preliminary Budget Discussions  
July 28, 2011  
Page 3 of 3

I look forward to working with you on the budget. If you have any questions, please call me or your OMB Analyst.

cc: Mike Nizich, Chief of Staff  
Randy Ruaro, Deputy Chief of Staff  
Administrative Service Directors

Attachments:

FY2013 Budget Heads Up Meeting DRAFT AGENDA  
September Heads Up Meeting Schedule

## FY2013 Budget Heads Up Meeting

### DRAFT AGENDA

To make the best use of our limited time during the September Heads Up Meeting, following is a proposed agenda for your use:

Order	Agenda Item	Estimated time (adjust as necessary)
I.	Performance Report	15 minutes
II.	Potential FY 2012 Supplemental Items	15 minutes
III.	Operating Budget <ul style="list-style-type: none"><li>• Hold the Line Budget</li><li>• Baseline</li><li>• New Initiatives</li></ul>	30 minutes
IV.	Capital/Deferred Maintenance Budget	30 minutes
V.	Long Range Plan – what “Big Rocks” are looming out there? What potential problems do you see?	15 minutes
VI.	Proposed Regulatory and/or Statutory Changes and the fiscal impact	15 minutes

Please highlight areas where your responsibilities overlap with other agencies and any challenges or opportunities as a result.

Agencies should not submit official documents but we expect you to work from ABS change record reports in order to provide sufficient detail in your presentation, including funding sources and new positions, that OMB can use to analyze and prepare reports for the Budget Review Team.

If you have any questions, please contact your OMB Analyst.

**FY 2013 Agency Heads Up Meetings – Tentative Schedule  
September 6 – 23, 2011**

These meetings will originate in the Juneau Governor's office conference room. Video-teleconferencing from the Anchorage and Fairbanks Governor's office will also be available. These meetings include the Budget Review Team, Commissioners and agency personnel, special assistants, and the Office of Management and Budget staff.

**Tuesday, September 6**

9 – 11 AM Commerce, Community & Economic Development  
1 – 2 PM Alaska Seafood Marketing Institute

**Wednesday, September 7**

9 – 11 AM Military & Veterans Affairs  
1 – 2 PM Alaska Aerospace Corporation

**Thursday, September 8**

9 – 11 AM Administration  
1 – 3 PM Labor

**Friday, September 9**

9 – 11 AM Health & Social Services  
1 – 3 PM AK Mental Health Trust

**Monday, September 12**

9 – 11 AM Public Safety  
1 – 3 PM Corrections

**Tuesday, September 13**

9 – 11 AM Law  
1 – 2 PM Courts  
2 – 3 PM DVSA Agencies

**Wednesday, September 14**

9 – 11 AM AIDEA/AK Energy Authority  
1 – 3 PM Energy – include AHFC

**Thursday, September 15**

9 – 11 AM Alaska Commission on Postsecondary Education  
1 – 3 PM Education

**Friday, September 16**

9 – 11 AM OPEN  
1 – 3 PM OPEN

**Monday, September 19**

9 – 11 AM Fish and Game  
1 – 3 PM Environmental Conservation

**Tuesday, September 20**

9 – 11 AM Natural Resources  
1 – 3 PM Revenue

**Wednesday, September 21**

9 – 10 AM AK Permanent Fund Corporation  
10:30 – 12:30 University  
1 – 3 PM OPEN

**Thursday, September 22**

9 – 11 AM Alaska Housing Finance Corporation  
1 – 3 PM Transportation & Public Facilities

**Friday, September 23**

9 – 11 AM Gasline Agencies (AGDC and AGIA)  
2 – 3 PM Governor





# References

University of Alaska  
 FY13 High Priority Program Requests by Category  
 (\* Included in budget request)

MAU/Campus/Program Title	State Approp.	Receipt Authority	Total
<b>FY12 One-time Funded Priority Programs to Baseline</b>			
* UAA ANC Honors College	100.0	15.0	115.0
* UAF FBK Honors Program	100.0		100.0
FY12 One-time Funded Priority Programs to Baseline Total	200.0	15.0	215.0
<b>New Initiatives to Improve Graduation Rates</b>			
* UAA ANC Advising Students for Performance Success	354.9	190.0	544.9
* UAA ANC ANSEP Staff	271.0		271.0
* UAF FBK Advising Students for Performance Success	600.0	494.8	1,094.8
* UAF FBK Development/Alumni Support for Increased Giving in Support of Student Success	150.0	150.0	300.0
* UAS JUN Advising Students for Performance Success	87.3	37.7	125.0
UAF FBK ESL - English as Second Language Program		195.2	195.2
UAA ANC High-Impact Practices/Student Success	175.0	20.0	195.0
UAF FBK Enhance UAF Honors Program	50.0	174.7	224.7
UAF FBK Transfer Student Recruiter		130.0	130.0
New Initiatives to Improve Graduation Rates Total	1,688.2	1,392.4	3,080.6
<b>Investment in High Demand Jobs</b>			
<b>Engineering</b>			
* UAF FBK Support for Increased Engineering Enrollment and Graduation	400.0	832.8	1,232.8
UAA ANC Engineering Student Assistants	60.0		60.0
Engineering Sub-total	460.0	832.8	1,292.8
<b>Health/Bio-Med</b>			
* UAA ANC Graduate Nursing Faculty - Family Nurse Practitioner	389.9	40.0	429.9
* UAA ANC INBRE Cellular Developmental Biologist	100.0	20.0	120.0
* UAA ANC Physical Therapy Careers	350.0	40.0	390.0
* UAF FBK Veterinary Program	400.0	443.1	843.1
UAA ANC Dietetics and Nutrition Program Expansion	116.0	20.0	136.0
UAA ANC Ultrasound Faculty	116.2	20.0	136.2
UAA ANC Health Student Success Coordinator	62.2	5.0	67.2
UAA ANC Biostatistics and Epidemiology Faculty	75.0	20.0	95.0
UAA ANC Occupational Therapy Liaison	100.0	10.0	110.0
Health/Bio-Med Sub-total	1,709.3	618.1	2,327.4
<b>Teacher Education</b>			
* UAA ANC ISER-Alaska Education Policy Research	250.0		250.0
* UAS JUN Elementary Education Faculty with a Literacy Focus	90.1	22.9	113.0
Teacher Education Sub-total	340.1	22.9	363.0
<b>Workforce Development</b>			
* UAA KPC Process Technology for Resource Development	375.0	94.0	469.0
* UAF CRCD Early Childhood Program Support	144.0		144.0
* UAS SIT Alaska Training/Technical Assistance Center Director/Career Trainer	145.1	50.0	195.1
* UAS KET Assistant Professor of Fisheries Technology	85.0		85.0
* SPS SW Tech Prep Secondary to Post-secondary Bridge Program	350.0		350.0
UAA ANC Architectural and Engineering Technology	94.0	20.0	114.0
UAA MSC Computer Tech Systems Faculty	127.2		127.2
UAS KET Assistant Professor of Marine Transportation	85.0		85.0
UAA PWS Outdoor Recreation Program	29.7	2.5	32.2
UAA ANC Small Business Development Center	273.8		273.8
UAF CRCD Rural Education and Workforce Development	210.8	149.0	359.8
Workforce Development Sub-total	1,919.6	315.5	2,235.1
Investment in High Demand Jobs Total	4,429.0	1,789.3	6,218.3

University of Alaska  
 FY13 High Priority Program Requests by Category  
 (\* Included in budget request)

MAU/Campus/Program Title	State Approp.	Receipt Authority	Total
Enhancing Competitive Research			
Commercialization of University Intellectual Property for Business			
* UAF FOR Development	210.0	140.0	350.0
* UAF FBK Indigenous Studies PhD and Alaska Native Knowledge Network	250.0	46.6	296.6
* UAF FOR High Performance Computing for Research	500.0	226.4	726.4
* UAF FOR Preservation of Alaska's Art and Culture	285.0	178.9	463.9
* UAF FOR Resilience and Climate Adaptation Program (RAP) in Graduate Studies	300.0	472.6	772.6
* UAF FBK Sikuliaq On-shore Staff Support		547.2	547.2
UAF FOR President's Professors of Biomedical Research	175.0	132.6	307.6
Enhancing Competitive Research Total	1,720.0	1,744.3	3,464.3
FY13 High Priority Program Sustainment	8,037.2	4,941.0	12,978.2

**FY12 One-time Funded Priority Programs to Baseline**  
**(GF: \$200.0, NGF: \$15.0, Total: \$215.0)**

○ **UAA Honors College (under consideration)**

(GF: \$100.0, NGF: \$15.0, Total: \$115.0)

This request is to convert one-time funding received in FY12 to base funding. The University Honors College supports the UAA disciplinary schools and colleges through recruitment of exceptional students, providing academic advising and student support, partnering to bridge undergraduate research experiences with post graduate opportunities, and partnering to support student opportunities in the community. The College helps students develop a competitive edge for career options as well as for admission to the best graduate and professional schools in the nation. In addition, the Honors College provides students opportunities to participate in seminars, learning communities, community engagement, and research at the undergraduate level, enhancing graduation rates by engaging students and increasing retention. Providing undergraduate students with research experiences has been shown to lead to an increase in student perseverance in higher education, higher graduation rates, and a greater number of students pursuing bachelor and graduate studies. Funding is requested for additional staff for student support and faculty labor costs for Honors courses.

○ **UAF Honors Program (under consideration)**

(GF: \$100.0, NGF: \$0.0, Total: \$100.0)

This request is to convert one-time funding received in FY12 to base funding. UAF's honors students are among the highest-achieving college students in Alaska. The requested funding is to enhance the honors curriculum, to provide more honors sections of courses in a wider range of subject areas, which will help in recruiting more of the eligible students into the program. UAF intends to use this as an opportunity to pilot different instructional approaches, such as active learning, interdisciplinary courses, and blended face-to-face and e-learning courses, which could be used with other students if they prove particularly successful.

**New Initiatives to Improve Graduation Rates**  
**(GF: \$1,688.2, NGF: \$1,392.4, Total: \$3,080.6)**

○ **UAA Advising Students for Performance Success (under consideration)**

(GF: \$354.9, NGF: \$190.0, Total: \$544.9)

UAA is committed to increasing the student degree/goal attainment rate of all degree-seeking students. UAA's own success deploying educational advisors in schools and colleges has positively contributed towards increasing the rate of persistence for bachelor's degree seeking students. At the Anchorage campus Advising and Testing Center, three academic advisors provide educational advising for more than 454 Associate of Arts degree seeking, 900 undeclared Bachelor's degree seeking, and 4,027 non-degree seeking students. Not including the non-degree seeking students, the educational advisor to student ratio in the Advising and Testing Center is 1:451, an overwhelming caseload to be effective with purposeful and intrusive advising. Additionally, the UAA Anchorage campus has successfully piloted the nationally used and highly effective MAP-Works®, Making Achievement Possible, a comprehensive student support and intervention program. MAP-Works® identifies first and second-year students early each semester allowing for immediate support and intervention. MAP-Works® then serves as the infrastructure to manage those critical outreach efforts. MAP-Works® is directed at 100% of UAA's first-time degree seeking freshman.

## FY13 Operating Budget Program Descriptions

Requested are funds for four new academic development/student success professionals to bring the degree-seeking advisee-advisor ratio in-line with recommended national standards for four year public universities and for implementing the MAP-Works® student retention program.

- **UAA ANSEP Staff (under consideration)**

(GF: \$271.0, NGF: \$0.0, Total: \$271.0)

The Alaska Native Science and Engineering Program (ANSEP) is highly dependent on external funding, of which a very large portion (approx. \$1.5M) is scheduled to expire in FY12. ANSEP has already made reductions to its budget in the amount of \$1.2M with attendant loss of current services. This request is to replace lost funds to maintain current core services by funding two positions responsible for key programs.

- **UAF Advising Students for Performance Success (under consideration)**

(GF: \$600.0, NGF: \$494.8, Total: \$1,094.8)

TRiO Student Support Services (SSS) level comprehensive advising support would be extended to about 400 of the estimated 1100 at-risk baccalaureate, AA, and AS students at UAF; SSS currently serves about 160 such students. The federally funded TRiO Student Support Services program has been very successful in retaining and graduating at-risk baccalaureate students with an academic need. The SSS six-year graduation rate surpassed that of all UAF baccalaureate students over the past several years, with graduation rates for SSS Students (bachelor's degree-seeking, includes bachelor's intended (pre-majors)) versus UAF students (first-time, full-time bachelor's degree-seeking) of 48% to 29% in FY08, 46% to 35% in FY09, and 40% to 33% in FY10.

- **UAF Development/Alumni Support for Increased Giving in Support of Student Success (under consideration)**

(GF: \$150.0, NGF: \$150.0, Total: \$300.0)

Due to increased budget constraints across the UAF campus, the demand for private gifts has increased significantly in recent years. UAF Development raises between \$5M and \$6M annually (not including philanthropic grants) to support UAF students, programs, and research. In FY11, UAF increased its overall donor base by 6 percent and its alumni giving by 16 percent. The Development team and Alumni Relations build positive relationships with UAF's 3,671 donors on a regular basis. The FY11 increase in donors is directly related to the implementation of new giving strategies such as the inaugural phone-a-thon program that was launched in FY11. Additionally, 12 new donors came forward in FY11 and committed to including UAF in their wills. These commitments and relationships will sustain UAF's mission in years to come.

- **UAS Advising Students for Performance Success (under consideration)**

(GF: \$87.3, NGF: \$37.7, Total: \$125.0)

This one new position will develop and teach specific college courses that are designed to meet the needs of new students at UAS. The current status of preparatory courses at UAS is that they are not consistently offered on the Juneau campus despite the fact that most new students enroll in at least one developmental math and or English course. The position will also advise AA general studies students (100 fulltime students in the fall 2010) and coordinate new summer bridge programs. The AA general studies students do not have a faculty advisor and summer bridge programs are not currently offered at UAS. Recent growth in enrollment at UAS has been driven by recruiting efforts. Accommodating growth will depend on an improvement in retention.

## FY13 Operating Budget Program Descriptions

- **UAF ESL - English as Second Language Program (delay/reallocate)**  
(GF: \$0.0, NGF: \$195.2, Total: \$195.2)  
CLA requests an NGF increment for initial operating expenses for our new English as a Second Language (ESL) program. This program will provide needed infrastructure for the university's goals of recruiting and retaining international students, recruiting and retaining minority students, and growing graduate programs. In doing this, the program will effectively belong to and serve the entire university community and not just one college, department, or program. International students pay high tuition rates and increasing their numbers will bring in significant revenue. The Chancellor has recently stated that recruiting more such students must be a top priority as UAF looks to improve its standing nationally and internationally. To do this, we need to make sure that international students have the English language support they need to succeed both academically and socially. Compared to its equivalent and aspirational peer institutions, however, UAF is alone in failing to offer a comprehensive, coordinated program for ESL students. An ESL program would also offer benefits to Alaskan and other American students at UAF. In the face of increasing economic and cultural globalization, exposure to peers from different cultures and connections with institutions in other countries are increasingly valuable to students. This is especially true at a relatively remote and comparatively homogeneous university like UAF. By helping increase our international enrollments and bringing students from different backgrounds together both in and out of the classroom, a comprehensive ESL program would provide a valuable addition to the lives of our domestic students.
- **UAA High-Impact Practices/Student Success (delay/reallocate)**  
(GF: \$175.0, NGF: \$20.0, Total: \$195.0)  
High-impact practices and their relationship to student success are well documented by the Association of American Colleges and Universities (AACU), and are becoming widely recognized as a key aspect of student retention, progress and timely graduation. Integrating high-impact practices into a broader component of instruction and curricula enjoys broad philosophical support, but such practices require faculty effort beyond the level currently employed. Focus on high-impact practices in the areas of writing intensive courses, service learning, undergraduate research, and diversity and internationalization would be undertaken with additional funding. This request is for funding to augment faculty effort directed at high-impact practices, to provide supplemental support necessary to incentivize the adoption of such practices by a broader range of faculty, and to provide a data-driven assessment of the impacts on student success achieved through such investments.
- **UAF Enhance UAF Honors Program (delay/reallocate)**  
(GF: \$50.0, NGF: \$174.7, Total: \$224.7)  
The UAF Honors Program, initiated in 1983, serves Alaska's best and brightest students. The program currently has approximately 130 students and continues to grow; the number of new admits to the program has averaged 30-40 students each fall over the past several years. The program's current base budget covers the half-time faculty director, administrative assistant, and little else. A consultant from the National Collegiate Honors Council, Greg Lanier, in September 2008 recommended an immediate and substantial infusion of resources. Crucial in this infusion of resources are funds specifically earmarked for Honors teaching. An Honors program cannot develop or even function without exemplary teaching; pure and simple, that is the core Honors mission. We propose to improve the quality and capacity of UAF's Honors Program through permanent funding of a full-time director position with part-time honors teaching responsibility and grant writing responsibility. In addition, we propose to significantly increase funding for honors

## FY13 Operating Budget Program Descriptions

teaching, and increase the non-salary budget for student learning activities to allow this unit to better recruit and serve exceptional students. As is often the practice at other universities, we plan to pilot innovative teaching methods and course materials in the Honors curriculum, and the most successful innovations will be adopted in the mainstream curriculum for students in general. This proposal provides a funding foundation for the Honors Program to build upon through grant writing and development opportunities.

SSS accomplishes these improved rates through comprehensive advising (described below), developmental math intensives, tutoring, laptop and text book loans, and targeted tuition scholarships. The SSS comprehensive advisor activities and processes that would be followed are:

- An intake process – the student completes a questionnaire and has a discussion with an advisor. This process provides a broad view of the issues the student faces.
- Students are required to meet with an advisor or tutoring at least 5 times each semester to update progress in current courses and personal issues.
- Advisor serves as an advocate to help student with financial aid, business office, residence life, campus jobs, day care referrals, and vocational rehabilitation connections as needed.
- Federal style reporting on student gpa, retention and graduation would be tracked using Advisor Track software, so outcomes will be known and can be used for program refinement.
- Advisors will also encourage all their students to enroll before departing at the end of each semester, participate in the early warning system and freshman progress reporting, and follow up on probation and academic disqualifications.

### ○ **UAF Transfer Student Recruiter (delay/reallocate)**

(GF: \$0.0, NGF: \$130.0, Total: \$130.0)

Both the administrative and academic leadership at UAF have repeatedly identified as a strategic goal increasing the enrollment of both transfer and international students. While we have already begun having discussions and taking some actions to make this happen, a full-fledged recruitment initiative in either of these areas will require additional staffing. Current staff and resources in the Office of Admissions and the Registrar are tasked primarily with recruiting traditional, first-time, baccalaureate degree-seeking students. In order to begin recruiting transfer and international students without detracting from our efforts in recruiting freshmen, we are requesting a dedicated, full-time transfer and international student recruiter.

## **Investment in High Demand Jobs**

**(GF: \$4,429.0, NGF: \$1,789.3, Total: \$6,218.3)**

### **Engineering**

**(GF: \$460.0, NGF: \$832.8, Total: \$1,292.8)**

### ○ **UAF Support for Increased Engineering Enrollment and Graduation (under consideration pending engineering plan review)**

(GF: \$400.0, NGF: \$832.8, Total: \$1,232.8)

Student enrollment in the College of Engineering and Mines has increased by 70% since 2006 and more than 120 degrees were awarded in FY11, a 50% increase since 2006. Despite the increases in enrollment and graduates, CEM has seen only very modest increases in faculty and teaching assistant (TA) support levels. Continuing expansion of engineering student enrollment at UAF since FY09 has continued to put pressure on the teaching resources of the college. It is now critical

## FY13 Operating Budget Program Descriptions

that additional faculty and teaching assistant resources be added in order to continue successfully guiding UAF engineering students towards graduation in an efficient manner. CEM currently has core Fund 1 support for 23 TA positions college-wide. This number of TA slots is insufficient given the current enrollment of nearly 750 undergraduate students majoring in engineering. For example, it is less than half the number of teaching assistants per student major (0.031 vs. 0.076) compared with the other college with many undergraduate laboratory courses, CNSM. This budget request would add support for an additional 12 TA positions and provide a level of support more consistent with current enrollments. In addition to TA resources, increasing enrollments are placing additional pressure on class sizes and faculty resources, especially in the core Engineering Science course sequence. In order to better serve these additional students, the current request includes funding for two additional faculty slots in CEM (a very modest expansion of about 4% over the existing CEM teaching faculty pool).

- **UAA Engineering Student Assistants (delay/reallocate)**

(GF: \$60.0, NGF: \$0.0, Total: \$60.0)

Teaching assistants at the undergraduate and graduate levels are needed to help with project-based learning activities, lab work, grading, mentoring, tutoring, summer camps, outreach activities with K12 engineering academies, interface with students, and visitors from industry and industry-sponsored student activities. Tutoring and mentoring of all engineering students is done by the School of Engineering. As enrollments in the School of Engineering have doubled within the past five years, the need for student assistants has become increasingly critical. Integrating student assistants into the multitude of activities that the School is involved with minimizes costs, enhances program efficiencies, and improves program delivery.

### **Health/Bio-Med**

**(GF: \$1,709.3, NGF: \$618.1, Total: \$2,327.4)**

- **UAA Graduate Nursing Faculty – Family Nurse Practitioner (under consideration)**

(GF: \$389.9, NGF: \$40.0, Total: \$429.9)

The importance of advanced nurse practitioners (ANPs) to the provision of primary care in Alaska is acknowledged. The UAA School of Nursing prepared two types of advanced nurse practitioners: family and psychiatric/mental health, with the family nurse practitioner program the largest. More than 25% of ANPs practicing in Alaska today have graduated from UAA. The School's graduate offerings also include Nursing Education, providing an opportunity for nurses to become faculty members, at a time of a critical shortage locally and nationally. While the programs have capacity for additional students, and there is high interest in gaining admission to them, there is insufficient faculty to sustain an increase in admissions. This request will support the equivalent of three faculty positions which will enable us to double the number of family nurse practitioner students admitted each year (from 7 to 15 admissions) while maintaining admissions to the other two programs. It is important to note that UAA nursing graduate programs are accessible statewide.

- **UAA INBRE Cellular Developmental Biologist (under consideration)**

(GF: \$100.0, NGF: \$20.0, Total: \$120.0)

The University of Alaska benefits from the NIH-sponsored IDeA Network of Biomedical Research Excellence (INBRE) program; it helps build research competency in biomedical research. The Alaska INBRE program provides laboratory scientists and clinical researchers with the tools and training needed to understand, detect, treat, and prevent a wide range of diseases. The program is in



## FY13 Operating Budget Program Descriptions

its second phase, supported by over \$12M in funding from NIH, and requires identification of the investments to meet matching requirements that contributes to the enhancement of biomedical research and education within the institution. This request is for a full-time tenure-track faculty with expertise in Cellular and Developmental Biology to complement the existing research and teaching competencies within the University INBRE program.

- **UAA Physical Therapy Careers (under consideration)**

(GF: \$350.0, NGF: \$40.0, Total: \$390.0)

Physical therapy has become one of the highest demand health professions in Alaska, and this demand will continue to grow as the population ages. This proposal is for hiring a faculty/ liaison to coordinate three related efforts at the University of Alaska Anchorage: development of a clear pre-physical therapy track, development of a partnership with one or more physical therapy schools to offer PT education in Alaska, and facilitation of a partnership or local model to offer a physical therapy assistant program in Alaska. This proposal requests funding for an Anchorage-based clinical faculty to coordinate and supervise clinical education, as well as to coordinate pre-physical therapy, PT and PTA programs and/or partnerships. Between the three initiatives, it is expected that about 50 students will be served each year.

- **UAF Veterinary Program (under consideration)**

(GF: \$400.0, NGF: \$443.1, Total: \$843.1)

Based on a 2010 statewide needs assessment and an internal review, the University of Alaska Fairbanks is planning a new Department of Veterinary Medicine within CNSM. According to the US Department of Labor, veterinarians are the 18th fastest growing occupation and veterinary technicians are the 13th fastest. This new professional program is possible thanks to many years of recruiting key faculty and investing in infrastructure capable of supporting biomedical research and academics. The foundation of this new program will be an accredited “2+2 program” between UAF and the College of Veterinary Medicine and Biomedical Sciences, Colorado State University. Students will complete their pre-veterinary program (3-4 years) and the first 2 years of their professional program at UAF. Their final 2 years will be at the veterinary teaching hospital at CSU. One of our primary goals is to promote the “one health” concept – a collaborative effort between human medical, veterinary medical, and public health professions. We will enhance veterinary coverage in Alaska by training veterinarians with an understanding of Alaskan needs. Specific interests include but are not limited to: public health, rural veterinary medicine, quality and safety of subsistence foods, population health of Alaskan wildlife, zoonotic disease, sustainable agriculture, toxicology, environmental contaminants, emerging disease and the effects of global warming. Equally important for the state are research, graduate veterinary education, professional services for the veterinary community, and continuing education in animal health and disease. The state funding requested will support the hire of two essential faculty members, a veterinary anatomist and a veterinary clinical sciences faculty member to take the lead on second year anesthesiology and surgery courses. UAF will seek Board approval for a special professional tuition rate of \$20,000/year. Tuition revenue will cover a support staff member, other operating expenses, and additional faculty.

- **UAA Dietetics and Nutrition Program Expansion (continue on TVEP?)**

(GF: \$116.0, NGF: \$20.0, Total: \$136.0)

Bachelor’s degrees in dietetics and nutrition are underway. In 2006, a statewide feasibility study revealed the need for a baccalaureate degree program in nutrition in Alaska. This finding, in

## FY13 Operating Budget Program Descriptions

addition to ever-increasing rates of diabetes and obesity, and along with constant (approximately 25-35) requests per year for these degrees, supported the strong need for BS degrees in nutrition and dietetics. The new degree in Nutrition (BS) began accepting majors in January 2010; there are currently about 80 majors enrolled. The Dietetics program received initial accreditation and has 20 majors. These degrees rounded out the nutrition/dietetics offerings which previously included a dietetics internship and a fully distance delivered nutrition minor. An additional professor was hired to support this effort using temporary bridge funding. With students now enrolled and the programs beyond capacity, ongoing support for the faculty member has become critical.

- **UAA Ultrasound Faculty (continue on TVEP?)**

(GF: \$116.2, NGF: \$20.0, Total: \$136.2)

In February 2008, the Advisory Committee for the Medical Imaging Sciences Program met and discussed the need for an ultrasound program within the state. Currently, there is a 19% vacancy rate in Alaska for ultrasonographers, which is expected to increase over the next decade. The demand in hospitals alone throughout the state in 2007 stood at 26% with an average vacancy rate of 3-4 years. This on-campus program would lead to an associate degree in ultrasonography. Prerequisites would be a variable number of credits, depending on student experience. One faculty has been added to the Medical Imaging program using bridge funding to develop the curriculum and prepare for the program. One faculty provides for a 10 or 12 to 1 student ratio.

- **UAA Health Student Success Coordinator (delay/reallocate)**

(GF: \$62.2, NGF: \$5.0, Total: \$67.2)

The UAA Allied Health Sciences (AHS) Student Services Coordinator (SSC) is instrumental in student and faculty support within the Allied Health Division. It is estimated that the SSC has made contact with over 200 prospective and current students and close to 1000 general attendees at health and general career fairs annually. The SSC is often the initial point of contact for prospective students and provides academic advising to students, as well as working with students and faculty to assure students are prepared for their courses by working through technical issues related to the distance delivery of courses and ensuring students have received all course materials. The SSC also works with partner campuses including those associated with UAA, UAF and UAS to establish a statewide effort to promote student success in the Allied Health programs. As distance students are often in locations other than where their classes are based, this collaboration with partner campuses is increasingly important to support students to be successful and complete health programs. Currently, this position is funded through grants that will be ending and this request is to provide base funds for this essential activity (one FTE staff position).

- **UAA Biostatistics and Epidemiology Faculty (delay/reallocate)**

(GF: \$75.0, NGF: \$20.0, Total: \$95.0)

This position will act as a central resource for biomedical/health research, researchers and students, for design, longitudinal and single-subject analysis, epidemiological analysis and design, and controlled experimental design. This is a critical and foundational position to support health research and public health instruction at UAA.

- **UAA Occupational Therapy Liaison (delay/reallocate)**

(GF: \$100.0, NGF: \$10.0, Total: \$110.0)

An Occupational Therapy Program in partnership with Creighton University began in Fall 08 and is administered by a part-time occupational therapy faculty/liaison. With three cohorts now admitted

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(about 25 at present) and the program continuing, a full-time liaison is required to carry out all student-supportive activities. Additionally, labs in Anchorage and at outreach sites require resources.

### **Teacher Education**

**(GF: \$340.1, NGF: \$22.9, Total: \$363.0)**

○ **UAA ISER-Alaska Education Policy Research (under consideration)**

(GF: \$250.0, NGF: \$0.0, Total: \$250.0)

The Center for Alaska Education Policy Research (CAEPR) was created with one-time seed funding (\$250.0K) from the University of Alaska Foundation, which identified a goal of addressing “the most important educational policy issues facing Alaska.” This request will provide base funding to operate the CAEPR within the Institute of Social and Economic Research (ISER). CAEPR enhances decision-making by policymakers, education professionals, and the public through collaborative, interdisciplinary research, analysis and dissemination. The Center conducts non-partisan research on policy issues around educational access, equity and excellence in the Alaska context, across early childhood, primary and secondary, higher and adult education.

○ **UAS Elementary Education Faculty with a Literacy Focus (under considerations-recommended by legislative task force)**

(GF: \$90.1, NGF: \$22.9, Total: \$113.0)

The UAS School of Education (SOE) seeks funding for a full-time tenure-track Education faculty position to meet growing demand for Alaska-educated teachers and to fulfill the expectations of the University of Alaska Teacher Education Plan. The great majority of Alaska’s new teachers come from outside of the state. Few stay in the state for more than a few years. Alaskan students are shortchanged by this pattern, which this request will help to address. UAS has a strong history of success in educating Alaskan teachers. It will add a faculty member with significant knowledge in reading and literacy, focusing on educating teachers working with elementary and middle school students. Mastery of literacy is fundamental to ensuring student success. This position expands the university’s capacity to educate Alaskan teachers who are committed to Alaskan students and communities.

The foundations of literacy are established in the elementary years. The University of Alaska Southeast currently has four Elementary Education program options available to potential students in Alaska:

- Undergraduate B.A. program in Elementary Education
  - E-learning option
  - On-campus Juneau option
- Graduate MAT program in Elementary Education
  - E-learning option
  - On-campus Juneau option

These options have provided accessibility and flexibility for prospective teachers interested in a career in Elementary classrooms. In order to continue to meet the growing demand for teachers and ensure their ability to meet the needs of Alaska’s students, the SOE needs an additional faculty member with significant knowledge in reading and literacy as well as expertise in meeting the

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literacy needs of P-8 students through differentiated instruction and Universal Design for Learning (UDL). These, as well as other literacy strategies help ensure the learning of all students and are crucial to the success of teachers.

The proposed elementary faculty member would have a service and research component to their workload. This will allow them to serve as a consultant to faculty in meeting the needs of all university students and to conduct research on meeting the literacy needs of Alaska's public school students.

### **Workforce Development**

**(GF: \$1,919.6, NGF: \$315.5, Total: \$2,235.1)**

- **UAA KPC Process Technology for Resource Development (under consideration)**  
(GF: \$375.0, NGF: \$94.0, Total: \$469.0)  
Funds are requested for two faculty members and one coordinator for the Process Technology program. Demand has been increasing, both by students interested in the program and by industry needing process operators. Graduates have almost doubled in five years from 26 in 2006 to 51 in 2010. During this period, KPC has produced 189 process technology graduates; 65 at the Anchorage Extension Site and 124 at the KPC campus. This request will replace the TVEP funding and add two additional positions for the program. The large number of retiring workers in oil, gas and mining activities, and student and industry demand makes it imperative that KPC increase its capacities in this high demand program. Additional faculty are needed to meet the demand, and a program coordinator will enable more internships, increased interaction with the Alaska Process Industries Career Consortium (APICC), and summer job opportunities.
- **UAF CRCD Early Childhood Program Support (under consideration)**  
(GF: \$144.0, NGF: \$0.0, Total: \$144.0)  
This request, in addition to enabling rural residents statewide to qualify for jobs, is very important to the education of pre-K children. The Early Childhood Education AAS and Child Development and Family Studies BA program graduates are in high workforce demand within Alaska and the United States as a whole. Federal mandates state that all Head Start teachers must have an AAS in Early Childhood by October 1, 2011 and 50% of all Head Start teachers must have a BA by October 1, 2013. The program staff and faculty within the distance Early Childhood programs plays a critical role supporting the high demand educational needs of all Head Start grantees within the State of Alaska. The program has made alterations to the curriculum content of the programs to meet the diverse cultural training needs as well as meeting standards developed by the National Association for the Education of Young Children (NAEYC). UAF CRCD works in conjunction with UAS School of Education.
- **UAS SIT Alaska Training/Technical Assistance Center Director/Career Trainer (under consideration)**  
(GF: \$145.1, NGF: \$50.0, Total: \$195.1)  
Safe Drinking water and proper community sanitation are essential for public health and economic development in rural Alaska. The Alaska Training/Technical Assistance Center (ATTAC) has been providing training and technical assistance to communities, Native health corporations, and Department of Environmental Conservation (DEC) for over 12 years with federal EPA funding.

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This funding ends in the current year. Technical Vocational and Education Program (TVEP) funding is being used to continue this program for FY12.

Replacement of TVEP funding is being sought to move the Sitka-based ATTAC program from soft funding to GF to provide program funding stability. An active partnership between ATTAC and Alaskan Native health corporations, DEC, and individual Native Alaskan villages provides for the drinking water and wastewater training needs of Alaskan communities. This request will fund one staff position with general funds, and continue to generate NGF at approximately \$50.0 annually.

- **UAS KET Assistant Professor of Fisheries Technology (under consideration)**

(GF: \$85.0, NGF: \$0.0, Total: \$85.0)

UAS Ketchikan Fisheries Technology program provides education necessary to offer qualified, locally trained fisheries technicians to replace the aging workforce and retiring managers in this field. As the only 2-year e-learning fisheries technology program in the State of Alaska, the program supports a wide number of students across the state. There are currently 35 enrolled students in the program with an additional 20 taking classes. Nine (9) students have graduated with either the certificate or associate degree. Articulation agreements are in place with UAF School of Fisheries and Ocean Sciences. Agreements with Prince William Sound Community College and Bristol Bay Campus are under development to modify courses to the FT program to meet their regional needs. The program offers hands-on intensive training to meet the demands of the private non-profit fish hatchery industry. The program reaches over 500 middle and high school students each year through outreach efforts with the goal of encouraging students to consider science, specifically fisheries technology, as a career path.

UAS seeks replacement of Technical Vocation and Education Program funding with General Funds for the Ketchikan-based Fisheries Technology program in order to provide program funding stability. This request will fund one faculty position with general funds, and continue to generate NGF at approximately \$20.0 annually with modest annual increases of 1-3% with the addition of courses.

- **SPS SW Tech Prep Secondary to Post-secondary Bridge Program (under consideration)**

(GF: \$350.0, NGF: \$0.0, Total: \$350.0)

Tech Prep programs in Alaska have contributed to UA's outreach effort to secondary students and provided opportunities for dual secondary-UA credit towards graduation and degrees for thousands of students. Funding will institutionalize support for continuing activities necessary to provide plans of study for technical training leading dual academic credit in secondary and postsecondary education leading to university degrees and credentialing. This program has been specifically written into the Gas Pipeline Workforce Development Plan, and Alaska Career and Technical Education Plan.

- **UAA CTC Architectural and Engineering Technology (continue on TVEP?)**

(GF: \$94.0, NGF: \$20.0, Total: \$114.0)

The Construction and Design Technology (CDT) department requests funds to hire one additional AET faculty member. The department's two programs, Architectural and Engineering Technology (AET) and Construction Management (CM) prepare architectural/engineering technicians and construction managers in support of the construction industry. Demand for CM has been growing

## FY13 Operating Budget Program Descriptions

since an AAS was introduced in 2005 and a BS in 2007; student credit hours grew 67% over four years for the combined AET and CM programs, from 1,607 in FY07 to 2,686 in FY11.

- **UAA MSC Computer Tech Systems Faculty (continue on TVEP?)**

(GF: \$127.2, NGF: \$0.0, Total: \$127.2)

This request is for continued funding of the faculty position for the Computer Systems Technology degree program at Mat-Su College. The degree program has one full-time faculty member who also serves as program coordinator. This position has been funded with TVEP funds and it is likely this funding source will terminate in the next academic year. Loss of funding for the single program faculty position puts the entire program in jeopardy at a time when jobs within this sector are expected to grow. Computer networking technology course enrollments have remained stable with a range of 439 to 631 student credit hours over the last six academic years. The program has already demonstrated sustainable demand.

- **UAS KET Assistant Professor of Marine Transportation (continue on TVEP?)**

(GF: \$85.0, NGF: \$0.0, Total: \$85.0)

With over 6,500 credentialed mariners in Alaska, Marine Transportation is considered one of the high demand job fields in Alaska. (Alaska Economic Trends September 2010). Captains, Mates and Pilots of Water Vessels (Alaska's Top Jobs) have a projected growth rate of 8.4% through the year 2018. UAS Ketchikan Marine Transportation Program offers twenty-two (22) U.S. Coast Guard-approved maritime courses and is a training partner for Alaska Marine Highway System and Southeast Alaska Sea Pilot Association. Demand for training has translated into 10% annual growth for the MT program each year, doubling the number of students (200 to 400) in the last five years.

Replacement of Technical Vocational and Education Program funding is being sought to move the Ketchikan-based Marine Transportation program from soft funding to General Funds to provide program funding stability. This request will fund one faculty position with general funds, and continue to generate NGF at approximately \$130,000 annually with 10% growth projected in the next 1-3 years.

- **UAA PWSCC Outdoor Recreation Program (delay/reallocate)**

(GF: \$29.7, NGF: \$2.5, Total: \$32.2)

The PWSCC Outdoor Recreational Leadership Program would be based in Valdez with a partnership component with Kenai Peninsula College, and would transfer into a 4-year program being developed by UAA's Health, Physical Education, and Recreation Department (HPER). The geographic areas of Prince William Sound and the Kenai Peninsula and their surroundings would make this program a draw for students, particularly from out of state. As there are relatively few AAS programs in Outdoor Recreation Management, and none currently in Alaska, there is strong potential for the program to draw national attention from prospective students. It is estimated that at least 25 students would enter the program each year. The funding request would support a full-time coordinator to develop and implement the program.

- **UAA Small Business Development Center (delay/reallocate)**

(GF: \$273.8, NGF: \$0.0, Total: \$273.8)

The Alaska Small Business Development Center has helped create 88 new businesses and create or retain 333 jobs, counseled approximately 1,000 individuals, and helped these businesses either secure or invest over \$11 million in total capital infusion through traditional SBDC activities. The

## FY13 Operating Budget Program Descriptions

Alaska SBDC is funded by the U. S. Small Business Administration with a 1:1 match from the state and community contributions. As the various programs have grown, the need for additional funds is required. Funding this request will sustain two of SBDC's eight centers (Juneau and Fairbanks). As new businesses are created, greater opportunities will arise for more members of the communities, strengthening the area and enhancing the university's role in economic development and job creation.

### ○ **UAF CRCRD Rural Education and Workforce Development (delay/reallocate)**

(GF: \$210.8, NGF: \$149.0, Total: \$359.8)

The requested funds would support three workforce development programs serving rural Alaska communities. The first rural workforce program is Rural Facilities Maintenance, being offered to several Interior rural communities through the Interior-Aleutians Campus. This request will support one FTE Construction Trades Technology (CTT) faculty to provide related courses. This program provides workforce training in multiple aspects of building maintenance with an emphasis on developing the knowledge and skills to meet the unique challenges of rural Alaska. In November 2010 an Occupational Endorsement (OE) in Rural Facilities Maintenance was approved. The second rural workforce program enhancement will improve several programs offered through the Northwest Campus in Nome. This request is for a full-time coordinator to support workforce program faculty (primarily adjuncts) and WFD instruction. The Bering Straits Workforce Enhancement Coordinator will be involved in all aspects of course development, instructor/course approvals, and administrative arrangements. Given the extreme unemployment and underemployment rates in the Bering Strait Region, the need for a regional coordinator is essential (12.9% unemployment rate or 546 receiving benefits). Partners specifically identified applied business (office occupations, finance, supervision and management, accounting), small business entrepreneurship, health-related (CNA, pre-nursing), tourism, and emerging energy-related workforce careers. This effort also will enhance NWC's work with the Northwestern Alaska Career and Technical Center (NACTEC). Third, this request will support a 0.5 FTE Rural Human Services faculty to provide courses for the Rural Human Services Program. This program offers a culturally appropriate training program designed for rural human service workers and Behavioral Health Aides (BHA). Skills and trainings are provided in crisis intervention, suicide prevention, and community development. Additionally counseling skills in mental health that include substance abuse, interpersonal violence, grief, and healing are also offered. The Rural Human Service Program is built on Alaska Native traditional values.

### **Enhancing Competitive Research**

**(GF: \$1,720.0, NGF: \$1,744.3, Total: \$3,464.3)**

### ○ **UAF Commercialization of University Intellectual Property for Business Development (under consideration)**

(GF: \$210.0, NGF: \$140.0, Total: \$350.0)

The Office of Intellectual Property and Commercialization works with University of Alaska Fairbanks employees to facilitate and protect UAF's innovative activities and bring the results to private business use through commercialization. The University of Alaska Fairbanks conducts approximately \$120M per year in research. Much of this research can lead to products, technologies, software codes, new plant varieties, and other intellectual property that, if licensed or sold to business, could provide competitive business advantage and create jobs. This investment

## FY13 Operating Budget Program Descriptions

would fund the commercialization effort, the critical step needed to translate University research to economic development.

- **UAF Indigenous Studies PhD and Alaska Native Knowledge Network (under consideration)**

(GF: \$250.0, NGF: \$46.6, Total: \$296.6)

CLA requests funding to allow recruitment of new faculty and infrastructural support for Cross-Cultural Studies, Indigenous Studies, and the Alaska Native Knowledge Network. The position will provide instructional support and research guidance for Masters and PhD candidates associated with the graduate programs in Cross-Cultural Studies, Indigenous Studies and related areas. The program has experienced rapid growth, and current enrollment in the M.A. (17) and Ph.D. (29) programs exceeds our capacity to provide adequate instructional and research support. A major portion of the requested funding is intended to recruit and refill a faculty position that was vacated by the death of a faculty member, Oscar Kawagley. Although he had retired (and his salary was lost to the indigenous studies program), he continued to make major contributions on a voluntary basis. In addition, the current director is nearing retirement, and it is important to bring a new faculty member on board before that happens to allow a smooth transition for students and a continuation of the program's mission. The online Alaska Native Knowledge Network, which provides critical support for the degree programs as well as information for the general public, requires an information specialist/technician. Although maintaining the website is a portion of his job, the larger part is gathering the information that appears there.

- **UAF High Performance Computing for Research (under consideration)**

(GF: \$500.0, NGF: \$226.4, Total: \$726.4)

This proposal is to sustain and modernize cyber infrastructure capabilities for UA, as delivered by the Arctic Region Supercomputing Center (ARSC). Cyber infrastructure refers to the technology, personnel and support to enable a wide range of research and instruction based on advanced technologies. Services will be delivered to students and researchers at the University, and to residents and other stakeholders in Alaska.

Research and instruction are increasingly reliant upon large-scale computation and storage resources, across virtually all disciplines. Access to up-to-date and capable cyber infrastructure at UA is seen as essential for successful external grant seeking, as portrayed by researchers in dozens of University units. The requested funding will support ARSC's provisioning of resources to new and existing constituencies, and allow expanded utilization of existing resources. A major targeted outcome is growth in external funding, through continued success in ARSC's current users, and enhanced access to cyber infrastructure for additional University constituencies.

A key area for sustaining and modernizing services is for ease of use through Web-based computational portals. Historically, only a subset of scientific and engineering disciplines have utilized supercomputers and their large-scale storage, and these resources were rather difficult to use. Today, however, ease of use for cyber infrastructure is undergoing a nation-wide transformation, thanks to the addition of Web-based portals for computation and analysis. The requested funding will support design, deployment, user support and ongoing maintenance of Web-based computational portals, in order for a variety of stakeholders to more easily engage in computational modeling, data access, visualization, education, and outreach. Major current partners include INBRE/LSI, GINA, SNAP, the Dept. of Chemistry and Biochemistry, GI, and others.



## FY13 Operating Budget Program Descriptions

Another key area for sustaining and modernizing services is a data portal. This will rely on ARSC's massive data storage, which is accessible within the UA system at much higher speeds, and far lower cost, than storage provided by commercial or academic institutions in the Lower 48. The requested funding is needed to expand accessibility and usability of data portal capabilities. The portal will be used for research and dissemination of research results, for instruction, by decision makers, and by other constituencies around the State. The portal will provide a listing of University data providers and information about their data sets. It will enable federated searching of data sets. It will also provide an online location for dissemination of many data sets that are not currently accessible online. Major current partners include Alaska EPSCoR, ASF, IARC, GINA, the Vice Chancellor for Research, WERC, and others.

A final key area for sustaining and modernizing services is an institutional repository. This will enable improved centralized access to the practical and academic outcomes of the University. This institutional repository will provide open access to theses and dissertations, to faculty biographical and bibliographical data, to undergraduate student research projects, and other products and outcomes. These items are already collected, but they are not centralized, standardized, or, in some cases, easily accessible to the public. The requested funding will support the design, deployment, support and maintenance of the needed cyber infrastructure for this institutional repository. Major current partners include the UAF Provost, the UAF Library, the UA Vice President for Academic Affairs, IARC, and the Graduate School. Additional UA partners will be identified.

ARSC has had long-term success in providing mainstream supercomputing and storage resources to researchers at UAF and elsewhere. The requested funding will support deploying these capabilities to reach a far broader constituency at UA and throughout the State. This increased breadth in the user base is intended to result in increased revenues from external grant sources, based on researcher's ability to demonstrate local access to world-class cyber infrastructure. It is also intended to greatly enhance access, and add value, to the University's computational and data products, and other outputs. These will be useful for research, instruction, statewide decision makers, K-12 education, and outreach.

- **UAF Preservation of Alaska's Art and Culture (under consideration)**

(GF: \$285.0, NGF: \$178.9, Total: \$463.9)

The UA Museum of the North (UAMN) has an outstanding collection of Fine Art, from etchings made on Captain Cook's voyages to sculptures made in 2010, and includes many examples of Alaska Native art. UAMN is the most significant state repository for Alaskan art. The collections are a great resource for university students, the community, and scholars from around the world. The collection currently contains 5,000 paintings, prints, photographs, sculptures and multimedia works. In addition, there are hundreds of art collection objects distributed throughout the UAF campus. It is inappropriate for a university museum to have such a significant collection with so many items on display in a variety of venues and not have a curator who can oversee their care, documentation, interpretation, scholarly research and management. The magnitude of the collection also requires a collection manager, particularly to ensure the care and security of items on loan from the museum to other university units and the community. In addition to his or her museum duties, the curator would be a member of the teaching faculty, would bring undergraduate and graduate students into the collection to enhance their knowledge of Alaskan art. Two graduate student research assistantships are included in the request; the students will assist with documentation and scholarly research on the art collections. The curator would also teach art courses, particularly art

## FY13 Operating Budget Program Descriptions

history courses, including e-learning classes. The curator would participate in the very popular UAMN programs for K-12 students, adding knowledge and appreciation of fine art to the available activities. This position would strengthen the link between the Art Department, major donors, university benefactors, and the museum. In addition technical staff is needed to ensure the safety of all persons in the art studio labs where hazardous equipment and supplies are used. The Art Department has a variety of different specialized tools and many pieces of equipment throughout the department. The technician will also serve as the departmental safety coordinator for the area. The technician is needed to ensure a safer, more efficient work environment.

- **UAF Resilience and Climate Adaptation Program (RAP) in Graduate Studies (under consideration)**

(GF: \$300.0, NGF: \$472.6, Total: \$772.6)

The Resilience and Adaptation Program (RAP) at UAF was established through two grants from the National Science Foundation and has operated with that funding for nine years. NSF has a time limit for support of graduate programs and that limit has been reached, so the NSF funding will not continue. However, the RAP program has been very successful and directly addresses Alaska's needs. Hence funds are requested to allow it to continue. RAP is a graduate education and training program focusing on interdisciplinary studies in northern sustainability, resilience, and adaptation to change. The mission of RAP is to prepare scholars, policy-makers, educators, community leaders, and managers to address issues of sustainability in an integrated fashion. Through coursework, an internship experience, thesis research, and other training, students address the challenge of sustaining the desirable features of Earth's social-ecological systems at a time of rapid change. To date thesis research by RAP students has focused on: Climate-Disturbance-Human Interactions, Food Systems and Food Security, Adaptive Resource Co-Management, Sustainable Fisheries and Forestry, Alternative Energy, Rural Community Resilience and Adaptation, and Wildlife and Subsistence Resources. Since 2002 over 80 graduate students have joined RAP and 31 students have graduated from the program. Currently over 50 PhD and masters students are participating in RAP. Additional students have taken RAP course classes and participated in its many activities. Over 41 faculty members have or are currently serving as major advisors to RAP students, with six UAF schools and colleges and more than 9 home departments involved. Additional departments at UAA have also been involved. The goal for establishing RAP as a permanent program at UAF is to create the very best interdisciplinary graduate program in high-latitude sustainability science in the world.

- **UAF Sikuliaq On-shore Staff Support (under consideration)**

(GF: \$0.0, NGF: \$547.2, Total: \$547.2)

The Sikuliaq will be a 261-foot oceanographic research ship capable of bringing scientists to the ice-choked waters of Alaska and the polar regions. When complete in 2013, the vessel will be one of the most advanced university research vessels in the world and will be able to break ice up to 2.5 feet thick. Currently under construction at Marinette Marine Corporation, a shipyard in Marinette, Wisconsin, the Sikuliaq will be ready for unrestricted science operations in 2014 and will be home ported in Alaska, at UAF's Seward Marine Center in Seward. The vessel will be owned by the National Science Foundation and operated by the University of Alaska Fairbanks, as part of the U.S. academic research fleet. Operating such a large and complex vessel will require considerable shore side staff support, and the School of Fisheries and Ocean Sciences will need to add three staff and increase the hours of a fourth. The additional positions are: a marine technician (APT), HR and purchasing specialists (non-exempt), and a warehouse staff person, whose position (non-

## FY13 Operating Budget Program Descriptions

exempt) will increase from part-time to full-time. The positions will be funded from indirect cost recovery from related federal and state grants and contracts. According to the current schedule for completion of the vessel, these positions will be hired or increased in March, 2013, and revenue/expenditures in FY13 will be about 1/3 those shown. The revenues and expenditures will increase to the amounts shown as the ship becomes fully operational in FY14.

- **UAF President's Professors of Biomedical Research (continue on BP funds)**

(GF: \$175.0, NGF: \$132.6, Total: \$307.6)

We are requesting funding to extend the President's Professors for the UAF Center for Alaska Native Health Research (CANHR). The CANHR President's Professors are integral to the success of the center. The \$732K invested to date has resulted in over \$24.5M in extramural funding, mostly from the National Institutes of Health. CANHR has submitted their phase III competitive renewal application and President's Professors program will be viewed as a strong institutional commitment and provide external research partnerships for CANHR investigators. Research conducted by CANHR scientists reflect research priorities for Alaska Natives (obesity, suicide, and substance abuse) and has significant societal benefit. CANHR scientists are nationally recognized for community-based participatory research and this reflects positively on institutional excellence.

**University of Alaska - FY05-FY11 Expenditures**

	<b>FY05 Actual</b>	<b>FY06 Actual</b>	<b>FY07 Actual</b>	<b>FY08 Actual</b>	<b>FY09 Actual</b>	<b>FY10 Actual</b>	<b>FY11 Actual</b>
<b>University of AK Anchorage</b>							
Commodities	18,162.4	17,554.7	19,550.9	19,370.4	22,209.0	21,990.0	22,116.7
Contractual Services	34,984.0	37,140.4	39,461.3	38,225.6	42,183.1	46,189.2	47,491.8
Equipment	2,174.9	1,389.7	1,879.5	2,306.7	4,421.0	4,219.1	4,182.3
Land/Buildings	1,244.6	1,562.4	4,564.7	3,008.9	1,629.0	95.7	346.3
Miscellaneous	3,812.4	3,892.7	3,730.9	7,782.7	9,611.9	9,360.0	12,842.3
Salaries & Benefits	121,438.6	133,753.9	144,894.6	150,435.1	161,035.7	168,143.5	178,913.0
Unrestricted	104,445.1	111,227.9	122,466.7	129,802.1	139,365.1	145,741.6	155,706.4
Restricted	16,993.5	22,526.0	22,427.9	20,633.0	21,670.6	22,401.9	23,206.6
Student Aid	7,375.4	7,782.5	7,837.0	8,939.2	9,470.5	11,150.0	15,225.0
Travel	4,240.8	4,394.9	4,566.1	4,997.2	5,483.3	5,403.9	5,516.5
Unrestricted	2,719.4	2,752.8	2,942.0	3,442.4	4,089.7	4,025.4	4,312.1
Restricted	1,521.4	1,642.1	1,624.1	1,554.8	1,393.6	1,378.5	1,204.4
<b>Total</b>	<b>193,433.1</b>	<b>207,471.2</b>	<b>226,485.0</b>	<b>235,065.8</b>	<b>256,043.5</b>	<b>266,551.4</b>	<b>286,633.9</b>

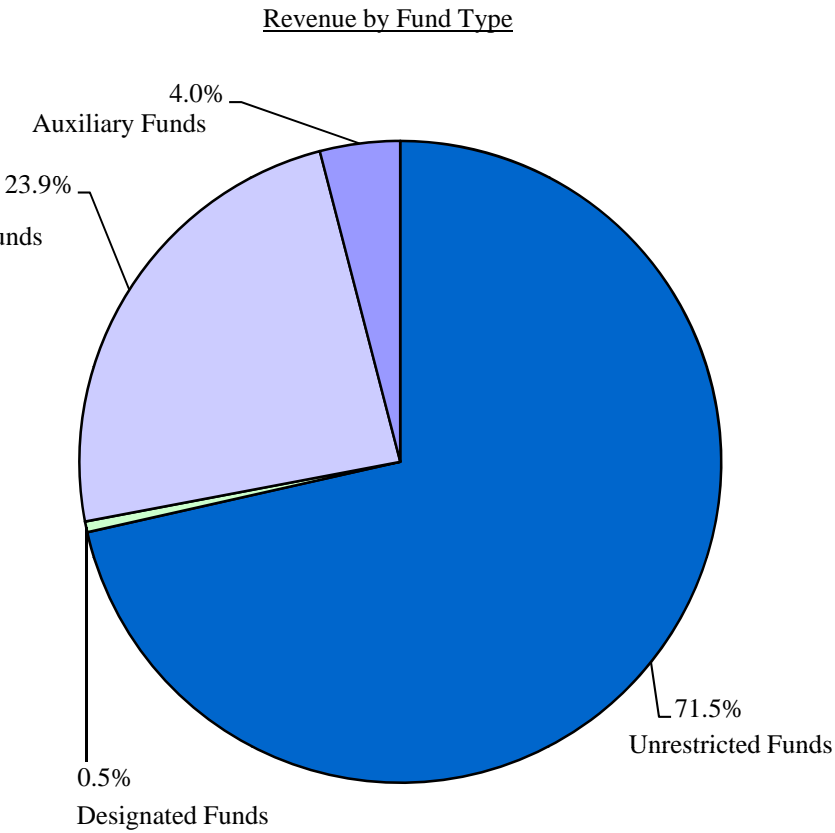
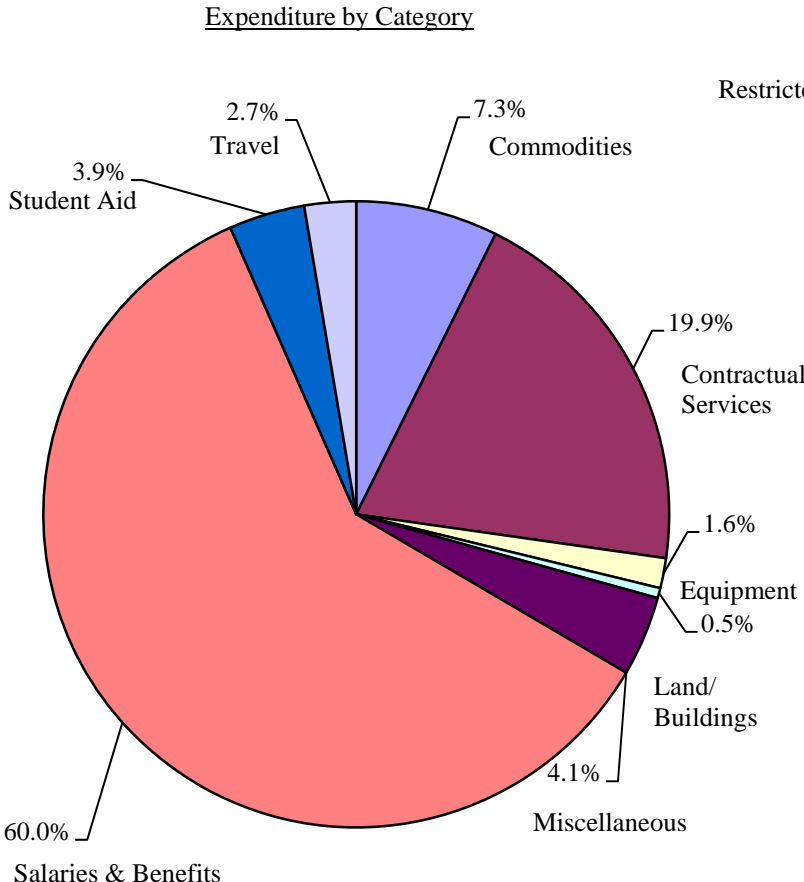
<b>University of AK Fairbanks</b>							
Commodities	36,977.2	34,978.7	38,184.7	36,709.4	41,051.4	35,050.1	32,434.1
Contractual Services	66,365.9	71,913.7	74,712.7	70,596.1	77,733.3	78,278.8	84,512.3
Equipment	9,017.6	8,365.3	8,684.2	10,578.5	8,902.8	7,622.3	6,864.2
Land/Buildings	1,324.2	1,010.6	3,283.6	2,654.0	1,490.0	1,798.9	2,180.5
Miscellaneous	6,897.0	6,850.8	11,116.3	8,927.3	11,109.0	9,065.2	15,272.0
Salaries & Benefits	182,559.1	196,519.4	217,321.7	225,624.9	235,004.1	239,430.1	249,605.4
Unrestricted	124,200.6	130,058.4	147,080.2	154,519.2	165,073.7	168,044.0	178,043.9
Restricted	58,358.5	66,461.0	70,241.5	71,105.7	69,930.4	71,386.1	71,561.5
Student Aid	9,401.9	10,268.1	10,627.4	10,704.5	11,591.8	12,807.5	15,133.5
Travel	10,560.4	11,567.6	12,217.6	12,899.4	13,718.9	13,205.8	13,163.3
Unrestricted	3,813.6	4,368.3	4,882.1	5,474.6	6,084.9	5,904.5	5,574.4
Restricted	6,746.8	7,199.3	7,335.5	7,424.8	7,634.0	7,301.3	7,588.9
<b>Total</b>	<b>323,103.3</b>	<b>341,474.2</b>	<b>376,148.2</b>	<b>378,694.1</b>	<b>400,601.3</b>	<b>397,258.7</b>	<b>419,165.3</b>

<b>University of AK Southeast</b>							
Commodities	3,619.2	3,938.3	3,946.9	3,507.9	3,826.8	4,293.3	3,392.1
Contractual Services	6,746.5	6,885.0	6,850.1	7,437.1	6,786.7	7,166.7	6,519.6
Equipment	407.3	299.9	718.9	374.2	276.5	361.0	916.3
Land/Buildings	326.2	704.8	790.7	816.7	1,147.2	784.3	1,690.2
Miscellaneous	465.1	550.6	984.9	303.7	918.5	1,359.8	2,209.9
Salaries & Benefits	23,749.9	26,188.9	28,014.7	28,813.5	30,993.0	31,614.2	32,341.9
Unrestricted	21,630.7	22,554.5	24,481.1	25,112.1	27,248.5	28,294.4	29,398.6
Restricted	2,119.2	3,634.4	3,533.6	3,701.4	3,744.5	3,319.8	2,943.3

**University of Alaska - FY05-FY11 Expenditures**

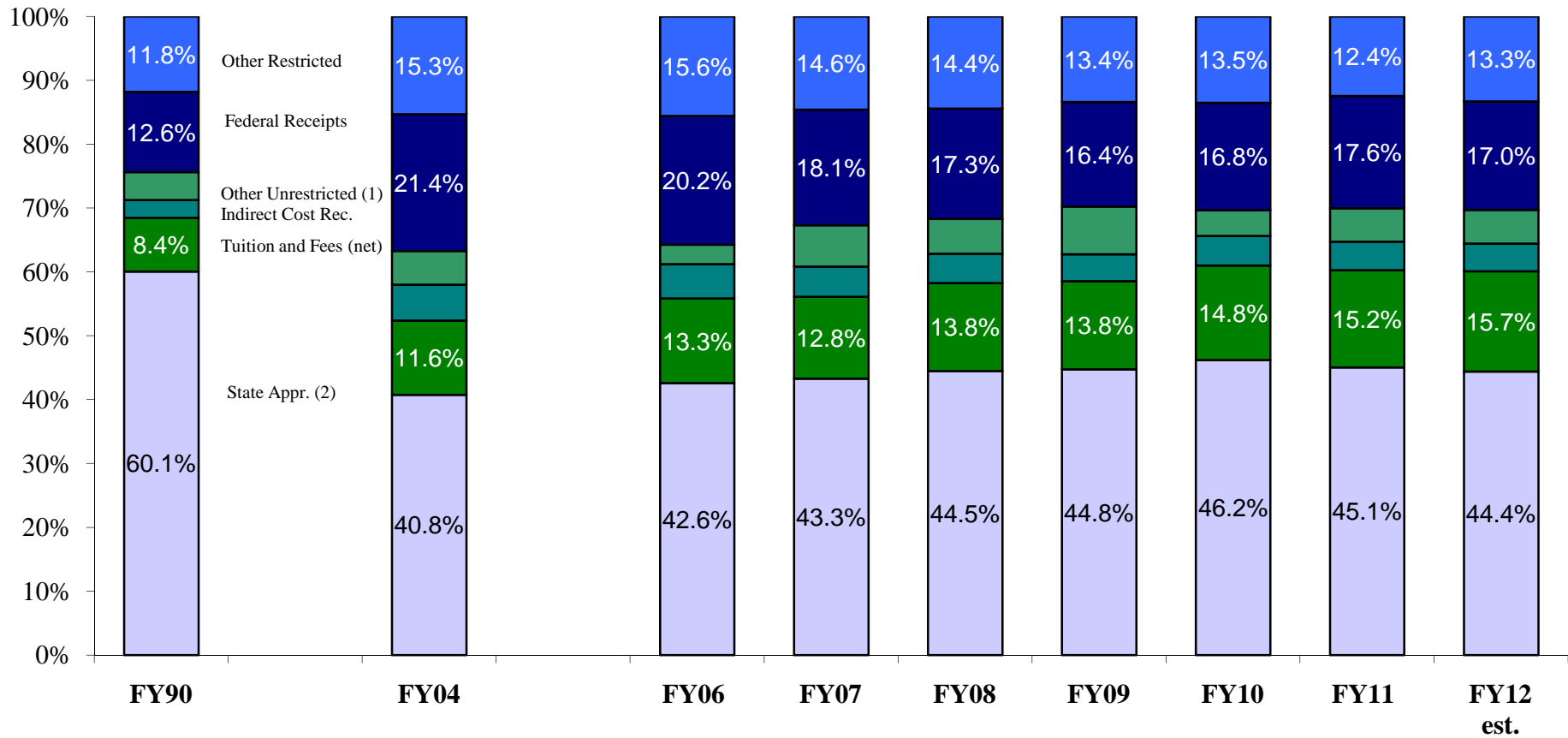
	<b>FY05 Actual</b>	<b>FY06 Actual</b>	<b>FY07 Actual</b>	<b>FY08 Actual</b>	<b>FY09 Actual</b>	<b>FY10 Actual</b>	<b>FY11 Actual</b>
Student Aid	1,471.0	1,485.6	1,505.3	1,444.7	1,382.0	1,398.5	1,606.7
Travel	1,137.6	1,277.3	1,108.3	1,085.6	1,060.7	1,093.4	1,103.9
Unrestricted	689.4	731.5	710.5	725.3	683.1	811.1	929.7
Restricted	448.2	545.8	397.8	360.3	377.6	282.3	174.2
<b>Total</b>	<b>37,922.8</b>	<b>41,330.4</b>	<b>43,919.8</b>	<b>43,783.4</b>	<b>46,391.4</b>	<b>48,071.2</b>	<b>49,780.6</b>
<b>Statewide Pgms &amp; Services</b>							
Commodities	1,231.4	1,287.9	1,652.5	2,077.8	1,327.9	1,429.9	2,073.3
Contractual Services	17,315.7	19,858.9	25,227.7	23,282.7	23,772.3	23,543.7	24,183.8
Equipment	990.3	586.0	493.6	1,091.9	592.7	382.2	732.0
Land/Buildings	266.0	(187.4)	45.3	352.7	(117.5)	58.2	42.5
Miscellaneous	1,598.1	1,746.3	3,911.7	2,259.5	3,349.0	2,815.4	3,433.7
Salaries & Benefits	19,079.6	20,909.0	22,847.7	26,219.2	27,842.6	27,925.8	29,399.5
Unrestricted	17,311.8	19,026.8	21,112.6	24,653.1	26,322.6	26,726.8	28,267.8
Restricted	1,767.8	1,882.2	1,735.1	1,566.1	1,520.0	1,199.0	1,131.7
Student Aid	69.6	48.3	37.6	31.7	66.4	69.0	104.7
Travel	1,726.4	2,042.8	1,875.2	2,209.4	1,631.4	2,054.6	1,998.1
Unrestricted	1,044.8	1,093.5	1,129.9	1,367.2	865.0	1,236.2	1,123.2
Restricted	681.6	949.3	745.3	842.2	766.4	818.4	874.9
<b>Total</b>	<b>42,277.1</b>	<b>46,291.8</b>	<b>56,091.3</b>	<b>57,524.9</b>	<b>58,464.8</b>	<b>58,278.8</b>	<b>61,967.6</b>
<b>UA Total</b>							
Commodities	59,990.2	57,759.6	63,335.0	61,665.5	68,415.1	62,763.3	60,016.2
Contractual Services	125,412.1	135,798.0	146,251.8	139,541.5	150,475.4	155,178.4	162,707.5
Equipment	12,590.1	10,640.9	11,776.2	14,351.3	14,193.0	12,584.6	12,694.8
Land/Buildings	3,161.0	3,090.4	8,684.3	6,832.3	4,148.7	2,737.1	4,259.5
Miscellaneous	12,772.6	13,040.4	19,743.8	19,273.2	24,988.4	22,600.4	33,757.9
Salaries & Benefits	346,827.2	377,371.2	413,078.7	431,092.7	454,875.4	467,113.6	490,259.8
Unrestricted	267,588.2	282,867.6	315,140.6	334,086.5	358,009.9	368,806.8	391,416.7
Restricted	79,239.0	94,503.6	97,938.1	97,006.2	96,865.5	98,306.8	98,843.1
Student Aid	18,317.9	19,584.5	20,007.3	21,120.1	22,510.7	25,425.0	32,069.9
Travel	17,665.2	19,282.6	19,767.2	21,191.6	21,894.3	21,757.7	21,781.8
Unrestricted	8,267.2	8,946.1	9,664.5	11,009.5	11,722.7	11,977.2	11,939.4
Restricted	9,398.0	10,336.5	10,102.7	10,182.1	10,171.6	9,780.5	9,842.4
<b>Total</b>	<b>596,736.3</b>	<b>636,567.6</b>	<b>702,644.3</b>	<b>715,068.2</b>	<b>761,501.0</b>	<b>770,160.1</b>	<b>817,547.4</b>

# University of Alaska Expenditure by Category and Revenue by Fund Type FY11



Unrestricted Funds	\$584.4
Restricted Funds	195.8
Designated Funds	4.4
Auxiliary Funds	<u>33.0</u>
Sub-Total	817.6
UA Intra-Agency (UAIAR)	<u>(54.9)</u>
Total (in millions)	\$762.7

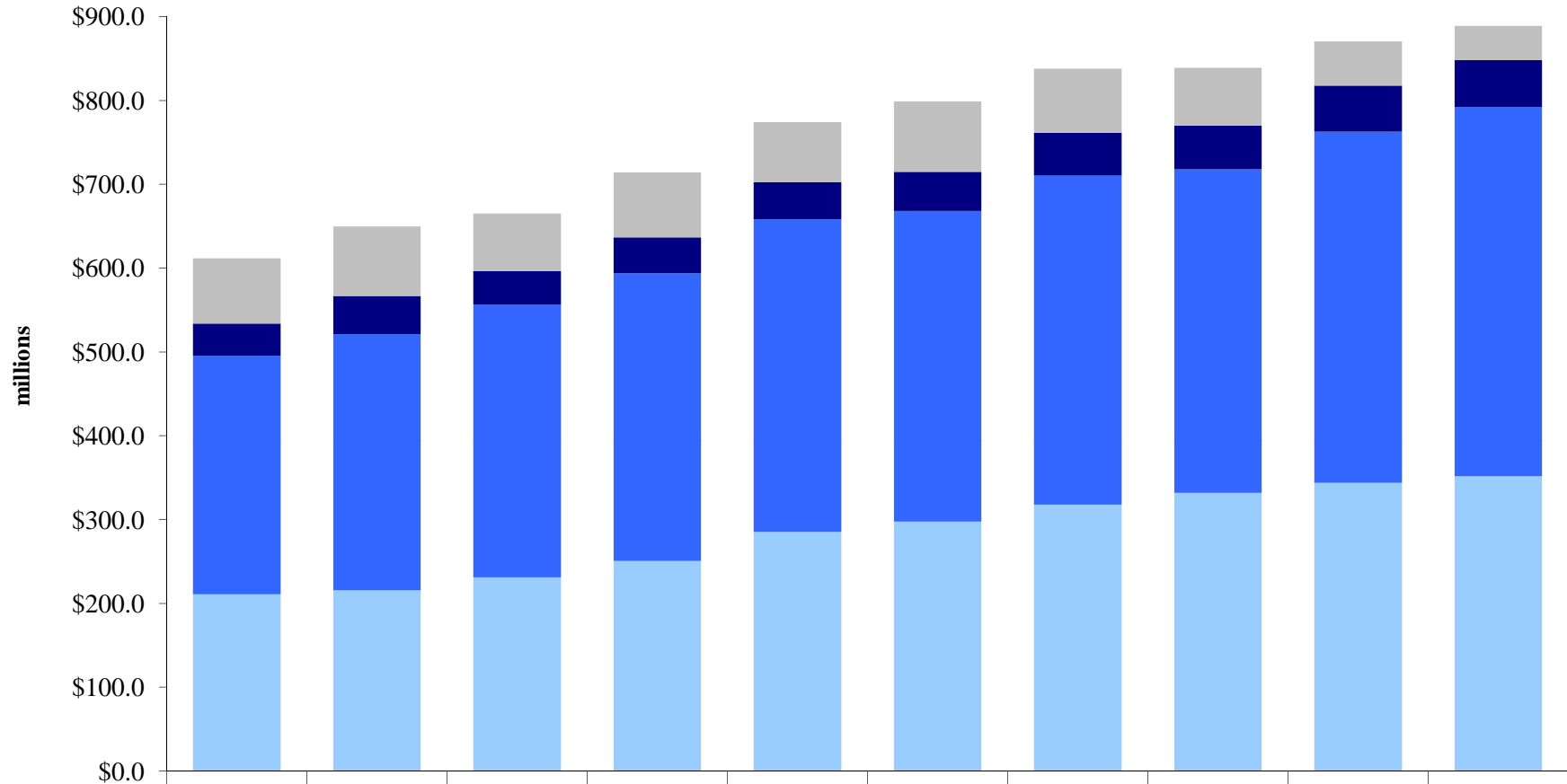
# University of Alaska Revenue by Source FY90, FY04, FY06-FY11, FY12 est.



1. UA Intra Agency Receipts are excluded from this table, but are included in the totals in the rest of the publication.

2. State Appropriation includes one-time funding for utility cost increases: FY06 \$2,355.6; FY07 \$2,640.0; FY08 \$4,957.9; FY09 \$4,840.0; FY10 \$3,630.0; FY11 \$3,080.0; and FY12 \$3,410.0

# University of Alaska Actual vs. Authorized Budget

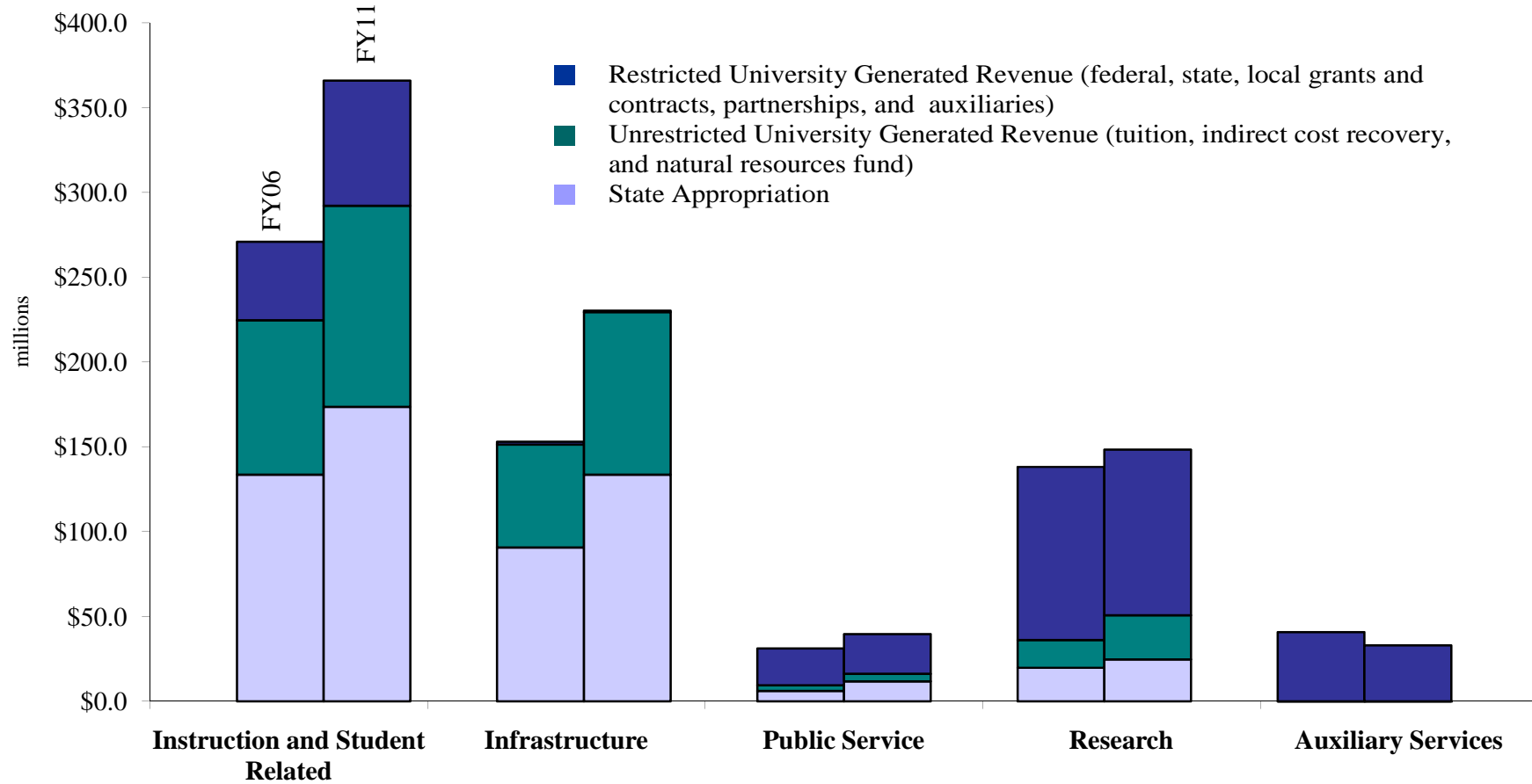


	FY03	FY04	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12*
■ Available Receipt Authority	77.9	82.7	68.5	77.6	71.5	83.8	76.6	68.9	52.8	40.9
■ UA Intra Agency Receipts	38.4	45.7	40.2	42.9	44.2	46.7	51.0	52.3	54.9	56.3
■ Non-state Revenue	284.5	305.6	325.4	343.1	373.3	370.8	392.6	386.0	418.8	440.2
■ State Appropriations	211.0	215.6	231.1	250.6	285.1	297.5	317.9	331.9	343.9	351.7

\* estimated



## University of Alaska FY06 & FY11 Expenditures by NCHEMS Category and Fund Type



MAU/Campus/Program Title	UA BOR			Proposed Distribution			
	State Approp.	Receipt Authority	Total	State Approp.	Receipt Authority	Total	PFT*
<b>FY11 One-time Funded Priority Programs to Baseline</b>							
UAA ANC ConocoPhillips Integrated Science Bldg	314.2		314.2	314.2		314.2	
UAF FBKS Summer Bridge Programs (revised title)	150.0	40.0	190.0	150.0	40.0	190.0	
UAF FBKS Summer Components (revised title)	75.0	45.0	120.0		20.0	20.0	
<b>FY11 One-time Funded Priority Programs to Baseline Total</b>	<b>539.2</b>	<b>85.0</b>	<b>624.2</b>	<b>464.2</b>	<b>60.0</b>	<b>524.2</b>	
<b>Student Success Initiatives</b>							
UAA ANC Honors College (one-time funding)	100.0	15.0	115.0	100.0	15.0	115.0	1
UAF FBKS Honors Program (one-time funding)				100.0		100.0	
UAS JUN Teacher Ed. Recruitment & Placement Specialist	94.3	10.0	104.3	94.3	10.0	104.3	1
UAS SIT Instructional Designer	98.1	79.8	177.9	98.1	79.8	177.9	1
<b>Student Success Initiatives Total</b>	<b>292.4</b>	<b>104.8</b>	<b>397.2</b>	<b>392.4</b>	<b>104.8</b>	<b>497.2</b>	<b>3</b>
<b>High Demand Jobs</b>							
<b>Health/Bio-Med</b>							
UAA ANC Health Sciences Building Staffing	392.6		392.6	200.0		200.0	2
UAA ANC RRANN/Nursing Workforce Diversity	311.1	15.0	326.1	311.1	15.0	326.1	
UAA ANC Stress Physiology Faculty Position (INBRE)	100.0	25.0	125.0				
UAF CRCDC RC Health Pgrms - Rural Human Services Faculty	40.8	16.0	56.8				
UAF FOR Veterinary Services Animal Health Tech. (INBRE)	45.0	325.0	370.0				
UAF FOR Faculty Position in Immunology	100.4	359.4	459.8				
<b>Health/Bio-Med</b>	<b>989.9</b>	<b>740.4</b>	<b>1,730.3</b>	<b>511.1</b>	<b>15.0</b>	<b>526.1</b>	<b>2</b>
<b>Teacher Education</b>							
UAF FBKS Special Education Teacher Preparation	142.1	27.2	169.3				
UAF CRCDC Early Childhood Program Support	144.0		144.0				
<b>Teacher Education</b>	<b>286.1</b>	<b>27.2</b>	<b>313.3</b>				
<b>High Demand Jobs Total</b>	<b>1,276.0</b>	<b>767.6</b>	<b>2,043.6</b>	<b>511.1</b>	<b>15.0</b>	<b>526.1</b>	<b>2</b>
<b>Enhancing Competitive Research</b>							
UAF FBKS Alternative Energy	250.0	500.0	750.0	250.0	500.0	750.0	2
<b>Enhancing Competitive Research Total</b>	<b>250.0</b>	<b>500.0</b>	<b>750.0</b>	<b>250.0</b>	<b>500.0</b>	<b>750.0</b>	<b>2</b>
<b>FY12 High Priority Program Sustainment</b>	<b>2,357.6</b>	<b>1,457.4</b>	<b>3,815.0</b>	<b>1,617.7</b>	<b>679.8</b>	<b>2,297.5</b>	<b>7</b>

\* Permanent Full Time position